



2022 **ACTION PLAN**

Brazilian National Institute
of Industrial Property

VERSION 1.0 Revised and
Approved

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ORGANIZATIONAL UNIT ACRONYMS ¹

ACAD – Intellectual Property, Innovation, and Development Academy

AECON – Economic Affairs Advisory Division

CENGE – Coordination Office for Engineering and Architecture

CEPIT – General Coordination Office for Studies, Projects, and Dissemination of Technological Information

CGDI – General Coordination Office for Dissemination and Innovation

CGLI - General Coordination Office for Logistics and Infrastructure

CGOF – General Coordination Office for Budget and Finance

CGREC – General Coordination Office for Administrative Invalidation Proceedings and Appeals

CGRH – General Coordination Office for Human Resources

CGTEC – General Coordination Office for Technology Agreements

CGTI – General Coordination Office for Information Technology

COINS-DF – Coordination Office for Institutional Relationships of the Federal District

COINS-SP – Coordination Office for Institutional Relationships of the State of São Paulo

COINT – Coordination Office for International Relations

CQUAL – General Coordination Office for Quality

DIORC – Budget and Cost Division

GAB – Presidency Office

DIRAD – Administration Board

DIREX – Executive Office

DIRMA – Office of Trademarks, Industrial Designs, and Geographical Indications

DIRPA – Office of Patents, Software, and Integrated Circuits Layout Design

OUID – Ombudsman Office

PFE – Specialized Office of the Federal Ombudsman

PR – Presidency

¹ Only the organizational units referred to in the document are listed.

1. INTRODUCTION

The Action Plan is the strategic management instrument that defines the annual institutional planning of goals, initiatives, and funds aligned with the Brazilian National Institute of Industrial Property – INPI’s Strategic Plan and Annual Budget. It is the priority agenda for the year, the sectoral planning reference for the organizational units. 34 performance goals and 30 strategic initiatives are defined for 2022.

The goals for 2022 define, among other results, the aspiration for growth in applications at rates equal to or higher than 10% in the intellectual property (IP) assets most required by society. The forecast is to decide on patent applications in 3.8 years, industrial design applications in 4 months, and trademark applications without opposition in 9 months. The term for the decision on patent applications includes the 2016 backlog residue, still pending decision. Transformative initiatives such as the INPI Negócios [“INPI Business”] Program and the PI Digital [“Digital IP”] Program will be continued in 2022. As a development of Programa INPI – Escritório de PI para o Século XXI [“INPI Program – IP Office for the 21st Century”], projects will be developed in final, management, and support proceedings that are critical for ensuring operational and organizational efficiency. The development of a public price model based on T. D. – ABC Costing is a new high-impact initiative prioritized for 2022, which will create the bases for INPI’s financial sustainability, in line with the strategic objective of excellence and autonomy of management.

In the internationalization axis, we highlight INPI executing another Intellectual Property international agreement: the Hague Agreement, a worldwide platform for registration of industrial designs, offering broader access to national and foreign holders.

The budget for discretionary expenditures revised for full implementation of the 2022 Action Plan is BRL60.8 million. It is worth highlighting that, during the process of approval of the 2022 Annual Budget Bill (PLOA) in the National Congress, INPI’s discretionary expenditures were cut by 51%, reducing them from a planned budget of BRL70.0 million at the beginning of the year to BRL34.0 million. In March, a partial re-establishment of the budget in the amount of BRL10.0 million was obtained. And, in May, we received a down payment of more BRL10.0 million, which will be added to the LOA through the formal instruments, amounting to a current budgetary availability of BRL54.0 million. The amount of BRL6.8 million, which will supplement the funds required for the implementation of INPI’s annual plan, is subject to reiteration of a request already made to the Ministry of Economy, which may occur until August 2022.

The performance assessments and quarterly revisions of the 2022 Action Plan will align the annual planning, as required, with the evolution of the budgetary and operational condition of the Institute.

In 2022, INPI maintains the commitment of management with an important qualitative leap, both in the provision of services to society and productivity and economic results, keeping service prices moderate.

2. EXECUTIVE SUMMARY

2.1 ACTION PLAN IN NUMBERS

- 34 performance goals.
- 30 strategic initiatives.

2.2 MAIN PERFORMANCE GOALS

Aspirational goals of growth in IP applications in comparison with 2021:

- 11% in patent applications.
- 14% in trademark applications.
- 10% in industrial design applications.
- 233% in geographical indication applications.
- 10% in software applications.

Variation of production and efficiency in the examination of IP applications:

- Trademark applications without opposition decided in 9 months.
- Trademark applications with opposition decided in up to 18 months. ²
- Industrial design applications decided in 4 months.
- Patent applications decided in 3.8 years.

² The 2021 result calculated as mode (the most frequent measurement of monthly calculations) the time of 12 months, although, as of September, monthly results that ranged from 14 to 17 months were observed, as a result of the need for total dedication to the examination of applications without opposition for achieving the institutional goals established at 2021 INPI Action Plan. For the year 2022, a year in which a new increase in the volume of trademark applications in Brazil is forecasted, the stabilization of the time of 18 months for applications with opposition and 9 months for applications without opposition was adopted as the goal, considering that the latter represent 90% of the volume of applications received annually by INPI. It is important to highlight that, with the double-digit percentage increase in comparison with the performance goal (technical decisions) established for 2021, the 18-month forecast, in addition to stop the queue from growing, will make a 107% increase in the number of decisions regarding applications with opposition (35,000, instead of the 16,898 decisions made in 2021) possible.

- Variation of -12% in technical decisions on patent applications.³
- Applications for prioritized examination of patents decided in 11 months.
- Software registered in 7 business days.
- 18.6% increase in technical decisions on trademark applications.
- 8.6% increase in technical decisions on industrial design applications.
- 13% increase in software registrations.

2.3 HIGH-IMPACT STRATEGIC INITIATIVES

- **Patent Backlog Combating Plan:** continuity of the strategic project initiated in 2019, which reduced the patent backlog by 76.8% by the end of 2021.
- **INPI Negócios:** the implementation of a new dynamics of actions by the Institute, aiming at increasing registrations of industrial property (IP) assets by residents in Brazil, such as companies, innovation clusters, and universities. The focus is on increasing the use of the IP system, promoting its commercialization, and inspiring new users. **Legacy of Programa INPI – Escritório de PI para o Século XXI (Prosperity Fund):** the transformation of the Institute in the fields of management and quality by adopting excellence practices in its activities and preparing INPI to be a reference in Public Administration. The focus is on the implementation of a comprehensive plan for improvements in 11 macro processes, modernization of information and communication technologies (ICT) governance, and development of a public price model based on T. D. – ABC Costing.
- **PI Digital Program:** the digital transformation of the Institute, with the purpose of improving user access to services and information in the scope of INPI's operations. It also seeks to turn the analogical stages of the services provided by INPI into digital, also aiming at revising, simplifying, and automating client relationship and modernizing the publication of results, and also the access to files and the evaluation of INPI's services.

