

# State Owned Enterprises in Brazil

Aggregate Report

Sest | 2021

Base Year 2020



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Base Year 2020.  
Appendices.

1. State owned enterprises - Brazil. 2. Corporate governance. 3. State owned enterprises governance. 4. Economic indicators – Brazil – 2020. I. Brazil. Ministry of Economy. Secretariat of Coordination and Governance of State-Owned Enterprises.

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# About Us

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Established in 2016, the **Secretariat of Coordination and Governance of State Owned Enterprises – Sest** is part of the Special Secretariat of Privatization, Divestments and Markets – SEDDM in the Ministry of Economy in Brazil. Our goal is to improve governance in the federal State Owned Enterprises – SOEs and to contribute to the transparency and efficiency of public investment.

Sest's objective is to propose and establish the parameters regarding corporate budget, governance and personnel policies to SOEs, where the Federal Government owns, directly or indirectly, the majority of the social capital.

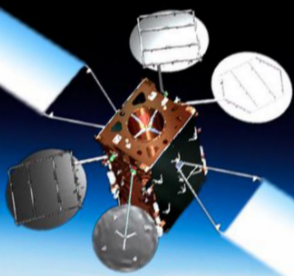
The first version of this report was published in 2020 along with other relevant accomplishments in governance, such as: completion of the OECD Review of the Corporate Governance of State Owned Enterprises in Brazil; publication of the SOE Bylaws model, in line with OECD guidelines; disclosure of the review on “Brazilian SOEs and Covid-19”, where the main actions adopted by the companies were presented.

Sest also coordinates the liquidation processes of federal state owned enterprises, included in the National Privatization Program - PND, monitors and adopts the necessary measures to carry out the above-mentioned processes. In this context, it is important to mention the conclusion of the liquidation processes of Maranhão's Port Authority (CODOMAR), in September 2020, and of the Minas Gerais's Warehouses and Silos (CASEMG), in October 2020.

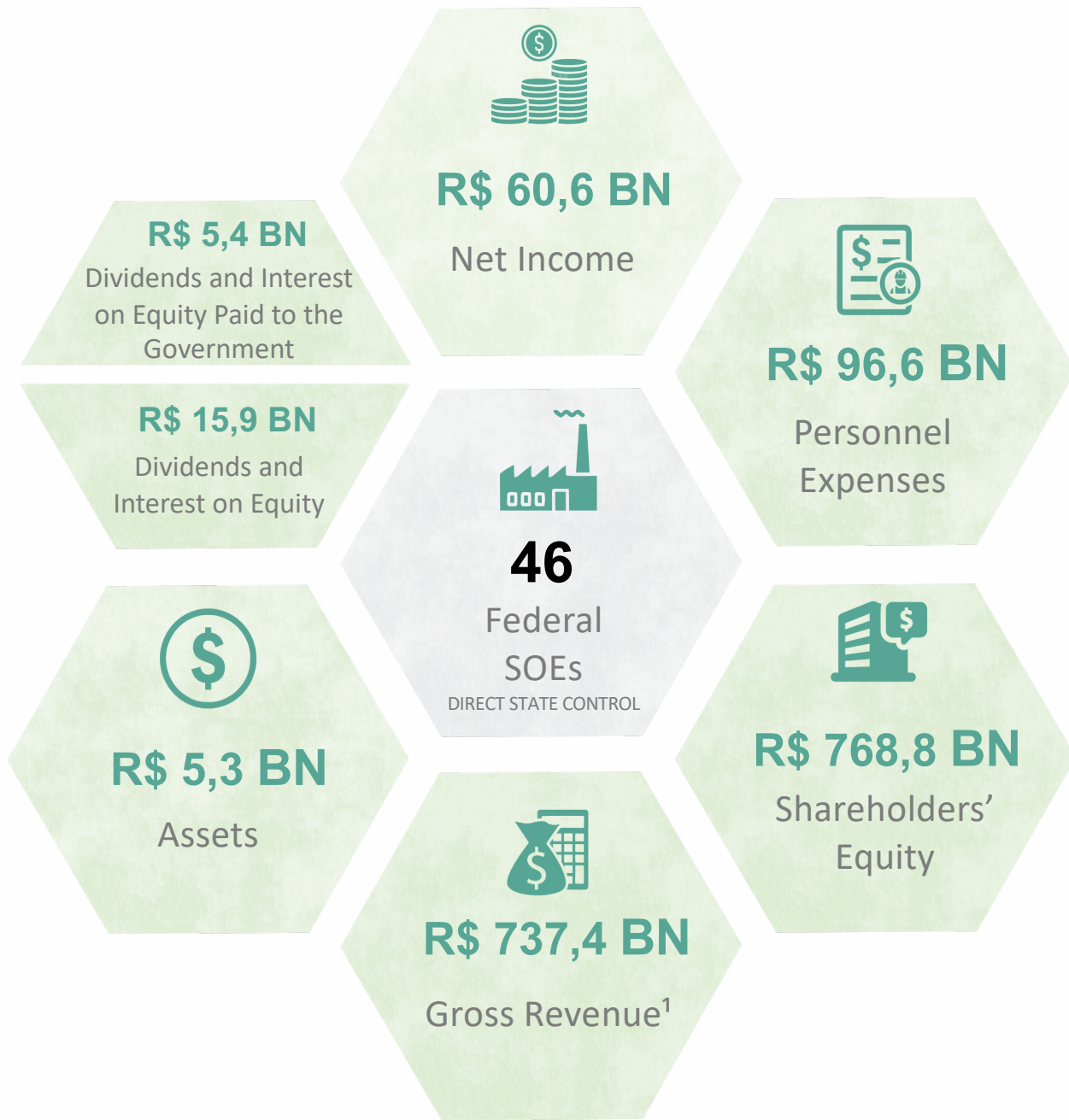
Through such mechanisms and respecting the majority shareholder's perspective, Sest provides a relevant service to the civil society, bringing recommendations to SOEs in line with the best worldwide existing governance practices.

# SOEs at a glance

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# SOEs at a glance



## Labor Force Profile



<sup>1</sup> Sum of total sales or revenue with services provided of a company.

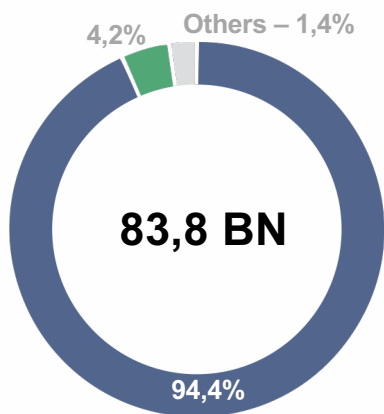
As a reference, use the Brazilian Central Bank exchange rate in December 31st of 2020 of R\$ 5,1967/USD 1,00 (source: <https://www.bcb.gov.br/estabilidadefinanceira/historicocotacoes>)

# Activity Sectors

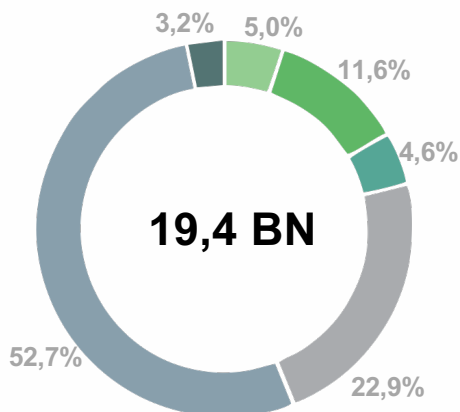
SOEs at a glance



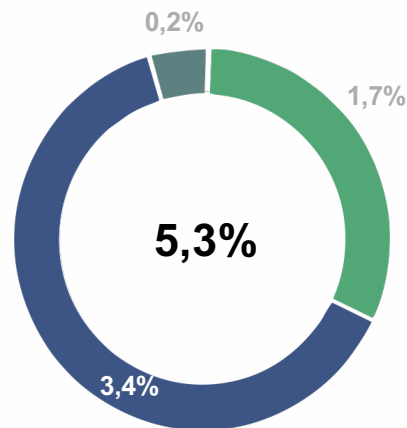
INVESTMENTS<sup>2</sup>



TREASURY RESOURCES RECEIVED BY SOEs



SOE's GDP SHARE



<sup>2</sup> Data from non-dependent SOEs. 2020 Financial data not provided by VALEC and CODERN.

As a reference, consider the Brazilian Central Bank exchange rate in December 31st of 2020 of R\$ 5,1967/USD 1,00

# Public Policies

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# Public Policies

SOEs are considered as tools through which the Government maximizes society's welfare, delivering public goods and services, through public policies. As stated in the Federal Constitution of Brazil, SOEs were created to attend the collective interest and national security. In this session of the report, Brazilian SOEs and their public policy are presented, each company being included in the respective sector of action.

## Food Supply

### **CEAGESP - SUPPLY AND DISTRIBUTION CENTER OF SÃO PAULO**

Executes and controls of the State of São Paulo's food supply, acting through wholesale warehouses and storage centers.

### **CEASAMINAS - SUPPLY AND DISTRIBUTION CENTER OF MINAS GERAIS**

Executes and controls of the State of Minas Gerais' food supply, through installation and administration of regional wholesale warehouses and distribution of food products.

### **CONAB - BRAZILIAN FOOD SUPPLY COMPANY**

Responsible for promoting the regularity in the nation's food supply and ensuring an income to the rural producer.

## Financial

### **ABGF - BRAZILIAN GUARANTEES AGENCY**

Provides services for export credit insurance activities backed by the Export Guarantee Fund (FGE). Also responsible for the administration of Infrastructure Guarantee Fund (FGIE), and Rural Insurance Stability Fund (FESR).

### **BASA - AMAZON BANK S.A.**

Provides and executes credit and financial policies in Amazon Region, specially those aimed at social and economic development. The bank manages the North Constitutional Financing Fund.

### **BNB - BANK OF NORTHEAST OF BRAZIL**

Acts as a development bank for the Northeast Region of Brazil, operating and managing the Northeast Constitutional Financing Fund, the Northeast Investment Fund and the Northeast Development Fund. Responsible for promoting the productive microcredit programme and research and innovation initiatives.

### **BB - BANK OF BRAZIL GROUP**

Responsible for the central government's credit policy with regards to loans made to commercial, industrial and rural activities, disseminating credit and implementing foreign trade policy. Acts as a financial agent to the National Treasury.



# Public Policies

## ⑤ BNDES - BRAZILIAN DEVELOPMENT BANK GROUP

Executes the investment policy of the Federal Government. Offers support for programs, projects and services related to the country's social and economic development, including private sector.

## ⑤ EMGEA - ASSET MANAGER COMPANY

Responsible for the development and implementation of financial solutions for Government credit recovery in commercial and real state operations.

## ⑤ FINEP - STUDIES AND PROJECTS FINANCING COMPANY

Operates throughout the innovation chain with a focus on strategic, structuring and impactful activities for Brazil's sustainable development. Promotes Brazil's economic and social development through public funding for Science, Technology and Innovation at companies, universities, technological institutes and other public or private institutions.

## ⑤ CAIXA - FEDERAL SAVINGS BANK GROUP

Acts as national financial agent for housing and urban development, specially for basic sanitation and in social public policy operations such as Severance Pay Fund (FGTS), Social Integration Programme and popular housing. Caixa is responsible for paying out the funds to support programmes to socially vulnerable families and for unemployment insurance.

## Industry

## CEITEC - ADVANCED ELETRONICS TECHNOLOGY NATIONAL CENTER<sup>3</sup>

Acts on the development and manufacture of products and applications with semiconductor and integrated circuits. The company is under a liquidation process since December 2020.

## CMB - BRAZILIAN MINT

Provides banknotes and coins to the Central Bank of Brazil, produces electronic passport's booklets; tax stamps and stamps to the Brazilian Post Office Company – ECT.

## HEMOBRÁS - BRAZILIAN COMPANY OF BLOOD DERIVATIVES AND BIOTECHNOLOGY

Produces blood-derived and biotechnology medication in order to assist the National Health System. Manages the exceeding plasma from transfusion usage.

## IMBEL - BRAZILIAN MILITARY EQUIPMENT INDUSTRY

Provides military products and develops technology to assist Brazilian's defense demand for war material.



# Public Policies

## INB - NUCLEAR INDUSTRIES OF BRAZIL

Participates on energy, defense, science and technology related public policies and on Brazilian Nuclear Policy, aimed at the productive chain of nuclear fuel cycle, commercialization of nuclear materials and execution of nuclear reactors engineering services.

## NUCLEP - BRAZILIAN HEAVY NUCLEAR EQUIPMENT COMPANY

Designs, develops, produces and commercializes heavy components related to nuclear plants. It's a member of the Submarine Development Programme and participates in the Nuclear Powering Generation Laboratory, part of the Navy's Nuclear Program.

## Infrastructure & Transport

### CBTU - BRAZILIAN COMPANY OF URBAN TRAINS

Fosters urban mobility operating passenger rail transport, to improve life quality in five metropolitan areas: Belo Horizonte - MG, João Pessoa - PB, Maceió - AL, Natal - RN e Recife - PE.