³ INPI has been obtaining significant productivity gains in technical decisions per examiner in the last 4 years: 137.5% increase in technical decisions per patent examiner. The technical decision production goal for 2022 is equivalent to 43% of the 80,444 applications that compose the current total patent backlog, composed of the remaining 2016 backlog (27,020) plus the applications filed since 2017 (53,424). The decrease in the total technical decisions in 2022 (-12%) reflects the more complex nature of the application examinations in stock and the lack of human resources in some specialty fields of the Patent, Software, and Integrated Circuit Layout Design Office.

- **2023-2026 Strategic Plan:**⁴ the preparation of the quadrennial institutional strategy, supported by a pluriannual budget for income and expenses, as well as a strategic investment program aimed at strengthening institutional capacity, including the expansion of human resources.
- **Human capital:** the strengthening of institutional capacity through a ministerial authorization for carrying out a simplified admission process for hiring temporary employees and a public sector recruitment examination for the institution's permanent staff.

⁴ The 2023-2026 Strategic Plan and the 2022 Action Plan will compose the set of planning information that will meet the court decision rendered under Public Civil Action 5095710-55.2021.4.02.5101/RJ, which determined the preparation of an updated and detailed diagnosis report of INPI's operation and an improvement action plan comprising the period from 2022 to 2024.

2.4 ECONOMIC AND FINANCIAL RESULTS ⁵

- Services revenue of BRL492.1 million (managerial forecast): 8.4% higher than in 2021.
- Results of operations will grow 20.0% during the year: BRL224.7 million in 2022.
- Increase in the operating margin from 41.2% in 2021 to 45.7%.
- Forecasted discretionary expenditures:⁶ BRL60.8 million, namely, costing expenses of BRL58.1 million and investment expenses of BRL2.7 million.
- Expenses with Information Technology of BRL17.6 million, corresponding to 29% of the total discretionary expenditures scheduled.

⁵ There will have no increase in nominal prices fixed according to the 2014 schedule of fees.

⁶ Scenario considering the amounts established in the 2022 LOA and discretionary expenditures in the amount required for the execution of the 2022 Action Plan (BRL60.8 million).

3. PERFORMANCE GOALS

The annual institutional performance goals for application filings, technical decision production and time, and institutional relationship are presented below. The quarterly goals are presented in **Schedule I**.

The filing goals reflect the aspiration for an increase in the access by users of INPI's services to the national system of IP and services provided to society as application capture and creation of IP assets.

The formulas for calculating the performance indicators are described in **Schedule II**.

3.1 PATENTS (AT 1st GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL | $\Delta\%$ 2022/2021 |
|--|---------------|---------------|-------------------------|
| Patent Applications – Non-residents (demand) | 19,633 | 21,600 | +10% |
| Patent Applications – Residents (demand) | 7,288 | 8,400 | +15% |
| Patent Applications – Total (demand) | 26,921 | 30,000 | +11% |
| Decision on Technical Examination of Patent Applications⁷ | 39,173 | 34,500 | -12% |
| Decision Time for Technical Examination of Patent Applications (of the date of the request for examination – includes 2016 residual backlog) | 5.0 years | 3.8 years | -24% |
| Decision Time for Technical Examination of Applications for Prioritized Examination of Patents (of the prioritization request) | 8.9 months | 11 months | +23% |

⁷INPI has been obtaining significant productivity gains in technical decisions per examiner in the last 4 years: 137.5% increase in technical decisions per patent examiner. The technical decision production goal for 2022 is equivalent to 43% of the 80,444 applications that compose the current total patent backlog, composed of the remaining 2016 backlog (27,020) plus the applications filed since 2017 (53,424). The decrease in the total technical decisions in 2022 (-12%) reflects the more complex nature of the application examinations in stock and the lack of human resources in some specialty fields of the Patent, Software, and Integrated Circuit Layout Design Office.

3.2 TRADEMARKS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR ⁸ | 2021 RESULT | 2022 GOAL | Δ% 2022/2021 |
|--|-------------|-------------------------|-----------------|
| Trademark Applications (demand) | 363,392 | 414,000 | +14% |
| Decision on Technical Examination of Trademark Applications ⁹ | 255,404 | 303,000 | 18.6% |
| Decision Time for Technical Examination of Trademark Applications – Applications <u>without</u> Opposition | 9 months | 9 months | 0% |
| Decision Time for Technical Examination of Trademark Applications – Applications <u>with</u> Opposition | 12 months | 18 months ¹⁰ | +50% |

3.3 INDUSTRIAL DESIGNS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL | Δ% 2022/2021 |
|---|-------------|-----------|-----------------|
| Industrial Design Applications (demand) | 6,711 | 7,400 | +10% |
| Decision on Technical Examination of Industrial Design Applications ¹¹ | 5,523 | 6,068 | +8.6% |
| Decision Time for Technical Examination of Industrial Design Applications | 4 months | 4 months | 0% |

⁸ Starting in the February 2022 issue, the Monthly Trademark Institutional Indicator Report started to include data from the Madrid Protocol files in trademark statistics.

⁹ INPI has been obtaining significant productivity gains in technical decisions per examiner in the last 4 years: 57.8% increase in technical decisions per trademark technologist between 2018 and 2021.

¹⁰ The 2021 result calculated as mode (the most frequent measurement of monthly calculations) the time of 12 months, although, as of September, monthly results that ranged from 14 to 17 months were observed, as a result of the need for total dedication to the examination of applications without opposition for achieving the institutional goals established at 2021 INPI Action Plan. For the year 2022, a year in which a new increase in the volume of trademark applications in Brazil is forecasted, the stabilization of the time of 18 months for applications with opposition and 9 months for applications without opposition was adopted as the goal, considering that the latter represent 90% of the volume of applications received annually by INPI. It is important to highlight that, with the double-digit percentage increase in comparison with the performance goal (technical decisions) established for 2021, the 18-month forecast, in addition to stop the queue from growing, will make a 107% increase in the volume of decisions regarding applications with opposition (35,000, instead of the 16,898 decisions made in 2021) possible.

¹¹ The goal for decisions on technical examination of industrial designs (ID) depends on the achievement of the aspirational ID application goal proposed for the year. The goal may be revised in case of any significant interruption in the productivity of the technicians due to the implementation of the new IPAS-DI system.

3.4 GEOGRAPHICAL INDICATIONS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL | Δ% 2022/2021 |
|---|-------------|-----------|-----------------|
| Geographical Indication Applications (demand) | 9 | 30 | +233% |
| Decision on Technical Examination of Geographical Indication Applications | 16 | 16 | 0% |
| Decision Time for Technical Examination of Geographical Indication Applications | 20.9 months | 18 months | -14% |

3.5 SOFTWARE (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL | Δ% 2022/2021 |
|--------------------------------|-------------|-----------|-----------------|
| Software Application (demand) | 3,259 | 3,600 | +10% |
| Software Registration | 3,180 | 3,600 | +13% |
| Time for Software Registration | 5.67 days | 7 days | +23% |

3.6 INTEGRATED CIRCUIT LAYOUT DESIGN (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL | Δ% 2022/2021 |
|---|-------------|-----------|-----------------|
| Integrated Circuit Layout Design Application (demand) | 0 | 3 | — |
| Integrated Circuit Layout Design Registration | 0 | 3 | — |
| Integrated Circuit Layout Design Registration Time | — | 7 days | — |

3.7 TECHNOLOGY AGREEMENTS (1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL | Δ% 2022/2021 |
|---|-----------------------|-----------------------|-----------------|
| Technology Agreement Application (demand) | 1,135 | 1,200 | +5.7% |
| Decision on Technical Examination of Technology Agreement Applications | 1,178 | 1,200 | +1.8% |
| Average Decision Time for Notified Technology Agreement Filings (of the date of notice in INPI's Gazette) | 100% in up to 27 days | 100% in up to 27 days | 0% |

3.8 APPEALS AND INVALIDATIONS (REVIEWING INSTANCE)

| PERFORMANCE INDICATOR | 2021 RESULT | 2022 GOAL ¹² | $\Delta\%$ 2022/2021 |
|--|-------------|-------------------------|-------------------------|
| Preparation of Technical Reports to support decisions in appeals and administrative nullity proceedings and the defense of the institute in lawsuits, in matters related to the reviewing administrative instance. | 61,530 | 44,760 | -27% |
| Time for the preparation of Technical Reports to support decisions on appeals in patent processes. | 31 months | 24 to 36 months | +16% |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in patent processes. | 8 months | 8 months | 0% |
| Time for the preparation of Technical Reports to support decisions on appeals in trademark processes. | 7 months | 6 months | -14% |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in trademark processes. | 36 months | 38 months | +6% |
| Time for the preparation of Technical Reports to support decisions on appeals in industrial designs and other registrations processes. | 7 months | 7 months | 0% |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in industrial designs and other registrations processes. | 5 months | 5 months | 0% |

¹² It was necessary to change the CGREC goal for 2022 in light of the assignment of four (4) Technologists to DIRMA during the remainder of the current year and also the need to start the filing of Administrative Invalidation Proceedings, in addition to the need for allocation of lawsuit filings by the Technologists of the Technical Coordination Office for Administrative Proceedings and Appeals for Invalidation of Trademarks (COREM) themselves.

3.9 RELATIONSHIP

| PERFORMANCE INDICATOR ¹³ | 2021 RESULT | 2022 GOAL | $\Delta\%$ 2022/2021 |
|--|-------------|-----------|-------------------------|
| Percentage of Users' Satisfaction with INPI's Client Relationship and Transparency | NA | 75% | NA |
| Average Time of Assistance by INPI's Official Claim Processing Channels | NA | 5 days | NA |

NA – Non-Applicable. New institutional relationship indicator, without historical results ascertained.

¹³The four relationship indicators included in the 2021 Action Plan represented different angles of the same reality, related to the Institute's relationship and transparency dimension. To confer an integrated approach to it, able to contribute with overall and more complete performance data to ascertain progress or setbacks in the fields of meeting social demands, user experience, and evaluation of the services provided by INPI, all assistance channels were taken into account, including the institutional transparency rate, and not only the numbers from the service provided by Contact Us and the Ombudsman. Two unprecedented indicators without previous calculation, which hereafter will be obtained by the calculation formulas described in an specific annex from INPI's 2022 Action Plan, were created.

4. STRATEGIC INITIATIVES

The portfolio of Strategic Initiatives directly related to INPI's Strategic Objectives is presented below. Moreover, the Supporting Initiatives, which are associated with management and operational support macro process and consolidate the budget for expenses not allocated in Strategic Initiatives, are defined.

4.1 – STRATEGIC INITIATIVE PORTFOLIO

STRATEGIC OBJECTIVE 1: *Optimize time, quality, and legal certainty in the work to grant or promote the registration of intellectual property rights by INPI*

The Strategic Initiatives associated with this Strategic Objective define the priority programs and projects directed to the improvement of operational performance in quality, productivity, and execution deadlines of the proceedings of examination and provision of IP services.

In the field of Patents, we highlight the continuity and intensification of the strategic project for combating the patent backlog initiated in 2019; the development of the model for the outsourcing of patent search services; and projects aimed at enhancing the automation of the examination flow and the decision on patents.

The examination of trademarks will be focused on: the modernization of the Brazilian IP Law (LPI), with a direct impact on the productivity gains and reduction in examination deadlines; and the computerization of the proceedings for the examination of position trademarks – a new service provided to INPI users.

The implementation of the IPAS-DI system, in a partnership with the World Intellectual Property Organization – WIPO, is a strategic project for modernizing Industrial Design technical examination activities, as well as preparing INPI to execute the Hague Agreement, another institutional priority for 2022.