### CDC – CEARA'S PORT AUTHORITY

Contributes to the local development by providing products' infrastructure outflow, according to Port Logistics National Plan.

### CDP - PARA'S PORT AUTHORITY

The company is the port authority at Para's port, according to Port Logistics National Plan.

### CDRJ - RIO DE JANEIRO'S PORT AUTHORITY

Contributes to the local developing by providing products' infrastructure outflow, according to Port Logistics National Plan.

### CODEBA - BAHIA'S PORT AUTHORITY

Acts towards developing tourism activity through the Passenger Port Terminal, contributing to Bahia's economic growth, specifically reinforcing oil and chemicals production chain.

### CODERN - RIO GRANDE DO NORTE'S PORT AUTHORITY

Provides Rio Grande do Norte and Alagoas States ports infrastructure to passengers and cargo sea transportation, contributing to economic, social and sustainable regional development.

### CODESA - ESPIRITO SANTO'S PORT AUTHORITY

Promotes efficient, sustainable and reliable operations for Espirito Santo State ports' infrastructure.



# Public Policies

## **CODEVASF - DEVELOPMENT COMPANY FOR SÃO FRANCISCO RIVER AND PARNAIBA VALLEYS**

Fosters social and economic growth at the São Francisco River Valley Region with focus in strategic themes such as: river basin revitalization; irrigated agriculture; sustainable economy; and São Francisco River Integration Program.

## **INFRAERO - BRAZILIAN COMPANY FOR AIRPORT INFRASTRUCTURE**

Provides and manages Brazilian airport infrastructure and supply passenger and cargo air transport services, to promote national and international integration.

## **SPA - SANTOS PORT AUTHORITY**

Operates Santos' Port installations in compliance with Ministry of Infrastructure Strategic Plan and the Developing and Zoning Plan.

## **TRENSURB – PORTO ALEGRE'S TRAIN COMPANY**

Fosters urban mobility operating passenger rail transport, to improve life quality in metropolitan area of Porto Alegre - RS.

## **VALEC - ENGINEER, CONSTRUCTION AND RAILWAYS COMPANY**

Fosters the exploitation and construction of railways infrastructure according to Transports National Policy and develops studies and projects regarding railway works.

## Research and Contracts Management

### **AMAZUL - AMAZONIA DEFENSE TECHNOLOGY COMPANY**

Works on projects related to the Brazilian Nuclear Program; Navy Nuclear Program and Submarine Developing Program

### **CPRM - MINERAL RESOURCES RESEARCH COMPANY**

Coordinates, oversees and performs geological studies aimed at the characterization and evaluation of mineral resources Brazilians, providing support to the elaboration of public policies. It also acts in hydrology; geodiversity; geological risk mapping issues.

### **EMBRAPA - BRAZILIAN AGRICULTURAL RESEARCH CORPORATION**

Researches and produces technological solutions to the agricultural sector in line with the: Agrobiodiversity Genetic Resources National Policy; the Biosafety National Policy; Agricultural Defense Modernization and Strengthening Program; Biofuels National Policy; and Agroecology and Organic Farming National Plan.



# Public Policies

## EPE - ENERGY RESEARCH OFFICE

Carries out studies and research on energy sector, including electric power, oil, gas, biofuels, in a long-term perspective. It also monitors, evaluates, and publicizes the National Energy Policy results, contributing to reduce market information asymmetry and sector's entry barriers.

## EPL- PLANNING AND LOGISTICS COMPANY

Conducts research and projects to support infrastructure, logistics and transportation planning.

## EMGEPRON - BRAZILIAN COMPANY FOR NAVY PROJECTS

Promotes the Brazilian military naval industry, including R&D activities, and Brazilian Navy projects management. Performs activities related to obtaining and maintenance of naval military equipment

## PPSA - PRE-SALT OIL COMPANY

Operates on three major fronts: managing production sharing contracts, managing oil and natural gas commercial activities, and representing the Federal Government on unitization agreements.

## Oil, Gas and Energy

### ELETROBRAS - BRAZILIAN ELECTRIC COMPANY

The Group operates in the electricity generation and transmission segments, participates in the implementation and operation of power plants, transmission lines and substations. It acts on government programs focus on energy efficiency, universal access to electricity and encouragement of alternative energy sources.

### PETROBRAS - BRAZILIAN OIL COMPANY

Carries out oil and natural gas exploration and production, as well as the refinement of Brazilian crude oil. The Group also transports and stores various sector products and operates a network of oil, gas pipelines, oil tankers, land and waterway terminals.

## Health Care

### EBSERH - BRAZILIAN COMPANY FOR HOSPITAL SERVICES

Manages Federal Universities Hospitals, which provide medium and high complexity medical care through the National Health System (SUS); training qualified professionals and promotes research and outreach projects.



# Public Policies

## ♥ **GHC - CONCEIÇÃO HOSPITAL GROUP**

This Hospital Group is a member of the National Health System (SUS) and manages, develops and executes actions and health services in Porto Alegre (RS). The hospitals are focused on technical and high education, besides conducting scientific and technical health researches.

## ♥ **HCPA - PORTO ALEGRE'S GENERAL HOSPITAL**

Performs health care practices in Porto Alegre - RS, as a member of the National Health System (SUS), aside from training professionals and conducting research to the public healthcare system.

## Information Technology and Communication

### **DATAPREV - SOCIAL SECURITY TECHNOLOGY AND INFORMATION COMPANY**

Provides information technology solutions to Government's strategic and social programs. Performs the processing of monthly payments for social benefits as social security retirement payments, illness aid, unemployment insurance and maternity leave salary.

### **EBC - BRAZILIAN COMMUNICATION ENTERPRISE**

Produces and disseminates educational, cultural, scientific, recreation and citizenship issues on TV and radio programming. Aiming at the establishment of the National Public Communication Network, it works in cooperation with public and private entities that explore public communication or broadcasting services.

### **ECT - BRAZILIAN POST OFFICE COMPANY**

Ensures the universal postal service and establishes quality and goods delivery time standards to the basic postal services.

### **SERPRO - FEDERAL DATA PROCESSING SERVICE**

Develops technological solutions through the provision of infrastructure and hosting of structuring systems and IT services to the Brazilian government.

### **TELEBRAS - BRAZILIAN TELECOMMUNICATIONS COMPANY**

Supports public policies supplying broadband internet connection for universities, research centers, schools, hospitals, and other public interest places. It also provides broadband internet connection service to end-user, in locations where there is no adequate private suppliers market.





# ESG Corporate Actions

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# ESG Corporate Actions

The Brazilian SOE Statute given by Law nº 13.303/2016 presents in article 27 the social prerogative expected of each company, in a way that it must be oriented to the realization of the collective interest and aimed at providing the social well being and efficient allocation of the resources generated by each enterprise.

In this sense, in alignment with the best practices in governance, with focus on responsible business conduct, this report presents some non-financial performance highlights by the SOEs in 2020, with special attention to environmental, social and governance matters (ESG). Such actions are added on to financial performance of the SOE, in sustainability and social corporate responsibility issues, compatible with the market each company is allocated.

## Food Supply

### CEAGESP - FOOD SUPPLY AND DISTRIBUTION CENTER OF SÃO PAULO

CEAGESP promotes a programme that assists 160 children and young adults who live in social vulnerability at its surroundings. In 2020, 108 children, between 11 months to 4 years of age, were attended and 37 children were sent to the Municipal Primary School. Another 30 students, between 6 and 13 years old were assisted with extra tutoring lessons and psychological follow up.

### CEASAMINAS - FOOD SUPPLY AND DISTRIBUTION CENTER OF MINAS GERAIS

Social actions were promoted through Ceasaminas Institute and the Secretary of Health of Minas Gerais with projects related to food donation, educational campaigns for Covid-19 prevention, dengue, measles and influenza virus, blood donation and work safety.



### CONAB - BRAZILIAN FOOD SUPPLY COMPANY

Conab implemented the distribution of food to specific and traditional populational groups as programmed by the the Ministry of Citizenship. In this action, food is supplied to the most vulnerable groups. In 2020, 47 thousand families were assisted through this program and 3.110 tons of food were donated.

During the year of 2020, with the Covid-19 pandemic, Conab acted to reduce the impact caused by the illness, with measures oriented to agricultural families in the Amazon region, where 23 thousand producers have been benefited.

## Financial

### BASA - AMAZON BANK S.A.

BASA focused the application of its resources on cities that have the lowest Human Development Index (IDH). Provided financing: to urban and rural micro-entrepreneurs; to purchase of machinery and equipment to technological improvement and business innovation; and for acquisition of green energy by rural projects.



# ESG Corporate Actions

## BNB - BANK OF NORTHEAST OF BRAZIL

In 2020, BNB represented 87.6% of the total portfolio of productive microcredit (individuals – targeted microcredit and microentrepreneurs). 95.5% of the microcredit line beneficiaries have completed high school, and 58.1% of them have completed primary education, and most customers (67%) are female. The microcredit product to family farming also has a profile of low education customers (90% with education up to elementary school).

Due to the Covid-19 pandemic, credit was offered at reduced rates, installments were extended, grace period for the payment of the first installment was granted, and more flexible policies for renegotiation of loans and financing were implemented.

## BB - BANK OF BRAZIL GROUP

BB holds the leadership in contracting financing for the Low Carbon Agriculture Program (ABC): 70.3% of the market.

Global 100: the company was in 3<sup>rd</sup> place in the ranking of the world's leading companies in sustainability, being considered as the most sustainable financial institution in the world and appearing on the "Corporate Knights" list for the third consecutive year.

The import of Personal Protective Equipment - PPE and ventilators for base hospitals was financed by BB, totaling US\$ 309 million.

The 2<sup>nd</sup> Edition of the Women's Leadership Program, which aims to have more female population enrolling in opportunities for professional advancement in the company, had the participation of more than 6,400 employees.

## BNDES - BRAZILIAN DEVELOPMENT BANK GROUP

Under the BNDES' Equity and Enforcement of Diversity Policy, BNDES monitored teenagers' social-educational and school development through a comprehensive program of emotional and behavioral teenager apprentice, aged between 14 and 19, who belong to some degree of economic vulnerable family.

## CAIXA - FEDERAL SAVINGS BANK GROUP

In 2020, R\$ 2.52 million were invested in 11 projects related to social and environmental themes. Throughout 2020, 536 million payments were made to beneficiaries of Pandemic Emergency Assistance through an innovative and democratic path, with more than 107 million digital accounts opened, 38 million of them for people who have never had a bank account.

Caixa held the 4<sup>th</sup> national edition of the Women's Leadership event with the participation of women from the company's senior management. As a result of this initiative, at the end of 2020, CAIXA had 14 women leaders, including Vice Presidents and Directors, that represents an increase from 9.76% to 19.05% based on the previous year.

## FINEP - STUDIES AND PROJECTS FINANCING COMPANY

The company financed laboratories structuring to study COVID-19, leaving a C&T legacy. It supported research institutes to increase the COVID-19 testing capacity, pharmacological inputs production, besides research on prevention and treatment.



# ESG Corporate Actions

## Industry

### **CMB - BRAZILIAN MINT**

Above 60% of the waste generated by the company was recycled: more than 800 tons of waste were sent to recycling processes, re-refining oils, co-processing in kilns for cement production and composting to produce organic fertilizers. The Mint provided the first job training for teenagers living in its neighborhood, however, in 2020, because of the pandemic, training took place through theoretical online classes.

### **HEMOBRAS - BRAZILIAN COMPANY OF BLOOD DERIVATIVES AND BIOTECHNOLOGY**

In 2020, more than 7 tons of recyclable material were donated to a waste collectors' association, contributing to community's development.

### **NUCLEP - BRAZILIAN NUCLEAR HEAVY EQUIPMENT COMPANY**

In 2020, the company collected and recycled 37.81 liters of used vegetable oil brought by employees: when taking the oil, the employee receives detergent or soap. This project prevented the contamination of 756,200 liters of water. Another project aimed at providing information about the activities developed at the Port Terminal and preservation of Sepetiba Bay.

## Infrastructure & Transport

### **CBTU - BRAZILIAN COMPANY OF URBAN TRAINS**

CBTU promoted an advertising campaign in its trains aimed at the sustainable use of electricity as an action towards social responsibility and stimulation of students' interest for new technologies with reduction or elimination of resource waste.

### **CDC - CEARA PORT AUTHORITY**

Many actions were in place in 2020 to monitor the ballast water and marine life, with results being reported in the company's site. Throughout the year several actions were promoted for environmental education with port's employees.



### **CDRJ - RIO DE JANEIRO PORT AUTHORITY**

CDRJ is engaged in several environmental and sustainable actions such as training and risk prevention programs. On the same note, the company has a social agenda that involves programs that exchange plastic bottle caps for wheelchairs and food donation to vulnerable families of the community.

### **CODEBA - BAHIA PORT AUTHORITY**

Codeba implemented a system for treating rainwater in the new waste plant at the Port in Salvador – BA. Furthermore, in the Port of Ilheus, environmental monitoring has begun which will allow a continuous environmental database collection to strengthen the dialogue between companies and the community around.