The modernization of the IP examination proceedings also comprises improvements in the automation of the technology agreement recording service and the development of computer systems that support procedures for revising the quality of the examination of trademarks, industrial designs, patents, and the Patent Cooperation Treaty (PCT).

| STRATEGIC INITIATIVES | UNIT |
|--|-------------------------|
| IE 01 – Combating Patent Backlog – Final Stage | DIRPA |
| IE 02 – Outsourcing of the Patent Search | DIRPA |
| IE 03 – Automation of the Patent Flow – Stage 1 | DIRPA and CGTI/DIREX |
| IE 04 – e-Patents 4.0 | DIRPA |
| IE 05 – Submission of a List of Sequences in the ST.26 Format | DIRPA and CGTI/DIREX |
| IE 06 – Proposed Amendments to LPI Trademark Titles | DIRMA |
| IE 07 – Computerization of the Position Trademark Processing | DIRMA |
| IE 08 – IPAS Industrial Design (IPAS-DI) | DIRMA and CGTI/DIREX |
| IE 09 – Technology Market 4.0 | CGTEC |
| IE 10 – Maintenance of the Examination Quality – Trademarks, Industrial Designs, Patent, and PCT | CQUAL/DIREX |

STRATEGIC OBJECTIVE 2: *Promoting the creation of economic assets derived from the knowledge and inventiveness in Intellectual Property likely to be monetized*

The Strategic Initiatives linked to this Strategic Objective are related to the institutional strategy of public promotion of IP, in order to promote the creation, protection, and commercialization of intellectual property assets of people residing in Brazil.

The INPI Negócios Program is a strategic action aiming at the expansion of INPI's insertion into innovation ecosystems; the use and dissemination of analytic intelligence in IP; the expansion of the use of the system by residents; the creation of human capital specialized in IP; and the integration into global value chains through IP.

The National Directory to Combat Trademark Counterfeiting, present in the National Plan to Combat Piracy (2022-2025) is a joint project of the National Council to Combat Piracy and Crimes against Intellectual Property – CNCP. The Directory acts as a center for strategic information accessed exclusively by public officials working in the fight against counterfeit, authorized by the Ministry of Justice and Public Security, such as Customs Authorities, the Judiciary Police, and the Prosecution Service.

| STRATEGIC INITIATIVES | UNIT |
|---|------------------------------------|
| IE 11 – INPI Negócios | CGDI and AECON/DIREX ¹⁴ |
| IE 12 – National Directory to Combat Trademark Counterfeiting | CGREC |

STRATEGIC OBJECTIVE 3: *Integrating Brazil as a winning country in the International Intellectual Property System*

The Strategic Initiatives under the “umbrella” of this Strategic Objective are connected with the macro process of “International Relations in Intellectual Property”, which contemplates the universe of INPI’s contributions in different levels of institutional operation to integrate and create an efficient and balanced international system for protection of IP.

For 2022, we highlight the strategic project for operational preparation and joinder into the Hague Agreement – a worldwide platform for registration of industrial designs –, providing national and foreign holders with broader accessibility.

The consolidation of the operation of the Madrid Protocol will continue with an emphasis on expanding the automation of technical procedures and services provided to INPI’s users, within the scope of the system for international registration of trademarks managed by WIPO.

The work for standardization and expansion of prioritized patent examination programs, which has been developed over the last few years, will also continue in 2022.

| STRATEGIC INITIATIVES | UNIT |
|--|---------------------|
| IE 13 – Joinder into the Hague Agreement | DIRMA and COINT/GAB |
| IE 14 – Consolidation of the Operation of the Madrid Protocol | DIRMA |
| IE 15 – Standardization of the Prioritized Patent Examination Programs | DIRPA |

STRATEGIC OBJECTIVE 4: *Achieving excellence in business management*

The Strategic Initiatives of this Strategic Objective are directed to the development of governance and support proceedings that are critical for ensuring operational and organizational efficiency.

The development of a public price model based on T. D. – ABC Costing is a high-impact transformative initiative prioritized for 2022, creating the bases for a modern pricing policy and INPI’s financial sustainability.

Another priority is the legacy of Programa INPI – Escritório de PI para o Século XXI (Prosperity Fund), aimed at transforming the Institute in the fields of management and quality by adopting

¹⁴ INPI Ordinance/PR No. 008/2020 Task Force.

excellence practices in its activities and preparing INPI to be a reference in Public Administration. We highlight the implementation of a comprehensive plan for improvements in 11 macro process.

The Institute's Digital Transformation Plan (PI Digital Program) will also continue in 2022 with a portfolio of new projects for improving the access to services and information within the scope of INPI's operation; for digitalizing stages of the services provided by INPI that are still analogical; for revising, simplifying, and automating the client relationship; and for modernizing the publication of results and the access to the files and the evaluation of INPI's services.

The modernization of governance and management of ICT resources will also continue in 2022 with the implementation of new, acknowledged excellence practices and the strengthening of the Institute's technological infrastructure, even in the context of severe budgetary restrictions.

In its turn, the project for renovation and reorganization of the physical space of INPI's office in São Paulo will provide a compatible structure for supporting the institutional strategy of operating as a Regional IP, Business, and Innovation Center, beyond the duties traditionally performed by INPI's regional offices.

It is also worth highlighting the 2023-2026 Strategic Plan, which will draft the institution's strategy for the next four years, supported by a pluriannual budget for income and expenses, as well as a strategic investment program.

| STRATEGIC INITIATIVES | UNIT |
|--|-----------------------------|
| IE 16 – Optimization of Processes – Legacy of the Prosperity Fund Project and 11 macro processes | CQUAL/DIREX |
| IE 17 – PI Digital Program | OUVID |
| IE 18 – Modernization of the Governance of Information Technology and its Resources | CGTI/DIREX |
| IE 19 – Public Service Price Policy – T. D. – ABC Costing Base | PR-DIRAD |
| IE 20 – Agir INPI [“Act INPI”] Program | OUVID |
| IE 21 – Restructuring and Readjustment of the Physical Structure of the Coordination Office for Institutional Relationships of São Paulo | CGLI/DIRAD and COINS-SP/GAB |
| IE 22 – Relocation of the Physical Structure of the Coordination Office for Institutional Relationships of the Federal District | COINS-DF/GAB |
| IE 23 – Normative Revision | GAB |
| IE 24 – Drafting of the 2023-2026 Strategic Plan | CGPE/DIREX PR |

STRATEGIC OBJECTIVE 5: *Promoting development, professional growth, well-being, and pursuit of excellence by INPI’s professionals*

The Strategic Initiatives of this Strategic Objective focus on the enhancement of an organizational ambiance directed to the development of high-performance talents, as well as the re-establishment of INPI’s workforce.

The hiring of temporary employees and the institution’s permanent staff, through a public sector recruitment examination, is a priority action to strengthen the institutional capacity to face the challenge of demand growth and ensure quality and agility in services provided by INPI to the Brazilian society, with world-class performance standards as a reference.

The “Bem Aqui no INPI” [“Right Here on INPI”] Program will continue with two priorities in 2022: the PI nas Escolas [“IP in Schools”] Project, with its proposal for mobilizing and raising awareness among the school community about the importance of intellectual property; and the development of INPI’s Innovation Management System, including the I Prêmio Inova (+) [“Innovate (+) Award”], seeking to encourage the contribution of the staff for the development of opportunities for improving the performance and the organizational environment.

INPI’s Management and Performance Program in the teleworking mode is another highlight from the people management agenda for 2022. The results achieved and the lessons learned with the implementation of remote work, both in organizational normalcy conditions and the emergency imposed by the pandemic, provide a knowledge and practice base for a new stage of consolidation and controlled expansion of the program, preserving its objectives and ensuring benefits for the institution and the employees.

| STRATEGIC INITIATIVES | UNIT |
|--|---------------------|
| IE 25 – Expansion of the Workforce and Strengthening of INPI’s Institutional Capacity (including a simplified admission process for temporary employees) | CGRH/DIRAD DIREX |
| IE 26 – Management of Organizational Culture and Climate – Stage 1 | CGRH/DIRAD |
| IE 27 – Improvement of the Model for Management of Individual Performance and Acknowledgment – Stage 1 | CGRH/DIRAD |
| IE 28 – Bem Aqui no INPI Program | OUID |
| IE 29 – People Development Program | CGRH/DIRAD |
| IE 30 – Consolidation of INPI’s Management and Performance Program (PGDINPI) in the teleworking mode | CGRH/DIRAD PR |

4.2 – SUPPORTING INITIATIVES

The Supporting Initiatives of the 2022 Action Plan consolidate the budget for general expenses directed to the operational support of final activities and meeting organizational demands:

- a) **Logistic and Infrastructure Management:** building management; materials management; transportation, administrative communications, and document management; and contract of ICT goods and services;
- b) **Information and Communication Technology Management:** development of information systems and automation of processes; ICT infrastructure and network management; and user support and service;
- c) **People Management:** planning the need of personnel; management, recruitment, and selection of people; people performance management; people development; promotion of quality of life; and occupational health and management of human resources information systems;
- d) **Budgetary, Financial, and Accounting Management:** financial schedule; financial management; accounting management; and cost management; and
- e) **Communication Management:** institutional communication management and internal communication management.

| SUPPORTING INITIATIVES |
|--|
| IS 01 – Support of the Logistic and Infrastructure Management |
| IS 02 – Support of the Information and Communication Technology Management |
| IS 03 – Support of the People Management |
| IS 04 – Support of the Budgetary, Financial, and Accounting Management |
| IS 05 – Support of the Communication Management |

5. SUMMARIZED ECONOMIC AND FINANCIAL PLANNING

Chapter 5 shows the forecasts for revenue, expenses, and disbursements with information technology for implementing the 2022 Action Plan, as well as the forecasted economic and financial results for the year.

5.1 INCOME FORECAST

The table below presents the managerial income forecast for 2022, based on the operational goals stated in the Plan. The total forecasted revenue is BRL688.6 million, accounting for an 18.4% growth in comparison with the 2021 collection of revenue.

The property revenue, with a 54.9% forecasted growth,¹⁵ is the main responsible for the expected increase. In the service revenue, the expected growth is 8.4%, reaching BRL492.1 million in revenues by the end of 2022.

| REVENUE | BRL million | | |
|---------------------------------|------------------------|------------------------------|--------------|
| | 2021 COLLECTED REVENUE | 2022 FORECAST ⁽³⁾ | Δ% 2022/2021 |
| Service Revenue | 454.1 | 492.1 | 8.4% |
| Property Revenue ⁽¹⁾ | 125.3 | 194.0 | 54.9% |
| Other Revenues ⁽²⁾ | 2.1 | 2.5 | 20.1% |
| TOTAL | 581.4 | 688.6 | 18.4% |

Source: DIRAD/CGOF/DIIRC and Financial Management Integrated System (SIAFI).

It refers to the revenue with return from the Single Account of the National Treasury (return from bank deposits¹⁵) and revenue with rentals and occupancy fees.

⁽²⁾ It refers to the other current revenues (penalties and interest provided for in agreements, indemnifications, and refunds) and amortizations of loans granted.

¹⁵ The revenue arising from the income of the investment of the cash (Single) Account balance is the main source of collection of property revenue. Due to the consecutive annual surpluses obtained by INPI (revenue collected greater than the expense), the account balance increases from a year to the other, resulting in an increasing capital and investment income base. Coupled with the increasing cash account balance, the increase in the interest rates, which influence the return Reference Rate (STN Rate), contributes to the significant forecasted growth of the property revenue.

5.2 SCHEDULE OF EXPENSES

The table below summarizes the discretionary expenses and with active personnel established in the 2022 Annual Budget Law (LOA), as well as indicates the budget re-establishment requested to the Ministry of Economy,¹⁶ related to the discretionary expenses.

It is worth highlighting that, during the process of approval of the 2022 Annual Budget Bill (PLOA) in the National Congress, INPI's discretionary expenses were cut by 51%, reducing them from a planned budget of BRL70.0 million to BRL34.0 million. In March, we received a partial re-establishment of the budget cut in the amount of BRL10.0 million. And, in May, we received a down payment of more BRL10.0 million, which will be added to the LOA by the formal instruments.

The budget required for the full implementation of the 2022 Action Plan includes, in addition to the BRL10 million approved as re-establishment in May, the amount of BRL6.8 million that is subject to reiteration of a request already made to the Ministry of Economy.¹⁷

| EXPENSE GROUP/BUDGETARY ACTION | BRL million | |
|--|-------------|----------------|
| | TAKEN 2021 | SCHEDULED 2022 |
| ACTIVE PERSONNEL EXPENSES | 213.1 | 209.3 |
| Federal Civil Assets | 170.4 | 166.8 |
| Federal Contribution to the Social Security System | 33.5 | 33.3 |
| Medical and Dental Assistance to the Employees | 2.2 | 2.2 |
| Mandatory Benefits to the Employees | 7.0 | 7.0 |
| DISCRETIONARY EXPENSES (a) | 55.8 | 54.0 |
| Office Management | 39.1 | 39.7 |
| Management and Modernization of Information Technology and Communication Resources | 16.6 | 14.2 |
| Housing Cost Allowance or Housing Aid to Public Officials | 0.0 | 0.1 |
| DISCRETIONARY EXPENSES – REQUESTED RE-ESTABLISHMENT (b) | - | 6.8 |
| DISCRETIONARY EXPENSES – FORECASTED TOTAL (a) + (b) | - | 60.8 |

Source: DIRAD/CGOF/DIIRC and SIAFI.