# ESG Corporate Actions

## CODESA- ESPIRITO SANTO PORT AUTHORITY

Codesa promoted safety, environmental and educational actions in the local community, oriented to truck drivers that work in the Port. The material included videos, guidebooks and magazines related to topics such as selective collection, water conservation, forests, sustainability and more.

## CODEVASF - DEVELOPMENT COMPANY FOR RIVERS SÃO FRANCISCO AND PARNAIBA VALLEYS

Codevasf promoted environmental actions such as the implementation of a sewage system for low-income families, water supply system, conservation of water mines, soil and forest resources, regeneration of native vegetation and environmental education for the local producers and their families. It is estimated that 2 million people were benefited with sustainable economy actions. Moreover, 16 thousand tons of goods such as machinery, automobiles and general resources were donated, 24 units of production and commercialization were finished and nearly 140 works for production outflow were concluded.

## TRENSURB - TRAIN COMPANY OF PORTO ALEGRE

The company promotes accessibility solutions at the train stations for people with low mobility and offers vocational programs on administrative subjects for young people from low-income families.

## SPA - SANTOS PORT AUTHORITY

SPA is aligned with sustainable development goals: training and education to port workers and the community, managing the use and reuse of water resources and promotion of marine life preservation initiatives.

In partnership with the Brazilian Sanitary and Surveillance Agency (ANVISA), SPA promoted in 2020 the first trial practice in Brazil to test response action in situation of Covid 19 with crew members boarded in ships in Brazilian territory.



Simulation promoted by SPA

## Research and Contracts Management

### CPRM - MINERAL RESOURCES RESEARCH COMPANY

CPRM promoted technical support to the Civil Defense Agency of Maceió and Ministério Público of Alagoas State in the prevention of calamities in specific areas.



# ESG Corporate Actions

## EMBRAPA - BRAZILIAN AGRICULTURAL RESEARCH CORPORATION

In 2020 Embrapa developed an integrated data management and sustainability panel to monitor and analyze environmental regularities of its 12 spots and outlying improvements. "Vision for 2030: Sustainable Development" Project included studies in social economic and special changes in agriculture, strengthening of agriculture production systems, climate change, agricultural risks and the consumer as a protagonist.

Embrapa's scientists developed a new formula of a thickener to be used in antiseptic gels, in substitution to scarce carbopol.

Embrapa's laboratories were used for Covid-19's tests, all supervised by the Ministry of Health in association with Oswaldo Cruz Foundation and Adolfo Lutz Institute. In December 2020, 729 samples were processed.

## ⚡ Oil, Gas and Energy

### ELETROBRAS – BRAZILIAN ELECTRIC COMPANY

The company invested R\$ 886,2 million in environmental and social projects.

Eletrobra's strategic plan for 2020-2035 is related with sustainable development goals, such as: participation in clean energy, rise of women in managing positions, reduction in the consumption of fossil fuels, research and innovation investments, reduction in greenhouse gas emission, promote diversity in partners and fighting corruption.

The company is engaged in a project to promote training and evaluation on human rights issues among stakeholders. In 2020, Eletrobras joined a programme to fight sexual exploitation of children and teenagers.

### PETROBRAS – BRAZILIAN OIL COMPANY

Petrobras's social and environmental programme is aligned with the communities' demands at company' operations areas, the strategy towards low carbon emission and the offshore performance.

The company's main lines of action are: Education, Sustainable Economic development, climate and ocean, oriented to help in four global development objectives: (4) quality education, (8) Economic growth and honest work, (14) Life below water and (15) Life on land.

In 2020, women represented 17% of all company workers. In managing position this number reached 20%.

During the Covid-19 pandemic, Petrobras donated more than R\$ 23 million to help fight its impacts.



# ESG Corporate Actions

## Health Care

### EBSERH - THE HOSPITAL SERVICES BRAZILIAN COMPANY

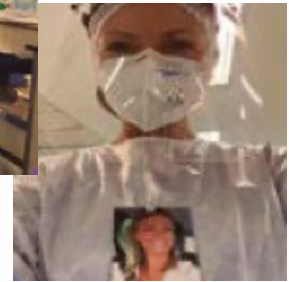
Six hospitals of EBSEH group were part of the Brazilian research centers responsible for testing, on a large scale, the safety and efficacy of three vaccines against Covid-19. Emergencial recruitment public processes were carried out (40 Federal University Hospitals and Central Administration), for hiring professionals able to work against Covid-19.

### GHC - CONCEIÇÃO HOSPITAL GROUP

Hospital's Conceição physiotherapy service launched a booklet with exercises for post-Covid patients. Community Health Program helped asthma patients online. The GHC team promoted activities for homeless people. The hospital conducted a campaign to attract convalescent plasma donors to Covid-19 research.



Covid-19 ICU staff at GHC wear badges with enlarged names and photos



## Information Technology and Communication

### DATAPREV - SOCIAL SECURITY TECHNOLOGY AND INFORMATION COMPANY

DATAPREV put in place 15 IT solutions, allowing Federal Government to register and pay the emergencial financial assistance due to COVID-19 pandemics constraints.

### EBC - BRAZILIAN COMMUNICATION ENTERPRISE

Provided an exclusive webpage for pandemic information. In 2020, 8,643 articles were broadcasted to radio stations across the country, focused on special productions about the new coronavirus pandemic issues.

### ECT - BRAZILIAN POST OFFICE COMPANY

Through the EcoPostal Program, ECT donated used postal fabrics, as mailman shirts, to non-profit entities for recycling. In 2020, ECT has joined Government's social programs in the manufacture of masks and other objects related with the struggle against the pandemic.

Actions related to the COVID-19 Pandemic:

- Transport of the live virus between research institutions – transport followed high security requirements, so the material was delivered within 20 hours of collection.
- The company has launched a program to help merchants who needed to change their business matrix and take their first steps in e-commerce.

### SERPRO - FEDERAL DATA PROCESSING SERVICE

The company developed the CBIO platform: a technological interface that supports Decarbonization Credits (CBIOs) generation. This platform is a partnership among SERPRO and Petroleum, Natural Gas and Biofuels National Agency.

### TELEBRAS - BRAZILIAN TELECOMMUNICATIONS COMPANY

The company provided broadband service, by satellite, to approximately 11.6 thousand establishments, benefiting more than 2.5 million students of 9,500 schools, which 80% where in rural communities and 79% in North and Northeast Regions, in the scope of Ministry of Education's Innovation and Connect Education Program and E-Government Program.





# SOE 's Statistics

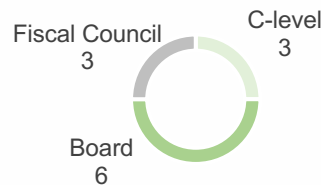
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**ABGF - BRAZILIAN GUARANTEES AGENCY**
[www.abgf.gov.br/](http://www.abgf.gov.br/)

 Head Office: Brasília - DF  
 Line Ministry: Ministry of Economy  
 Fiscal status: Non dependent  
 Size: Small  
 Stablishment Act: Law nº 12.712/2012

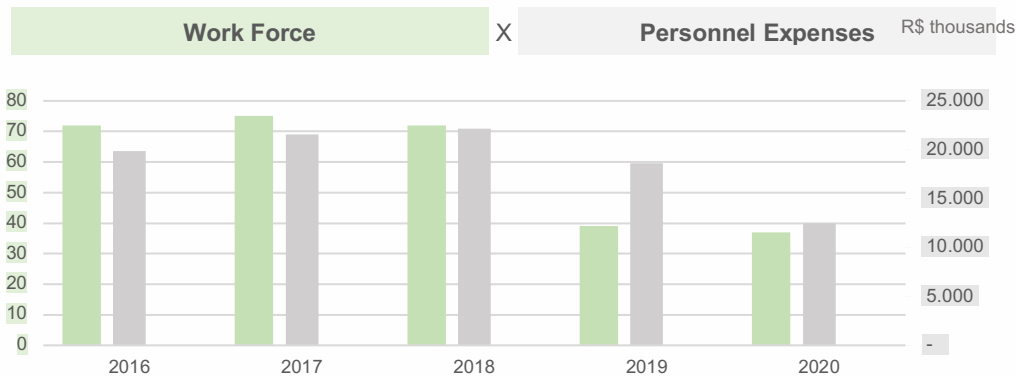
Consolidated Financial Data - R\$ thousands					
	2016	2017	2018	2019	2020
Assets	2.391.091	2.821.337	3.189.479	312.757	308.321
Liabilities	109.711	252.694	362.686	3.656	7.023
Total Debt	-	-	-	-	-
Shareholders Equity	2.281.380	2.568.643	2.826.793	309.101	301.298
Gross Revenue	13.550	21.356	28.282	25.644	18.028
EBTIDA	24.670	35.833	(3.002)	(1.503)	311
Advances for Future Capital Increases	-	-	-	-	-
Net Income	39.192	45.556	10.519	10.658	5.457
Dividends	-	6.576	11.035	2.547	10.257

Shareholder Structure	
	% total
Brazilian Government	100,00



Financial Indicators	
Cost of Activity (%)	80,61
ROE (%)	1,79

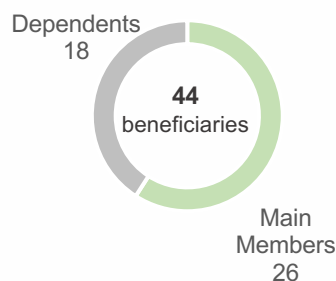
Board, Fiscal Council and C-level Remuneration (R\$)					
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
42.235,33	40.224,13	-	-	4.430,24	4.430,24



Salary Readjustment - Collective Bargaining Agreement					
Year	2016	2017	2018	2019	2020
Readjustment (%)	9,80	2,90	1,56	2,34	1,32
Readjustment/Inflation (%)	104,37	63,46	58,21	51,09	40,00

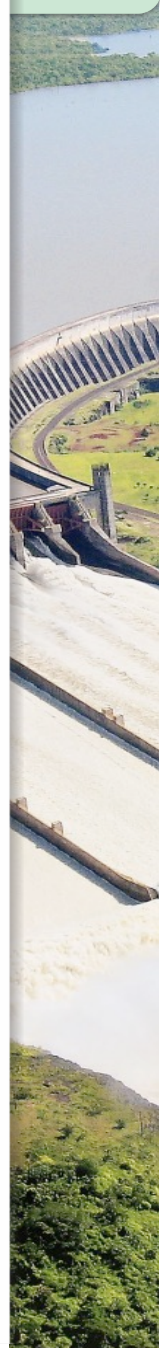


Healthcare Assistance Benefit (BAS)	
SOE's share of healthcare assistance benefit funding	<b>Not Applicable</b>
Total expenditure with Health Assistance Benefit	<b>R\$ 135.408,63</b>



Employees' Remuneration (R\$)		
CGPAR resolution nº 3/2010		
Highest	Lowest	Average
22.800	10.300	17.000

The company does not offer complementary pension.





## AMAZUL - AMAZON DEFENSE TECHNOLOGY COMPANY

[www.amazul.mar.mil.br/](http://www.amazul.mar.mil.br/)

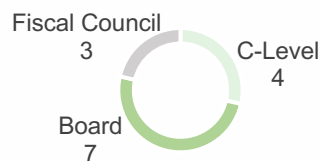
Head Office: São Paulo - SP  
Line Ministry: Ministry of Defense  
Fiscal status: Dependent  
Size: Small  
Stablishment Act: Decree nº 7.898/2013

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	27.421	29.040	54.700	79.081	106.824
Liabilities	52.838	55.728	72.913	87.613	112.808
Shareholders Equity	(25.418)	(26.688)	(18.213)	(8.533)	(5.983)
Gross Revenue	710	307	266	-	-
Result before Govt Subsidy	(294.406)	(318.016)	(328.375)	(368.496)	(336.554)
Treasury Resources	293.600	316.745	336.851	378.176	335.893
Subsidy	293.600	316.745	326.847	367.328	335.893
Advances for future Capital Increases	-	-	10.004	10.848	-
Net Income	(807)	(1.271)	(1.528)	(1.168)	(661)
Dividends	-	-	-	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00



### Financial Indicators

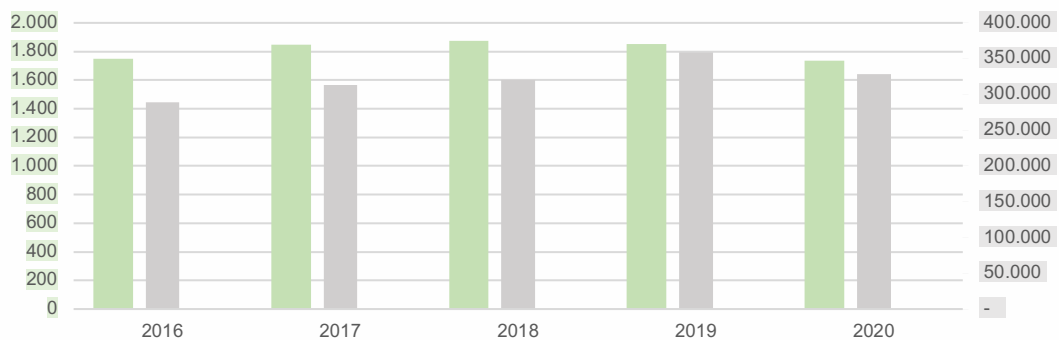
Cost of Activity (%)	N.A.
Financing Needs (%)	100