¹⁶ Electronic Information System (SEI) Record No. 99/2022/PR/INPI of April 27, 2022, to the Ministry of Economy, and SEI Record No. 101/2022/PR/INPI of April 27, 2022, to the National Treasury.

¹⁷ The decision by the Special Secretariat for Productivity and Competitiveness (SEPEC)/ME and the 3rd adjustment stage of the Budget Execution Registry (JEO) expected for June/August 2022 are pending.

5.3 OPERATION FORECAST AND RESULTS

IMPI forecasts a growth of the operating margin from 41.2% to 45.7%, considering the full budget required for the implementation of the 2022 Action Plan.

The growth of the service revenue (+10.6%) is the main factor for the improvement of the operating results.

BRL million

| RESULTS | TAKEN 2021 | FORECASTED ⁽¹⁾ 2022 | Δ% (2022/2021) |
|--|--------------|-----------------------------------|-------------------|
| Service Revenue (a) | 454.1 | 492.1 | 8.4% |
| (-) Active Personnel Expense (b) | 213.1 | 209.3 | -1.8% |
| (-) Costing Expense (discretionary) (c) | 53.8 | 58.1 | 8.0% |
| RESULTS OF OPERATIONS (d) = (a) – (b) – (c) | 187.2 | 224.7 | 20.0% |
| Property Revenue (e) | 125.3 | 194.0 | 54.9% |
| Other Revenues (f) | 2.1 | 2.5 | 20.1% |
| (-) Investment Expense (discretionary) (g) | 2.1 | 2.7 | 28.6% |
| BUDGETARY RESULT (d) + (e) + (f) – (g) | 312.5 | 418.5 | 33.9% |
| OPERATING MARGIN (%) (d) / (a) | 41.2% | 45.7% | 10.7% |

Source: DIRAD/CGOF/DIIRC and SIAFI.

⁽¹⁾ Scenario considering the amounts of the expenses of personnel established in the 2022 LOA and the discretionary expenses in the amount required for the implementation of the 2022 Action Plan (BRL60.8 million).

The challenge for the current and the next years is that the operating margin that has been obtained by INPI is reversed, at least partially, to the infrastructure required for the progress of the provision of services to society.

SCHEDULE I Quarterly Goals

3.1 PATENT GOALS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|--|-------------|------------------|------------------|------------------|------------------|
| Patent Applications – Non-residents | 21,600 | 4,968 | 5,616 | 5,400 | 5,616 |
| Patent Applications – Residents | 8,400 | 1,932 | 2,184 | 2,100 | 2,184 |
| Patent Applications – Total | 30,000 | 6,900 | 7,800 | 7,500 | 7,800 |
| Decision on Technical Examination of Patent Applications | 34,500 | 8,625 | 8,625 | 8,625 | 8,625 |
| Decision Time for Technical Examination of Patent Applications (of the date of the request for examination) | 3.8 years | 4.7 years | 4.4 years | 4.1 years | 3.8 years |
| Decision Time for Technical Examination of Applications for Prioritized Examination of Patents (of the prioritization request) | 11 months | 12 months | 11.7 months | 11.3 months | 11 months |

3.2 TRADEMARK GOALS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|--|-------------|------------------|------------------|------------------|------------------|
| Trademark Applications | 414,000 | 100,000 | 100,000 | 107,000 | 107,000 |
| Decision on Technical Examination of Trademark Applications | 303,000 | 55,000 | 75,000 | 85,000 | 88,000 |
| Decision Time for Technical Examination of Trademark Applications – Applications <u>without</u> Opposition | 9 months | 10 months | 10 months | 9 months | 9 to 8 months |
| Decision Time for Technical Examination of Trademark Applications – Applications <u>with</u> Opposition | 18 months | 18 months | 18 months | 18 months | 18 months |

3.3 INDUSTRIAL DESIGN GOALS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|---|-------------|------------------|------------------|------------------|------------------|
| Industrial Design Applications | 7,400 | 1,850 | 1,850 | 1,850 | 1,850 |
| Decision on Technical Examination of Industrial Design Applications | 6,068 | 1,100 | 1,300 | 1,800 | 1,800 |
| Decision Time for Technical Examination of Industrial Design Applications | 4 months | 4 months | 4 months | 4 months | 4 months |

3.4 GEOGRAPHICAL INDICATION GOALS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|---|-------------|------------------|------------------|------------------|------------------|
| Geographical Indication Applications | 30 | 7 | 7 | 8 | 8 |
| Decision on Technical Examination of Geographical Indication Applications | 16 | 3 | 3 | 5 | 5 |
| Decision Time for Technical Examination of Geographical Indication Applications | 18 months | 20 months | 19 months | 19 months | 18 months |

3.5 SOFTWARE GOALS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|--------------------------------|-------------|------------------|------------------|------------------|------------------|
| Software Application | 3,600 | 658 | 920 | 968 | 1,054 |
| Software Registration | 3,600 | 720 | 1,080 | 1,080 | 720 |
| Time for Software Registration | 7 days | 7 days | 7 days | 7 days | 7 days |

3.6 INTEGRATED CIRCUIT LAYOUT DESIGN GOALS (AT 1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|--|-------------|------------------|------------------|------------------|------------------|
| Integrated Circuit Layout Design Application | 3 | 0 | 2 | 1 | 0 |
| Integrated Circuit Layout Design Registration | 3 | 0 | 2 | 1 | 0 |
| Time for Integrated Circuit Layout Design Registration | 7 days | 7 days | 7 days | 7 days | 7 days |

3.7 TECHNOLOGY AGREEMENT GOALS (1ST GROUND OF EXAMINATION)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Technology Agreement Application | 1,200 | 264 | 288 | 324 | 324 |
| Decision on Technical Examination of Technology Agreement Applications | 1,200 | 312 | 264 | 312 | 312 |
| Average Decision Time for Notified Technology Agreement Filings (of the date of notice in INPI’s Gazette) | 100% in up to 27 days | 100% in up to 27 days | 100% in up to 27 days | 100% in up to 27 days | 100% in up to 27 days |