Not available

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
30.954,11	29.406,40	-	-	3.227,61	3.227,61

### Work Force



**1.735**  
Employees

**46,05**  
Average age

**12,32**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,54	3,00	2,95	Under negotiation	
Readjustment/Inflation (%)	80,04	47,69	100,0	-	

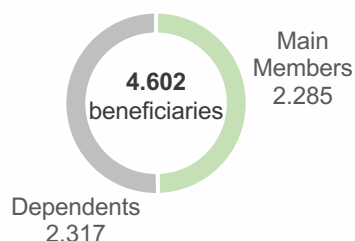
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
41.143	2.756	9.533

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**73%**

Total expenditure with Health Assistance Benefit  
**R\$ 14.909.149,58**



### Pension Plans

Participants **761**  
Active **759**  
Retiree **2**

Total Asset  
R\$ 39

Millions

Equilibrium = 0

1 sponsored plan

## BASA - AMAZON BANK S.A.

[www.bancodamazonia.com.br](http://www.bancodamazonia.com.br)

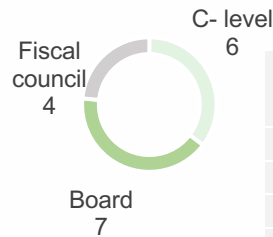
Head Office: Belém - PA  
Line Ministry: Ministry of Economy  
Fiscal status: Non dependent  
Size: Large  
Stablishment Act: Decree nº 4.451/1942

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	14.174.359	16.952.092	18.939.959	20.478.841	20.815.709
Liabilities	12.214.669	15.066.783	17.001.351	18.279.635	18.398.911
Shareholders Equity	1.959.689	1.885.309	1.938.608	2.199.206	2.416.797
Income from Financial Intermediation	1.611.744	1.421.049	1.303.186	1.506.463	950.361
Service Fee Income	723.994	701.313	752.085	825.022	819.464
Net Income	130.682	64.506	109.078	275.348	265.568
Dividends	92.209	18.784	19.053	42.732	106.393

### Shareholder Structure

	% Total
Brazilian Government	95,79
Others	4,21



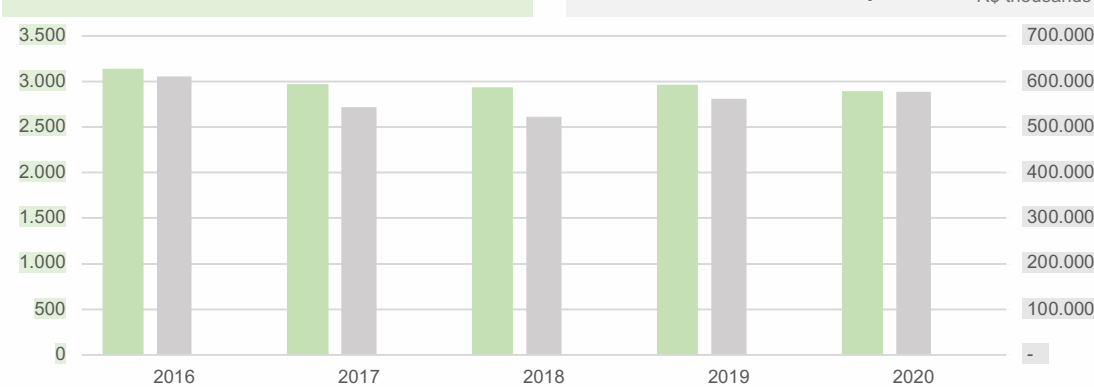
### Board, Fiscal Council and C-Level Remuneration (R\$/year)

	Board	Fiscal Council	C-level
Highest	43.345,08	43.345,08	444.655,68
Lowest	43.345,08	43.345,08	391.199,04
Average	43.345,08	43.345,08	401.890,36

### Financial Indicators

Personnel coverage index (%)	141,95
ROE (%)	11,51

### Work Force



**2.896**  
Employees

**46,64**  
Average Age

**17,3**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,00	2,73	5,00	4,31	1,50
Readjustment/Inflation (%)	89,19	110,98	119,33	125,66	61,48

### Employees' Remuneration (R\$)

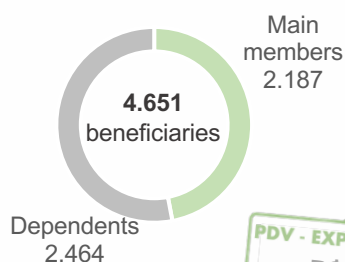
CGPAR Resolution nº 3/2010

Highest	Lowest	Average
27.735	2.647	8.345

### Healthcare Assistance Benefit

SOE's share of healthcare assistance benefit funding  
**Not applicable**

Total expenditure with Health Assistance Benefit  
**R\$ 25.566.819,20**



**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 178.844,58**  
119 DISMISSED EMPLOYEES

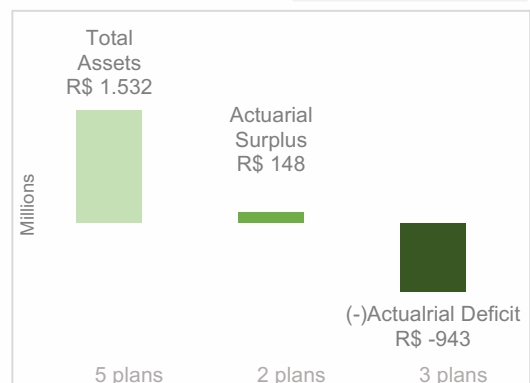
**PAID PLR**  
**R\$ 19,1 MILLIONS**

### Pension Plans

Participants **4.073**

Active **1.922**

Retiree **2.151**





Head office: Brasília - DF  
 Line Ministry: Ministry of Economy  
 Fiscal status : Non dependent  
 Size: Large  
 Stablishment Act: Regent Prince Decree of 1808

**BB - BANK OF BRAZIL S.A.**

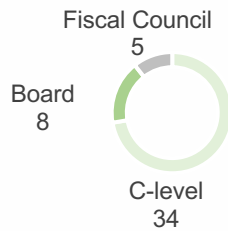
[www.bb.com.br](http://www.bb.com.br)

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	1.401.376.974	1.369.201.171	1.417.143.716	1.469.222.655	1.725.671.888
Liabilities	1.314.183.222	1.270.477.769	1.314.890.834	1.360.657.761	1.598.700.780
Shareholders Equity	87.193.752	98.723.402	102.252.882	108.564.894	126.971.109
Income from Financial Intermediation	167.305.078	142.438.857	134.487.863	129.073.896	122.522.819
Service Fee Income	23.794.116	25.941.416	27.414.692	29.208.671	28.701.888
Net Income	8.033.556	11.010.776	12.862.026	18.162.067	12.697.384
Dividends	2.530.730	2.623.783	4.206.516	8.997.308	6.070.657

**Shareholder Structure**

	% total
Brazilian Government	50,00
Treasury Stock	0,42
Others	49,58



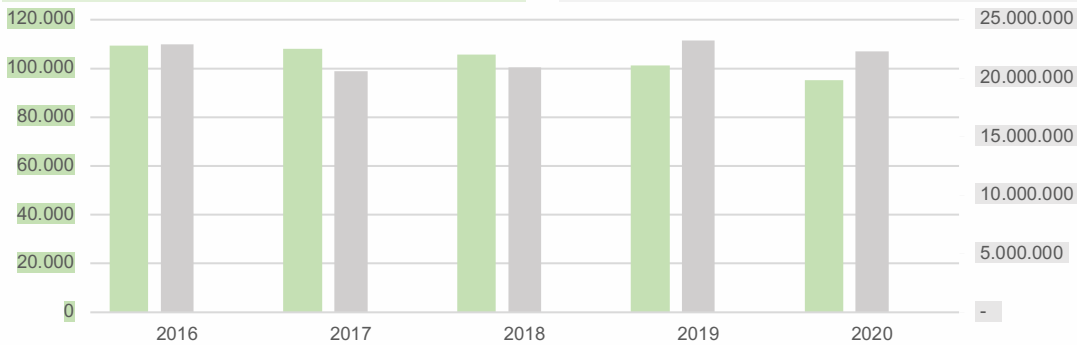
**Board, Fiscal Council and C-Level Remuneration (R\$/year)**

	Board	Fiscal Council	C-level
Highest	65.230,23	87.443,52	1.810.828,37
Lowest	30.119,43	87.443,52	1.311.325,93
Average	90.359,58	89.807,91	1.633.252,67

**Financial Indicators**

Personnel coverage index (%)	128,79
ROE (%)	10,78

**Work Force**



**95.120**  
Employees

**43,13**  
Average Age

**13,65**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

year	2016	2017	2018	2019	2020
Readjustment (%)	8,00	2,73	5,00	4,31	1,50
Readjustment/Inflation (%)	89,19	110,98	119,33	125,66	61,48

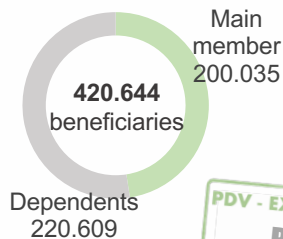
**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
50.570	3.022	8.379

**Healthcare Assistance Benefit**

SOE's share of healthcare assistance benefit funding  
**58%**

Total expenditure with Health Assistance Benefit  
**R\$ 2.006.290.446,59**

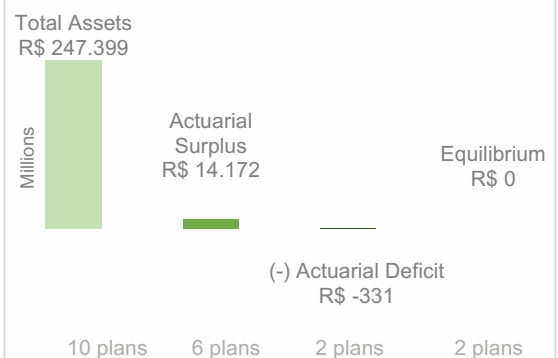


**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 106.031,25**  
**96 DISMISSED EMPLOYEES**

**PAID PLR**  
**R\$ 1,5 billion**

**Pension Plans**

Participants **220.239**  
Active **97.786**  
Retiree **122.453**



**BNB - BANK OF NORTHEAST OF BRAZIL**
[www.bnb.gov.br](http://www.bnb.gov.br)

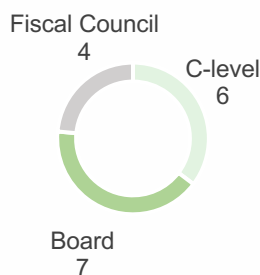
 Head office: Fortaleza - CE  
 Line Ministry: Ministry of Economy  
 Fiscal status : Non dependent  
 Size: Large  
 Stablishment Act: Law nº 1.649/1952

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	46.321.128	54.255.611	58.677.740	58.630.771	61.817.640
Liabilities	42.958.633	50.504.900	54.464.861	53.203.366	55.645.665
Shareholders Equity	3.362.495	3.750.711	4.212.879	5.427.405	6.171.975
Income from Financial Intermediation	5.438.821	5.403.702	5.105.949	5.489.911	3.946.065
Service Fee Income	2.309.357	2.315.681	2.538.708	2.727.330	2.847.082
Net Income	732.070	716.385	737.018	1.736.685	1.019.221
Dividends	71.375	187.358	147.011	315.262	95.583

**Shareholder Structure**

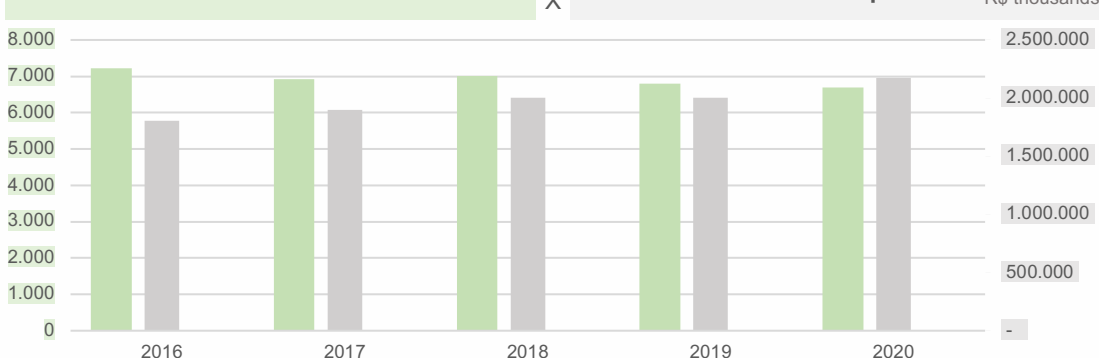
	% total
Brazilian Government	55,45
Finep	1,68
Others	42,9


**Board, Fiscal Council and C-Level Remuneration (R\$/year)**

	Board	Fiscal Council	C-level
Highest	70.835,04	66.492,72	1.086.507,54
Lowest	54.279,84	54.279,84	734.481,75
Average	60.346,98	57.219,53	958.666,02