3.8 APPEAL AND INVALIDATION GOALS (REVIEWING INSTANCE)

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|--|-----------------|------------------|------------------|------------------|------------------|
| Preparation of Technical Reports to support decisions in appeals and administrative nullity proceedings and the defense of the institute in lawsuits, in matters related to the reviewing administrative instance. | 44,760 | 11,190 | 11,190 | 11,190 | 11,190 |
| Time for the preparation of Technical Reports to support decisions on appeals in patent processes. | 24 to 36 months | 24 to 36 months | 24 to 36 months | 24 to 36 months | 24 to 36 months |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in patent processes. | 8 months | 8 months | 8 months | 8 months | 8 months |
| Time for the preparation of Technical Reports to support decisions on appeals in trademark processes. | 6 months | 6 months | 6 months | 6 months | 6 months |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in trademark processes. | 38 months | 38 months | 38 months | 38 months | 38 months |
| Time for the preparation of Technical Reports to support decisions on appeals in industrial designs and other registrations processes. | 5 months | 5 months | 5 months | 5 months | 5 months |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in industrial designs and other registrations processes. | 5 months | 5 months | 5 months | 5 months | 5 months |

3.9 CLIENT RELATIONSHIP GOALS

| PERFORMANCE INDICATOR | ANNUAL GOAL | QUARTERLY GOAL 1 | QUARTERLY GOAL 2 | QUARTERLY GOAL 3 | QUARTERLY GOAL 4 |
|---|-------------|------------------|------------------|------------------|------------------|
| Percentage of Users’ Satisfaction with INPI’s Relationship and Transparency | 75% | 75% | 75% | 75% | 75% |
| Average Time of Assistance by INPI’s Official Claim Processing Channels | 5 days | 5 days | 5 days | 5 days | 5 days |

SCHEDULE II

Performance Indicator Calculation Formulas

| PERFORMANCE INDICATOR | CALCULATION FORMULA |
|--|--|
| PATENTS (1st GROUND OF EXAMINATION) | |
| Patent Applications | <p>Monthly result: \sum Patent applications filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) of non-residents, residents, and total applications for assessment.</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Decision on Technical Examination of Patent Applications | <p>Monthly result: \sum (approval [code 9.1] + rejection [code 9.2] + technical dismissal [code 11.2] + technical dismissal [code 11.5]).</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Decision Time for Technical Examination of Applications for Prioritized Examination of Patents (of the prioritization request) | <p>Monthly result: Average time elapsed between the priority request for examination dates and the technical examination decision dates (approval [code 9.1]; rejection [code 9.2]; technical dismissal [code 11.2]; technical dismissal [code 11.5]) in INPI's Gazette issues of the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| Decision Time for Technical Examination of Patent Applications (of the date of request for examination) | <p>Monthly result: Average time elapsed between the request for examination dates and the technical examination decision dates (approval [code 9.1]; rejection [code 9.2]; technical dismissal [code 11.2]; technical dismissal [code 11.5]), in the INPI's Gazette issues of the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| TRADEMARKS (1st GROUND OF EXAMINATION) | |
| Trademark Applications | <p>Monthly result: Total applications filed with the General Automated Filing System (PAG) in the reference month (Service codes: 389, 394, and 3011).</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Decision on Technical Examination of Trademark Applications | <p>Monthly result: Total following decisions: Approval (IPAS029 and IPAS768), Partial approval (IPAS781), and Rejection (IPAS024 and IPAS774), published in INPI's Gazette issues of the reference month.</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Decision Time for Technical Examination of Trademark Applications | <p>Monthly result: Mode of the frequencies of the time elapsed between the date of filing of all applications and the date of publication of the technical examination decision (approval [codes IPAS029 and IPAS768]; partial approval [code IPAS781];</p> |

| PERFORMANCE INDICATOR | CALCULATION FORMULA |
|---|--|
| | and rejection [codes IPAS024 and IPAS774] in INPI’s Gazette of the reference month. Considering, as the case may be, applications without and with opposition. Result for the year: Equal to the result for the last month. |
| INDUSTRIAL DESIGN (1st GROUND OF EXAMINATION) | |
| Industrial Design Applications | Monthly result: \sum Industrial Design applications filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment. Cumulative result: Aggregate monthly results. |
| Decision on Technical Examination of Industrial Design Applications | Monthly result: \sum (granting [code 39]+ rejection [code 36]), published in INPI’s Gazette issues of the reference month. Cumulative result: Aggregate monthly results. |
| Decision Time for Technical Examination of Industrial Design Applications | Monthly result: Average frequencies of the time elapsed, in months, between the date of publication in INPI’s Gazette issues of the reference month of the technical examination decision (granting [code 39]; rejection [code 36]) and the filing of the application with INPI. Result for the year: Average monthly results. |
| GEOGRAPHICAL INDICATIONS (1ST GROUND OF EXAMINATION) | |
| Geographical Indication Applications | Monthly result: \sum Geographical Indication applications filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment. Cumulative result: Aggregate monthly results. |
| Decision on Technical Examination of Geographical Indication Applications | Monthly result: \sum grantings (code 395) and rejections (code 375) of Geographical Indication applications in the reference month. Cumulative result: Aggregate monthly results. |
| Decision Time for Technical Examination of Geographical Indication Applications | Monthly result: Average frequencies of the time elapsed, in months, between the date of filing of the application and the date of publication of the final decision (granting [code 395]; rejection [code 375]), in INPI’s Gazette issues of the reference month. Considering applications filed as of January 2017. Result for the year: Equal to the result for the last month. |

| PERFORMANCE INDICATOR | CALCULATION FORMULA |
|---|---|
| SOFTWARE (1st GROUND OF EXAMINATION) | |
| Software Application | <p>Monthly result: \sum Software applications filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment.</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Software Registration | <p>Monthly result: \sum Software registrations filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment.</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Time for Software Registration | <p>Monthly result: Average frequencies of the time elapsed, in calendar days, between the date of publication of the registration in INPI's Gazette issues of the reference month and the filing of the applications with INPI. For applications filed electronically, as of September 12, 2017, pursuant to Normative Instruction (IN) No. 074/2017.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| INTEGRATED CIRCUIT LAYOUT DESIGN (AT 1st GROUND OF EXAMINATION) | |
| Integrated Circuit Layout Design Application | <p>Monthly result: \sum Integrated Circuit Layout Design applications filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment.</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Integrated Circuit Layout Design Registration | <p>Monthly result: \sum Integrated Circuit Layout Design registrations filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment.</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Time for Integrated Circuit Layout Design Registration | <p>Monthly result: Average frequencies of the time elapsed, in calendar days, between the date of publication of the registration in INPI's Gazette issues of the reference month and the filing of the applications with INPI.</p> <p>Result for the year: Equal to the result for the last month.</p> |