**Financial Indicators**

Personnel coverage index (%)	130,87
ROE (%)	17,57

**Work Force**

**6.684**  
Employees

**44.17**  
Average Age

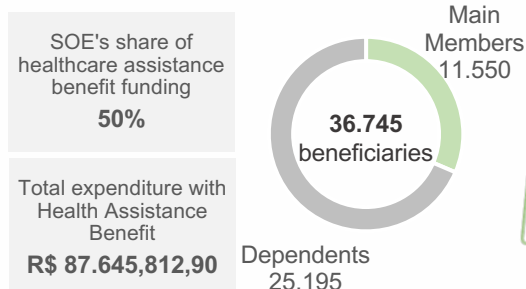
**16,04**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,00	2,73	5,00	4,31	1,50
Readjustment/inflation (%)	89,19	110,98	119,33	125,66	61,48

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
44.501	1.925	12.056

**Healthcare Assistance Benefit**


Dependents: 25.195

Main Members: 11.550

**36.745**  
beneficiaries

**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 227.906,56**  
 133 DISMISSED EMPLOYEES

**PAID PLR**  
**R\$ 60,6 MILLIONS**
**Pension Plans**

 Participants **12.479**

- Active **6.863**
- Retiree **5.616**

 Total Assets: R\$5.536.022.745  
 2 sponsored plans

Actuarial Surplus: R\$6.971.750

**BNDES - BRAZILIAN DEVELOPMENT BANK GROUP**
[www.bndes.gov.br](http://www.bndes.gov.br)

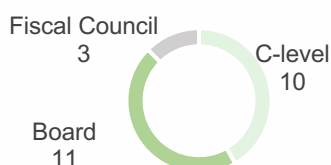
 Head office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Economy  
 Fiscal status: Non dependent  
 Size: Large  
 Stablishmen Act: Law nº 1.649/1952

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	876.136.583	867.517.060	802.526.912	728.165.789	778.341.975
Liabilities	820.960.540	804.680.755	722.970.641	623.355.198	665.339.458
Shareholders Equity	55.176.043	62.836.305	79.556.271	104.810.591	113.002.517
Income from Financial Intermediation	65.274.937	65.569.020	62.513.256	48.209.553	48.779.755
Service Fee Income	-	-	-	-	-
Net Income	6.391.658	6.183.422	6.710.777	17.721.062	20.681.496
Dividends	217.455	3.804.654	1.500.049	9.498.635	-

**Shareholder Structure**

	% total
Brazilian Government	100,00

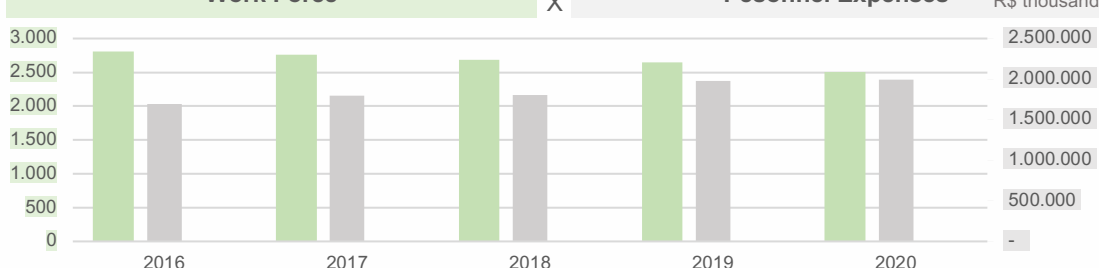

**Financial Indicators**

Personnel coverage index (%)	N.A.
ROE (%)	18,99

N.A. – Not Available

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
80.838,38	74.101,84	242.515,14	222.305,52	8.100,68	8.100,68

**Work Force**

**2.501**  
Employees

**43,19**  
Average Age

**12,72**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

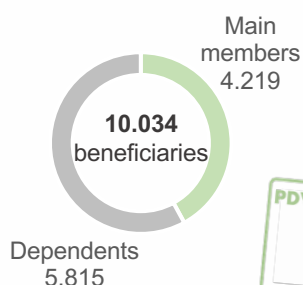
Year	2016	2017	2018	2019	2020
Readjustmen (%)	8,00	2,73	5,00	4,31	1,50
Readjustment/Inflation (%)	89,19	110,98	119,33	125,66	61,48

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
76.790	3.940	31.070

**Healthcare Assistance Benefit**

 SOE's share of healthcare assistance benefit funding  
**100%**

 Total expenditure with Health Assistance Benefit  
**R\$ 177.326.016,26**

**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 296.651,76**  
 128 DISMISSED EMPLOYEES

**PAID PLR**  
**R\$ 247,7 millions**
**Pension Plans**

 Participants  
**4.851**

 Active **2.535**

 Retiree **2.316**

 Total Assets  
 R\$ 14.728

1 sponsored plan

Millions

 (-) Actuarial deficit  
**R\$ -1.323**

**CAIXA - FEDERAL SAVINGS BANK GROUP**

[www.caixa.gov.br](http://www.caixa.gov.br)

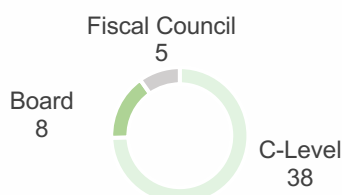
Head office: Brasília - DF  
 Line Ministry: Ministry of Economy  
 Fiscal condition: Non dependent  
 Size: Large  
 Stablishment Act: Decree nº 2.723/1861

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	1.255.451.751	1.260.698.785	1.263.879.352	1.293.014.579	1.450.766.689
Liabilities	1.191.818.162	1.190.113.986	1.183.336.846	1.212.360.820	1.357.945.555
Shareholders Equity	63.633.589	70.584.799	80.542.506	80.653.759	92.821.134
Income from Financial Intermediation	149.697.220	133.400.619	111.717.435	113.932.896	83.705.053
Service Fee Income	22.463.421	25.041.082	26.849.350	27.002.788	23.502.446
Net Income	4.136.658	12.488.061	10.355.331	21.056.681	13.169.364
Dividends	736.858	73.130	2.804.317	-	-

**Shareholder Structure**

	% total
Brazilian Government	100,00



**Financial Indicators**

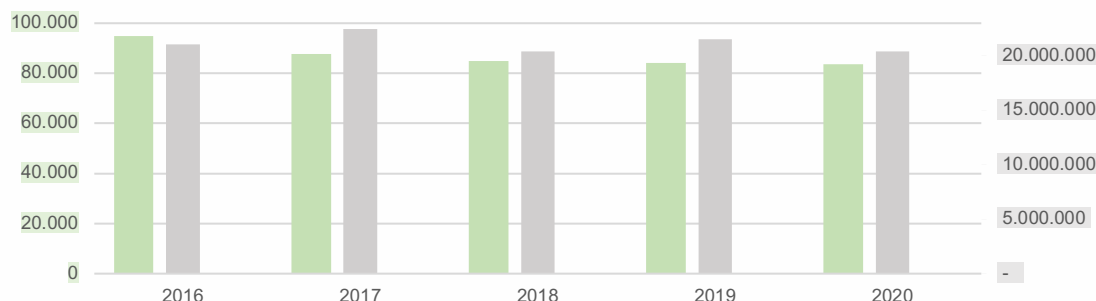
Personnel coverage index (%)	115,20
ROE (%)	15,18

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
56.196,55	50.240,22	449.572,40	401.921,76	4.871,79	4.871,79

**Work Force**

**Personnel Expenses** R\$ thousands



**83.752**  
Employees

**43,28**  
Average Age

**14,11**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,00	2,73	5,00	4,31	1,50
Readjustment/Inflation (%)	89,19	110,98	119,33	125,66	61,48

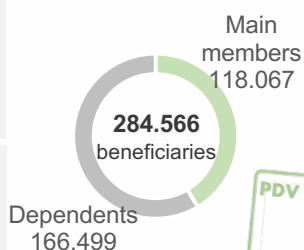
**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
58.833	3.000	10.961

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding **77%**

Total expenditure with Health Assistance Benefit **R\$ 1.483.580.772,40**



**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 140.356,97**  
 2.113 DISMISSED EMPLOYEES

**PAID PLR**  
**R\$ 1,7 billion**

**Pension Plans**

Participants **153.290**

Active **93.851**

Retiree **59.439**

Total Assets R\$ 81.956



Actuarial Surplus R\$ 13

(-) Actuarial deficit R\$ -4.122

3 plans

1 plan

2 plans

**CBTU - BRAZILIAN COMPANY OF URBAN TRAINS**

[www.cbtu.gov.br/](http://www.cbtu.gov.br/)

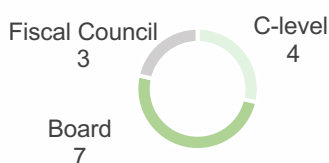
Head Office: Rio de Janeiro - RJ  
Line Ministry: Regional Development Ministry  
Fiscal status: Dependent  
Size: Large  
Stablishment Act: Decree nº 89.396/1984

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	4.596.319	4.713.504	4.848.806	3.531.165	3.177.067
Liabilities	4.127.815	4.232.643	4.704.104	3.387.219	3.465.519
Shareholders Equity	468.504	480.861	144.702	143.946	(288.452)
Gross Revenue	163.848	167.422	193.124	210.994	134.316
Result before Govt Subsidy	(1.286.489)	(1.081.028)	(1.403.372)	(1.226.205)	(1.502.510)
Treasury Resources	951.029	1.022.112	999.682	1.158.845	1.069.884
Subsidy	851.117	931.965	965.639	1.121.057	1.051.762
Advances for future Capital Increases	99.912	90.147	34.043	37.788	18.123
Net Income	(435.373)	(149.063)	(437.733)	(105.148)	(450.749)
Dividends	-	-	-	-	-

**Shareholder Structure**

	% total
Brazilian Government	100,00



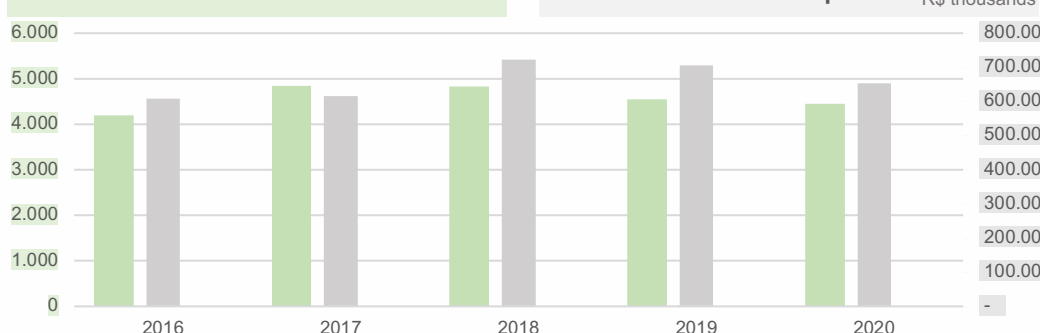
**Financial Indicators**

Cost of Activity (%)	547,29
Financing Needs (%)	84,59

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
22.352,89	22.352,89	-	-	2.512,37	2.512,37

**Work Force**



**4.448**  
Employees

**47,95**  
Average Age

**17,01**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,28	3,98	1,01	3,04	0
Readjustment/Inflation (%)	89,22	97,55	36,59	61,54	0,00

**Employees' Remuneration (R\$)**

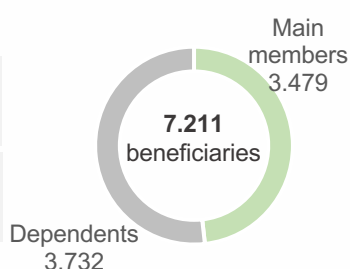
CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
41.746	1.743	7.818

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding

**Not Applicable**

Total expenditure with Health Assistance Benefit  
**R\$ 22.377.690,00**



**Pension Plans**

Participants **3.586**  
Active **2.095**  
Retiree **1.491**

Total Asset  
R\$ 790



1 sponsored plan

Actuarial Deficit  
R\$ -489





## CDC - CEARÁ'S PORT AUTHORITY

[www.docasdoceara.com.br](http://www.docasdoceara.com.br)

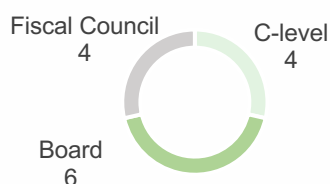
Head Office: Fortaleza - CE  
Line Ministry: Ministry of Infrastructure  
Fiscal status: Non dependent  
Size: Small  
Stablishment Act: Decree nº 54.046/1964

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	361.398	351.676	337.159	314.365	316.266
Liabilities	26.154	25.127	21.217	17.775	66.569
Shareholders Equity	-	-	-	-	-
Gross Revenue	335.244	326.549	315.942	296.589	249.697
Result before Govt Subsidy	41.222	54.895	56.854	57.405	59.131
Treasury Resources	(13.799)	2.619	1.181	(6.745)	16.537
Subsidy	-	-	8.547	-	-
Advances for future Capital Increases	(22.694)	(17.998)	(14.745)	(25.398)	(44)
Net Income	-	-	-	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00



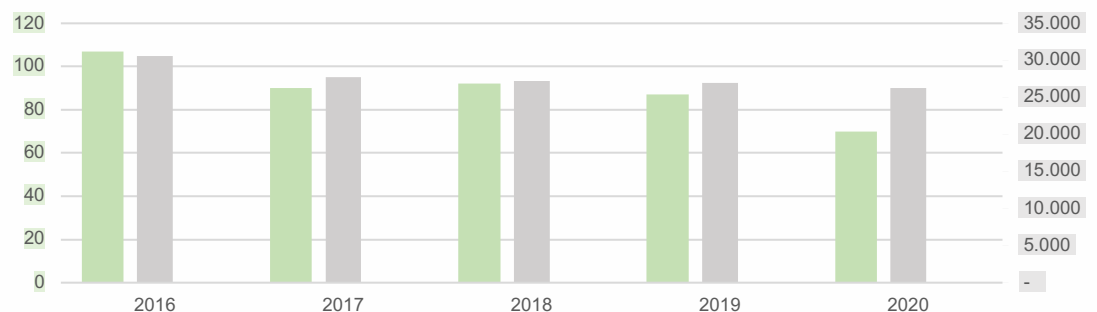
### Financial Indicators

Cost of Activity (%)	77,35
Financing Needs (%)	(0,02)

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
19.003,92	17.886,04			2.561,17	2.561,17

### Work Force



**70**  
Employees

**50,3**  
Average Age

**19,55**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustments(%)	9,32	0,00	3,00	2,39	Under negotiation
Readjustment/Inflation (%)	100,00	0,00	104,90	51,29	-

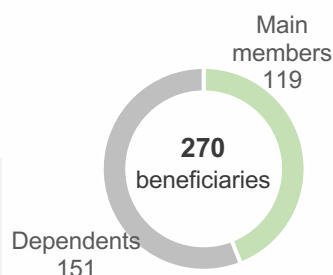
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
17.691	2.211	7.831

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**91%**

Total expenditure with Health Assistance Benefit  
**R\$ 1.471.742,74**



### Pension Plans

Participants **8.893**  
Active **842**  
Retiree **8.051**

Total Assets R\$ 1.459  
1 sponsored plan

Actuarial Surplus R\$ 7

## CDP - PARÁ'S PORT AUTHORITY

[www.cdp.com.br](http://www.cdp.com.br)

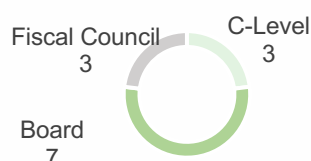
Head Office: **Belém - PA**  
 Line Ministry: **Ministry of Infrastructure**  
 Fiscal Status: **Non dependent**  
 Size: **Large**  
 Stablishment Act: **Decree-Law 155/1967**

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	415.638	419.305	375.343	412.024	480.845
Liabilities	52.404	48.433	51.440	111.267	129.098
Total Debt	-	-	-	-	-
Shareholders Equity	363.233	370.872	323.902	300.757	351.746
Gross Revenue	152.383	179.772	165.978	226.657	282.912
EBTIDA	4.417	25.221	(318)	30.820	103.141
Advances for Future Capital Increases	550	632	-	250	618
Net Income	(9.609)	6.509	(33.173)	29.897	59.750
Dividends	-	-	-	-	-

### Shareholders Structure

	% total
Brazilian Government	100,00



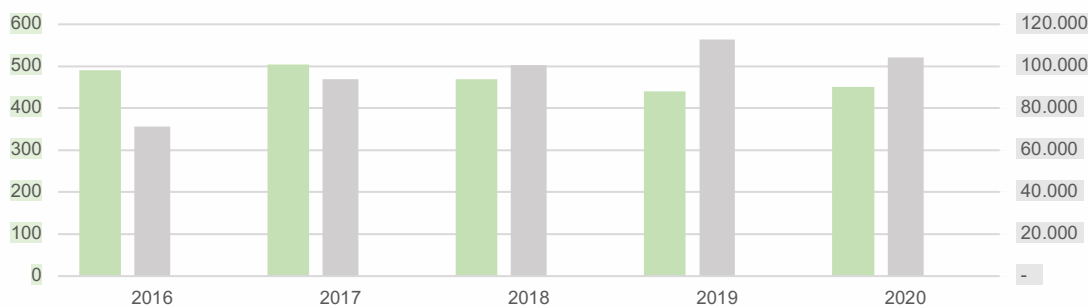
### Financial Indicators

Cost of Activity (%)	39,83
ROE (%)	18,31

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
22.357,55	20.121,80	67.072,65	60.365,40	2.974,56	2.974,56

### Work Force



**451**  
Employees

**47,19**  
Average Age

**16,77**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,32	3,59	0,00	Under negotiation	
Readjustment/ Inflation (%)	100,0	99,72	0,00	-	

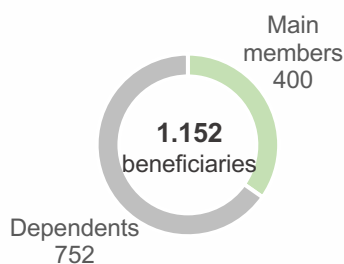
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
46.004	1.118	10.821

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**69%**

Total expenditure with Health Assistance Benefit  
**R\$ 3.865.629,66**



### Pension Plans

Participants **8.893**  
Active **842**  
Retiree **8.051**

Total Assets R\$ 1.459  
1 sponsored plan  
Actuarial Surplus R\$ 7



## CDRJ – RIO DE JANEIRO'S PORT AUTHORITY

[www.portosrio.gov.br](http://www.portosrio.gov.br)

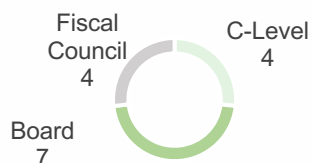
Head Office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Infrastructure  
 Fiscal Status: Non dependent  
 Size: Large  
 Stablishment Act: Decree-Law nº 256/1967

## Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	2.092.534	2.137.994	2.105.443	1.889.181	1.914.093
Liabilities	2.532.434	2.942.612	3.810.950	2.653.407	2.377.107
Total Debt	579.797	614.857	-	-	-
Shareholders Equity	(439.900)	(804.618)	(1.705.507)	(764.225)	(463.015)
Gross Revenue	319.387	381.956	444.274	509.946	651.902
EBTIDA	(68.547)	(105.316)	(73.670)	1.104.075	214.138
Advances for Future Capital Increases	-	24.752	-	-	-
Net Income	(227.587)	(388.969)	(330.745)	947.892	(14.253)
Dividends	-	-	-	-	-

## Shareholders Structure

	% total
Brazilian Government	99,99
Others	0,01



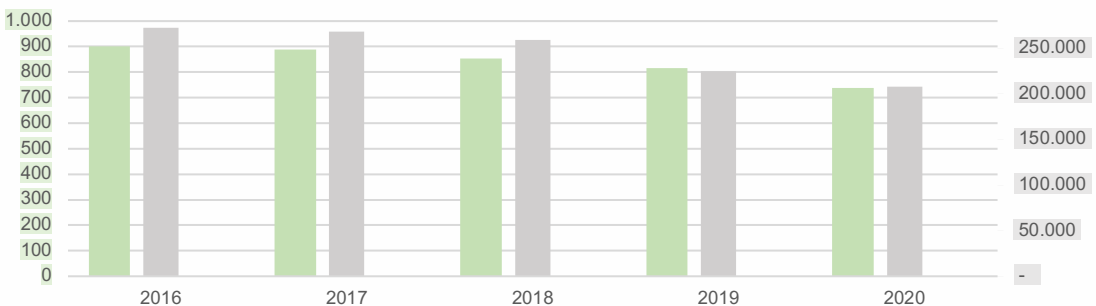
## Financial Indicators

Cost of Activity (%)	21,72
ROE (%)	2,32

## Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
29.776,59	26.207,13	-	-	3.741,37	3.741,37

## Work Force



**739**  
Employees

**51,84 anos**  
Average Age

**23,51 anos**  
Average Working Years

## Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,32	0,00	0,00	6,77	Under Negotiation
Readjustment/Inflation (%)	100,00	0,00	0,00	145,28	-

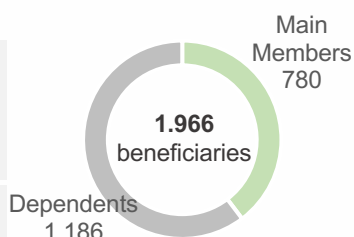
## Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
78.688	1.806	12.427

## Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**80%**

Total expenditure with Health Assistance Benefit  
**R\$ 22.795.472,68**



**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 199.570,17**  
**65 DISMISSED EMPLOYEES**

## Pension Plans

Participants **8.893**   
 Active **842**  
 Retiree **8.051**

Total Assets R\$ 1.459  
 Millions  
 1 sponsored plan  
 Actuarial Surplus R\$ 7

## CEAGESP – FOOD SUPPLY AND DISTRIBUTION CENTER OF SÃO PAULO

[www.ceagesp.gov.br](http://www.ceagesp.gov.br)

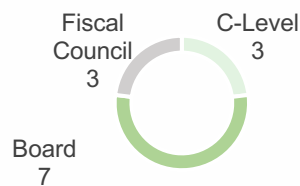
Head Office: São Paulo - SP  
 Line Ministry: Ministry of Economy  
 Fiscal Status: Non Dependent  
 Size: Large  
 Stablishment Act: Fusion Ceagesp and Ceasa/SP (Law nº 9.497/1997)

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	289.442	283.629	280.019	285.204	294.510
Liabilities	88.895	96.077	98.638	114.250	126.129
Total Debt	-	-	4.667	4.600	2.025
Shareholders Equity	200.547	187.552	181.381	170.953	168.381
Gross Revenue	104.557	110.298	103.783	117.791	133.144
EBTIDA	(13.417)	(10.411)	5.682	(2.345)	18.697
Advances for Future Capital Increases	-	-	-	-	-
Net Income	(15.271)	(17.480)	(9.085)	(10.686)	(2.690)
Dividends	7	-	-	-	-

### Shareholders Structure

	% total
Brazilian Government	99,68
Conab	0,32



### Financial Indicators

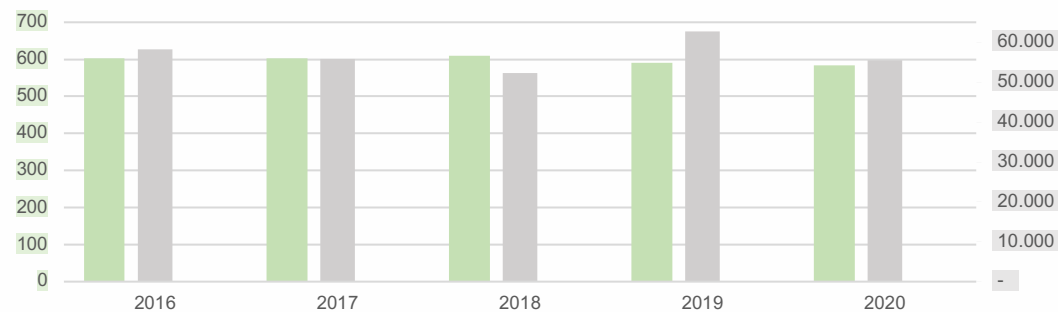
Cost of Activity (%)	41,02
ROE (%)	(1,59)

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
32.057,24	30.530,70	-	-	3.362,62	3.362,62

### Work Force

### Personnel Expenses R\$ thousands



### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,32	3,36	1,70	4,77	Under Negotiation
Readjustment/ Inflation (%)	100,00	93,33	59,44	102,36	-



**584**  
Employees

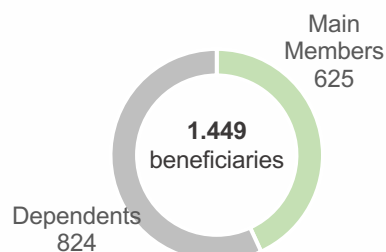
**50,06**  
Average Age

**16,09**  
Average Working Years

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**94%**

Total expenditure with Health Assistance Benefit  
**R\$ 14.321.015,94**



### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
26.752	2.009	6.516

Ceagesp do not offer pension plans.