| PERFORMANCE INDICATOR | CALCULATION FORMULA |
|--|--|
| TECHNOLOGY AGREEMENTS (1st GROUND OF EXAMINATION) | |
| Technology Agreement Application | <p>Monthly result: \sum Technology Agreement recording applications filed with INPI on the reference date of collection and analysis of data, using data on administrative records of inclusion on the General Automated Filing System (PAG) for assessment. Codes [400, 401, 402, 403, 404, 406, 425, 426, 427, 428, 430, 433, 434, 435, 407, 420].</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Decision on Technical Examination of Technology Agreement Applications | <p>Monthly result: \sum (decisions on recordings [code 350] + rejection [code 130] + dismissal [code 185] + inexistent [code 140] - \sum (decisions [code 350] caused by petitions [codes 408 and 416])).</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Average Decision Time for Notified Technology Agreement Filings | <p>Monthly result: $\{ \sum$ [date of publication of the decision on the filing of the petitions submitted in the period ¹excluding decisions resulting from appeal filings ²] – (date of publication of the notice of the filing of the petitions submitted in the period ³)] \div (n)}.</p> <p><u>Notes:</u></p> <ul style="list-style-type: none"> - “n” is the number of petitions filed; - The goal refers to 100% filings decided with an average time of up to 27 days, calculated based on the formula above. <p>¹codes 130, 135, 134, 145, 155, 185 and 350 ²codes 416, 432, 437, 438, 439, 440 ³codes 400, 401, 402, 403, 404, 406, 410, 425, 426, 427, 428, 430, 433, 434, 435, 408, 407, 412, 420, 423 and 421.</p> <p>Result for the year: Application of the formula on all filings in the year.</p> |
| APPEALS AND INVALIDATIONS (REVIEWING INSTANCE) | |
| Preparation of Technical Reports to support decisions in appeals and administrative nullity proceedings and the defense of the institute in lawsuits, in matters related to the reviewing administrative instance. | <p>Monthly result: \sum (Preparation of technical reports to support decisions in appeals + Preparation of technical reports to support decisions in administrative nullity proceedings + Preparation of technical reports to support the defense of the institute in lawsuits by reviewing administrative instance employees in Patent, Trademark, Industrial Design, and other processes in the reference month).</p> <p>Cumulative result: Aggregate monthly results.</p> |
| Time for the preparation of Technical Reports to support decisions on appeals in patent processes. | <p>Monthly result: Average frequencies of the time elapsed, in months, between the filing of an appeal in a Patent process until the preparation of technical reports to support decisions in the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| Time for the preparation of Technical | <p>Monthly result: Average frequencies of the time elapsed, in</p> |

| PERFORMANCE INDICATOR | CALCULATION FORMULA |
|---|--|
| Reports to support decisions on administrative nullity proceedings in patent processes. | <p>months, between the filing of an Administrative Nullity Proceeding in a Patent process until the preparation of technical reports to support decisions in the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| Time for the preparation of Technical Reports to support decisions on appeals in trademark processes. | <p>Monthly result: Average frequencies of the time elapsed, in months, between the filing of an appeal in a Trademark process until the preparation of technical reports to support decisions in the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in trademark processes. | <p>Monthly result: Average frequencies of the time elapsed, in months, between the filing of an Administrative Nullity Proceeding in a Trademark process until the preparation of technical reports to support decisions in the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| Time for the preparation of Technical Reports to support decisions on appeals in industrial designs and other registrations processes. | <p>Monthly result: Average frequencies of the time elapsed, in months, between the filing of an appeal in an Industrial Designs and other registrations processes until the preparation of technical reports to support decisions in the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| Time for the preparation of Technical Reports to support decisions on administrative nullity proceedings in industrial designs processes. | <p>Monthly result: Average frequencies of the time elapsed, in months, between the filing of an Administrative Nullity Proceeding in Industrial Designs processes until the preparation of technical reports to support decisions in the reference month.</p> <p>Result for the year: Equal to the result for the last month.</p> |
| CLIENT RELATIONSHIP | |
| Percentage of Users' Satisfaction with INPI's Client Relationship and Transparency | <p>Monthly result: Calculation of (a) the percentage corresponding to the application of weight 3 to the total claims processed by Contact us and assessed in a certain month with "good" and "excellent" degrees of satisfaction, divided by the total claims assessed (FC% X 3); (b) added to the percentage corresponding to the application of weight 2 to the total claims processed by the Ombudsman System and assessed in a certain month with "good" and "excellent" degrees of satisfaction, divided by the total claims assessed (OUVID% X 2); (c) added to the percentage corresponding to the application of weight 2 to the assessment of requests of access to information processed by the Citizen Information Service, assessed in a certain month in the Access to Information Law Dashboard (SIC% X 2); (d) added to the percentage corresponding to the application of weight 3 to the total claims processed by the Remote and In-Person</p> |

| PERFORMANCE INDICATOR | CALCULATION FORMULA |
|--|---|
| | <p>Client Assistance and assessed in a certain month with “good” and “excellent” degrees of satisfaction, divided by the total claims assessed (SAT% X 3);(e) divided by 10; (f) the quotient added to the percentage corresponding to the Public Transparency Rate calculated based on the total active transparency items deemed fully met by the Brazilian Office of the Comptroller General, assessed in the Fala.Br Platform, divided by the total active transparency items (TTP%);and (g) divided, in the end, by 2, according to the arithmetic operation below: { [(FC% X 2) + (OUVID% X 3) + (SIC% X 3) + (SAT% X 2)] / 10 + (TTP%) } / 2</p> <p>Cumulative result: Average monthly results.</p> |
| <p>Average Time of Assistance by INPI’s Official Claim Processing Channels</p> | <p>Monthly result: Calculation of (a) the average difference, in days, between the date of the reply provided to the user and the date of receipt of the claims processed by Contact us in a certain month (FCtm); (b) added to the average difference, in days, between the date of the reply provided to the user and the date of receipt of the claims processed by the Ombudsman System in a certain month (OUVIDtm); (c) added to the average difference, in days, between the date of the reply provided to the user and the date of receipt of the requests of access to information by the Citizen Information Service in a certain month (SICtm); (d) added to the average difference, in days, between the date of the reply provided to the request and the date of receipt of the Remote and In-Person Client Assistance in a certain month (SATtm); (e) divided by 4, according to the arithmetic operation below:</p> <p>[(FCtm) + (OUVIDtm) + (SICtm) + (SATtm)] / 4.</p> <p>Cumulative result: Average monthly results.</p> |

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