**CEASAMINAS - FOOD SUPPLY AND DISTRIBUTION CENTER OF MINAS GERAIS**

[www.ceasaminas.com.br](http://www.ceasaminas.com.br)

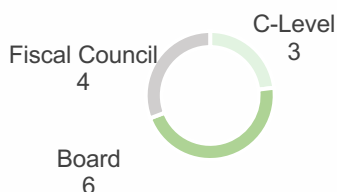
Head Office: Contagem - MG  
Line Ministry: Ministry of Agriculture, Livestock and Food Supply  
Fiscal Status: Non dependent  
Size: Small  
Stablishment Act: State Law nº 5.577/1970

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	51.316	57.940	63.614	67.492	74.690
Liabilities	20.313	25.388	28.615	28.332	31.408
Total Debt	192	-	-	-	-
Shareholders Equity	31.004	32.552	35.000	39.160	43.282
Gross Revenue	32.553	48.994	50.126	54.316	55.758
EBTIDA	2.530	3.725	4.943	10.299	11.805
Advances for Future Capital Increases	-	-	-	-	-
Net Income	1.404	2.030	3.211	6.161	5.405
Dividends	-	-	-	538	1.463

**Shareholders Structure**

	% total
Brazilian Government	99,57
Others	0,43



**Financial Indicators**

Cost of Activity (%)	N.C.
ROE (%)	13,11

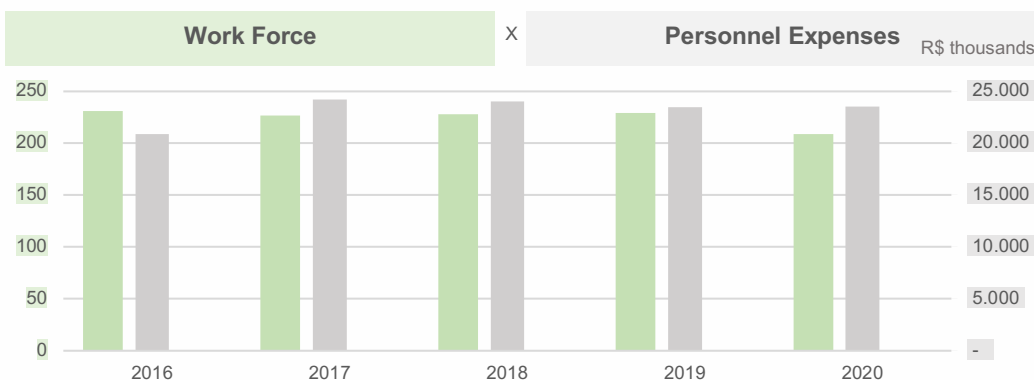
NC – Not Calculable

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
21.043,42	18.939,08	63.130,26	56.817,24	2.127,72	2.127,72

**Work Force**

**Personnel Expenses**



**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	7,00	Under Negotiation			
Readjustment/ Inflation (%)	82,55	-			



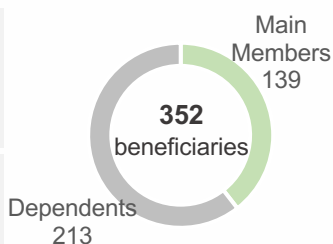
**209**  
Employees

**52,46**  
Average Age

**20,71**  
Average Working Years

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding  
**Not Applicable**



Total expenditure with Health Assistance Benefit  
**R\$ 632.171,75**

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
N.D.	N.D.	N.D.

**PAID PLR**  
**R\$ 320,9 THOUSAND**

Ceasaminas does not offer pension plans.

**CEITEC – ADVANCED ELETRONICS TECHNOLOGY NATIONAL CENTER**
[www.ceitec-sa.com/](http://www.ceitec-sa.com/)

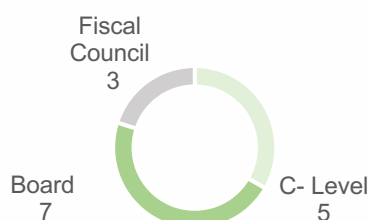
Head Office: Porto Alegre - RS  
 Line Ministry: Ministry of Science,  
 Technology, Innovations and Communication  
 Fiscal Status: Dependent  
 Size: Small  
 Stablishment Act: Decree nº 6.638/2008

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Assets	167.601	157.288	145.210	135.766	138.455
Liabilities	20.108	19.854	12.021	14.017	17.753
Shareholders Equity	147.493	137.434	133.190	121.749	120.703
Gross Revenue	4.552	5.536	5.738	9.049	12.013
Result before the Govt Subsidy	(120.490)	(99.229)	(77.402)	(78.819)	(61.930)
Treasury Resources	76.503	76.541	79.557	67.239	60.884
Subsidy	70.886	75.309	69.800	66.811	57.768
Advances for Future Capital Increases	5.616	1.232	9.756	429	3.117
Net Income	(49.603)	(23.920)	(7.602)	(12.008)	(4.163)
Dividends	-	-	-	-	-

**Shareholders Structure**

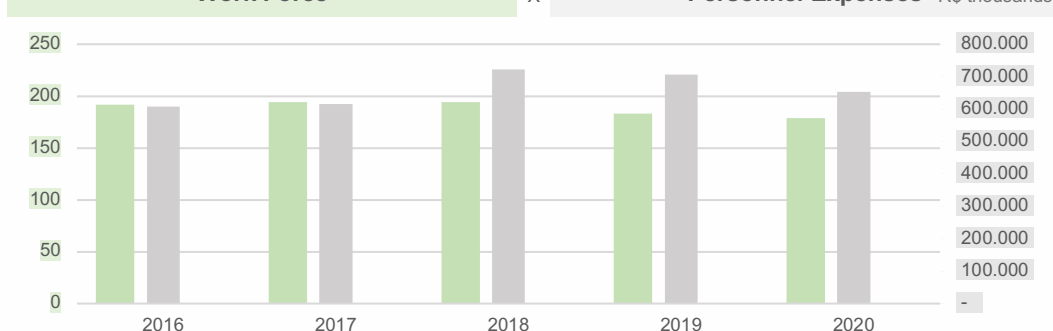
	% total
Brazilian Government	100,00

**SOE in liquidation**

**Financial Indicators**

Cost of Activity (%)	22,52
Financing Needs (%)	82,24

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
N.A.	N.A.	N.A.	N.A.	N.A.	3.191,76

**Work Force**
**Personnel Expenses R\$ thousands**

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,83 to R\$ 510,16	4,00 to R\$ 240,00	2,30 to R\$ 138,00	5,00 to R\$ 315,00	0,00
Readjustment/Inflation (%)	105,93	98,04	83,33	101,21	0,00

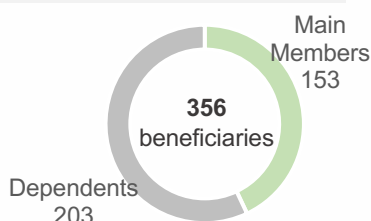

**179**  
Employees

**43,9**  
Average Age

**5,53**  
Average Working Years

**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding  
**43%**

 Total expenditure with Health Assistance Benefit  
**R\$ 975.812,23**

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
28.528	3.468	9.159

Ceitec does not offer pension plans.

**CMB - BRAZILIAN MINT**

[www.casadamoeda.gov.br](http://www.casadamoeda.gov.br)

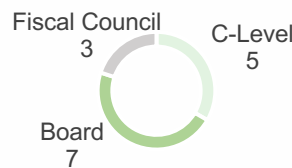
Head Office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Economy  
 Fiscal Status: Non dependent  
 Size: Large  
 Stablishment Act: Law nº 5.895/1973

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	3.132.125	3.032.690	3.141.824	2.536.981	2.741.684
Liabilities	885.728	916.003	1.139.156	681.900	1.113.657
Total Debt	162.875	104.269	75.711	-	-
Shareholders Equity	2.246.397	2.116.688	2.002.668	1.855.081	1.628.027
Gross Revenue	2.408.456	960.508	1.047.627	1.166.757	1.105.852
EBTIDA	115.301	(122.362)	(28.790)	(59.824)	(162.043)
Advances for Future Capital Increases	-	-	-	-	-
Net Income	60.176	(117.596)	(93.359)	(86.816)	(197.064)
Dividends	112.991	-	-	-	-

**Shareholders Structure**

	% total
Brazilian Government	100,00



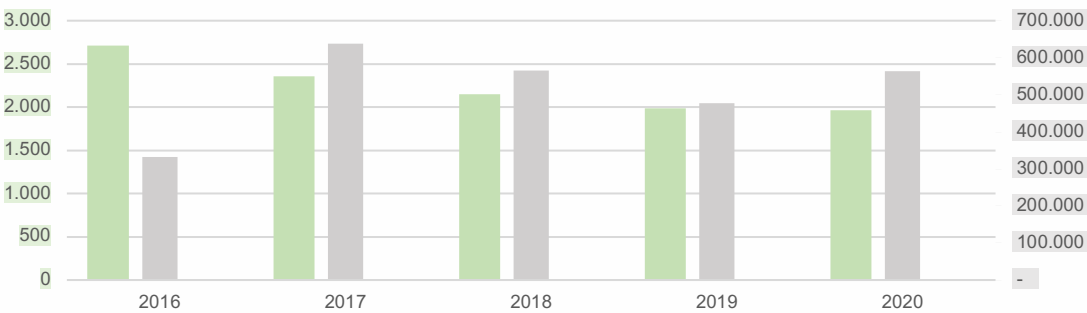
**Financial Indicators**

Cost of Activity (%)	72,01
ROE (%)	(11,32)

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
42.763,54	40.727,18	128.290,62	122.181,54	4.456,23	4.456,23

**Work Force**



**1.963**  
Employees

**45,88**  
Average Age

**15,85**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

Ano	2016	2017	2018	2019	2020
Readjustment (%)	5,50	0,00	3,51	2,00	1,00
Readjustment/ Inflation (%)	51,55	0,00	118,98	53,33	23,20

**Employees' Remuneration (R\$)**

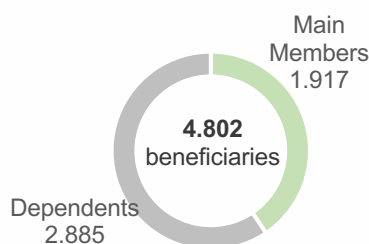
CGPAR Resolution nº 3/2010

Highest	Lowest	Average
33.434	2.629	8.858

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding **77%**

Total expenditure with Health Assistance Benefit **R\$ 26.400.609,95**



**Pension Plans**

Participants **1.738**

- Active **654**
- Retiree **1.084**

Total Assets **R\$ 450**

2 sponsored plans

Millions

(-) Actuarial Deficit **R\$ -9**

**CODEBA - BAHIA'S PORT AUTHORITY**
[www.codeba.com.br](http://www.codeba.com.br)

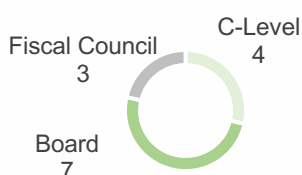
 Head Office: Salvador - BA  
 Line Ministry: Ministry of Infrastructure  
 Fiscal Status: Non dependent  
 Size: Large  
 Establishment Act: Law nº 6.222/1975

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	484.463	490.711	418.128	424.114	398.603
Liabilities	105.365	88.726	92.893	89.425	212.234
Total Debt	-	-	-	-	-
Shareholders Equity	379.099	401.985	325.235	334.688	186.369
Gross Revenue	138.548	142.319	141.856	160.258	158.543
EBTIDA	20.219	11.973	(6.464)	21.252	20.878
Advances for Future Capital Increases	61.962	-	(65.415)	-	2.867
Net Income	16.380	1.829	(11.497)	12.923	4.146
Dividends	4.423	5.069	473	-	-

**Shareholders Structure**

	% total
Brazilian Government	98,36
Others	1,64


**Financial Indicators**

Cost of Activity (%)	59,49
ROE (%)	1,59

**Board, Fiscal Council and C-level Remuneration (R\$)**

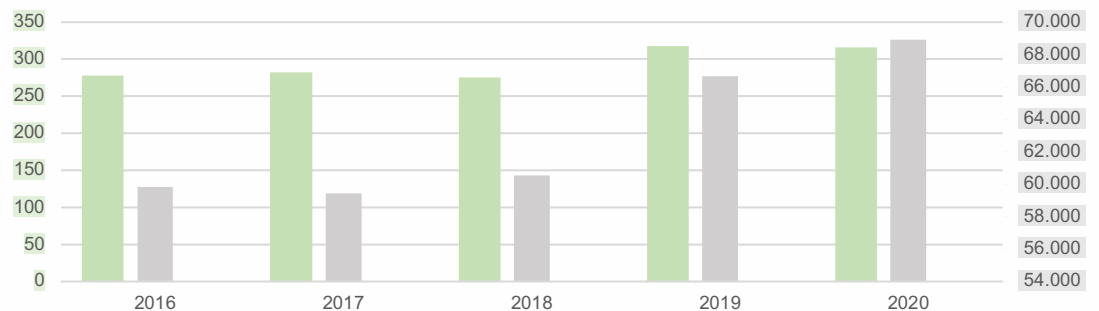
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
19.003,92	17.886,04	57.011,76	53.658,12	2.499,80	2.499,80

**Work Force**

x

**Personnel Expenses**

R\$ thousands


**316**  
Employees

**50,68**  
Average Age

**20,51**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

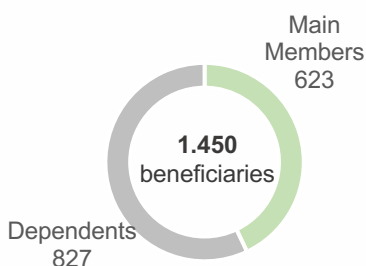
Year	2016	2017	2018	2019	2020
Readjustment (%)	9,32	0,00	4,25*	2,50	Em negociação
Readjustment/Inflation (%)	100,00	0,00	148,60	53,65	-

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
34.440	1.792	7.554

**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding  
**90%**

 Total expenditure with Health Assistance Benefit  
**R\$ 17.308.890,04**

**Pension Plans**

 Participants  
**8.893**

 Active **842**

 Retiree **8.051**

 Total Assets  
 R\$ 1.459

1 sponsored plan

Millions

 Actuarial Surplus  
 R\$ 7

**CODERN - RIO GRANDE DO NORTE'S PORT AUTHORITY**
[www.codern.com.br](http://www.codern.com.br)

Head Office: Natal - RN  
 Line Ministry: Ministry of Infrastructure  
 Fiscal Status: Non dependent  
 Size: Small  
 Establishment Act: Decree-Law 794/1969

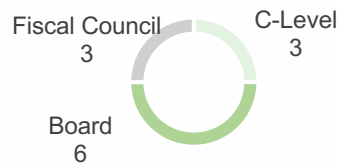
**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	585.692	473.715	420.928	373.281	N.A.
Liabilities	876.203	941.239	223.700	339.344	N.A.
Total Debt	-	-	-	-	N.A.
Shareholders Equity	(290.511)	(467.524)	197.228	33.937	N.A.
Gross Revenue	62.683	52.231	62.102	62.091	N.A.
EBTIDA	(25.987)	(108.108)	(39.384)	(59.580)	N.A.
Advances for Future Capital Increases	-	-	-	-	N.A.
Net Income	(132.667)	(208.351)	(146.233)	(119.359)	N.A.
Dividends	-	-	-	-	N.A.

N.A. – Not Available.

**Shareholders Structure**

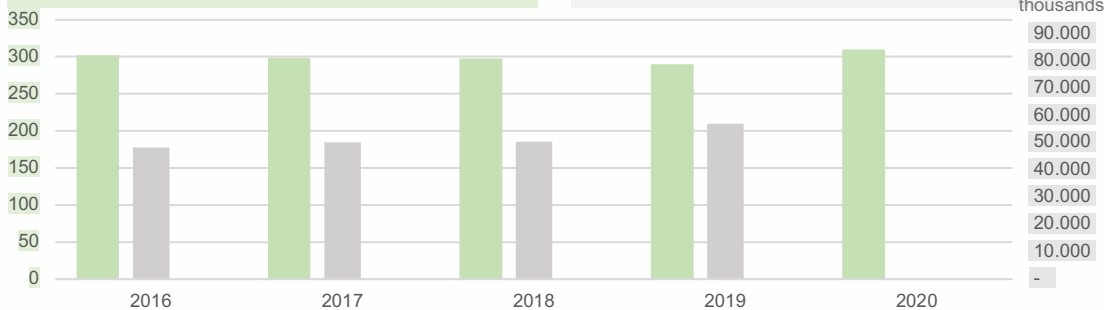
	% total
Brazilian Government	100,0


**Financial Indicators**

Cost of Activity (%)	N.A.
ROE (%)	N.A.

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
18.958,88	17.843,65	-	-	2.407,27	2.407,27

**Work Force**

**310**  
 Employees

**48,29**  
 Average Age

**16,01**  
 Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

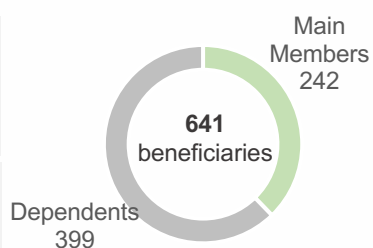
Year	2016	2017	2018	2019	2020
Readjustment (%)	9,32	0,00	4,25**	2,50	<b>Under Negotiation</b>
Readjustment/ Inflation (%)	100,0	0,00	148,6	53,65	-

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
N.A.	N.A.	N.A.

**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding  
**86%**

 Total expenditure with Health Assistance Benefit  
**2.553.319,97**

**Pension Plans**

 Participants **8.893**

- Active **842**
- Retiree **8.051**

 Total Assets  
 R\$ 1.459

1 sponsored plan

Millions

 Actuarial Surplus  
 R\$ 7

**CODESA – ESPIRITO'S SANTO PORT AUTHORITY**
[www.codesa.gov.br](http://www.codesa.gov.br)

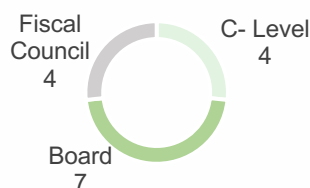
 Head Office: Vitória - ES  
 Line Ministry: Ministry of Infrastructure  
 Fiscal Status: Non dependent  
 Size: Large  
 Establishment Act: Decree nº 87.560/1982

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	543.254	560.521	607.939	596.751	622.184
Liabilities	93.494	88.604	90.590	240.853	221.997
Total Debt	1.017	258	-	5.362	5.636
Shareholders Equity	449.760	471.917	517.349	355.898	400.186
Gross Revenue	134.131	138.918	144.662	154.628	146.798
EBTIDA	10.173	(11.686)	(3.134)	455	50.761
Advances for Future Capital Increases	68.078	47.783	58.399	24.462	5.782
Net Income	747	(25.625)	(18.649)	(13.827)	29.593
Dividends	3.023	493	-	-	-

**Shareholders Structure**

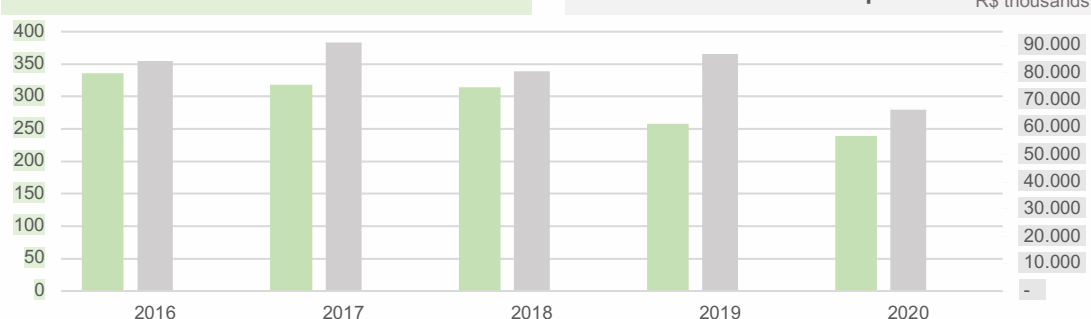
	% total
Brazilian Government	99,45
Others	0,55


**Financial Indicators**

Cost of Activity (%)	41,25
ROE (%)	7,83

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
22.304,57	20.074,11	33.456,86	30.111,17	2.946,23	2.946,23

**Work Force**

**239**  
Employees

**47,53**  
Average Age

**15,51**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

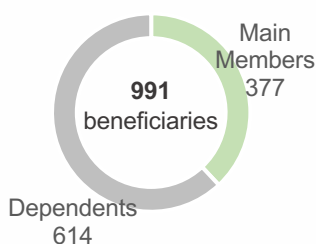
Year	2016	2017	2018	2019	2020
Readjustment (%)	9,37	0,00	4,23*	4,78	1,23
Readjustment/Inflation (%)	100,54	0,00	147,90	102,58	109,04

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
58.255	441	9.558

**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding **91%**

 Total expenditure with Health Assistance Benefit **R\$ 5.672.313,16**

**Pension Plans**

 Participants **8.893**

 Active **842**

 Retiree **8.051**

Total Assets R\$ 1.459

1 sponsored plan

Millions

Actuarial Surplus R\$ 7

## CODEVASF - DEVELOPMENT COMPANY FOR SAO FRANCISCO RIVER AND PARNAIBA VALLEYS

[www.codevasf.gov.br](http://www.codevasf.gov.br)

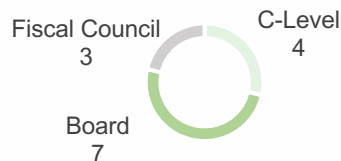
Head Office: Brasília - DF  
Line Ministry: Regional Development Ministry  
Fiscal status: Dependent  
Size: Small  
Stablishment Act: Law nº 6.088/1974

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	2.142.472	1.423.399	1.970.986	2.416.695	2.826.233
Liabilities	393.178	461.145	498.336	2.059.036	2.512.418
Shareholders Equity	1.749.294	962.254	1.472.650	357.660	313.816
Gross Revenue	55.402	67.928	27.117	37.351	15.936
Result before the Govt Subsidy	(937.358)	(1.172.160)	(626.540)	(2.358.999)	(1.079.335)
Treasury Resources	1.477.255	1.011.173	1.049.642	1.232.329	1.035.491
Subsidy	417.439	530.926	622.555	716.640	722.648
Advances for Future Capital Increases	1.059.816	480.247	427.087	515.689	312.843
Net Income	(519.919)	(641.235)	(3.985)	(1.642.359)	(356.687)
Dividends	-	-	-	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00



### Financial Indicators

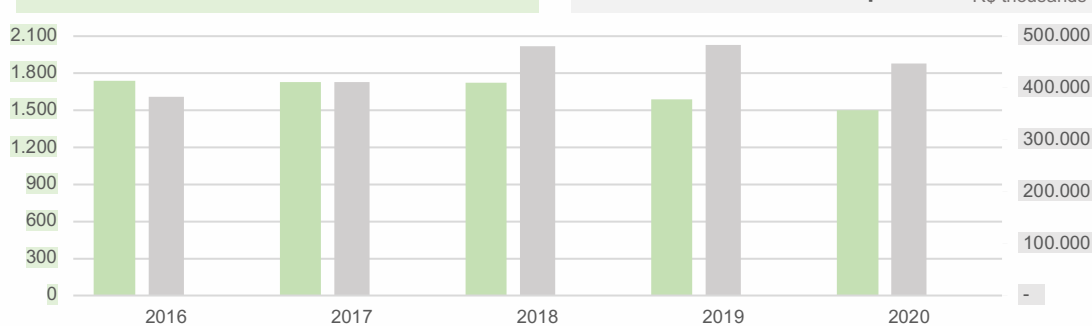
Cost of Activity (%)	N.A.
ROE (%)	96,10

N.A. – Not Available

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
33.047,43	33.047,43	-	-	3.580,14	3.580,14

### Work Force



**1.501**  
Employees

**52,43**  
Average Age

**21,43**  
Average Working years

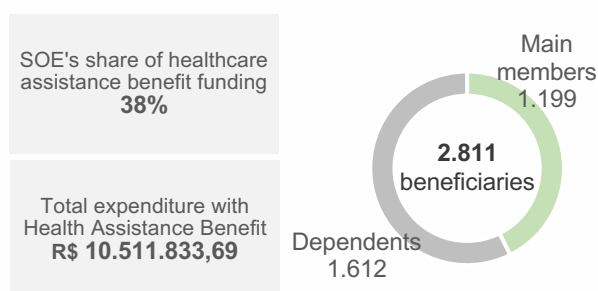
### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	<b>8,28</b>	<b>3,97</b>	<b>1,69</b>	<b>3,55</b>	<b>0,00</b>
Readjustment/ Inflation (%)	89,22	97,30	61,23	71,86	0,00

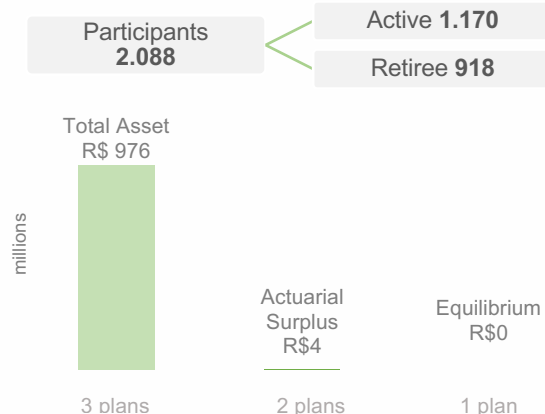
### Employees' remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
39.293	2.302	20.797

### Healthcare Assistance Benefit (BAS)



### Pension Plan



**CONAB - BRAZILIAN FOOD SUPPLY COMPANY**
[www.conab.gov.br](http://www.conab.gov.br)

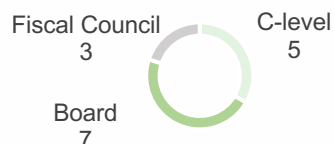
Head office: **Brasilia - DF**  
 Line Ministry: **Ministry of Agriculture, Livestock and Food Supply**  
 Fiscal Status: **Dependent**  
 Size: **Large**  
 Stablishment Act: **Decree n° 99.944/1990**

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	2.508.222	2.439.837	1.978.287	3.550.756	3.578.275
Liabilities	2.112.230	2.064.221	1.608.768	3.197.862	3.256.511
Shareholders Equity	395.993	375.616	369.519	352.894	321.764
Gross Revenue	949.197	515.243	302.703	233.528	211.258
Result before Govt Subsidy	(958.896)	(984.229)	(905.588)	(1.059.129)	(1.008.012)
Treasury Resources	974.013	963.853	899.491	1.042.503	977.601
Subsidy	974.013	963.853	899.491	1.042.503	977.601
Advances for future Capital Increases	-	-	-	-	-
Net Income	7.684	(20.376)	(6.097)	(16.625)	(30.411)
Dividends	-	1.830	-	-	-

**Shareholder Structure**

	% total
Brazilian Government	100,00


**Financial Indicators**

Cost of Activity (%)	90,73
Financing Needs (%)	77,55

**Board, Fiscal Council and C-level Remuneration (R\$)**

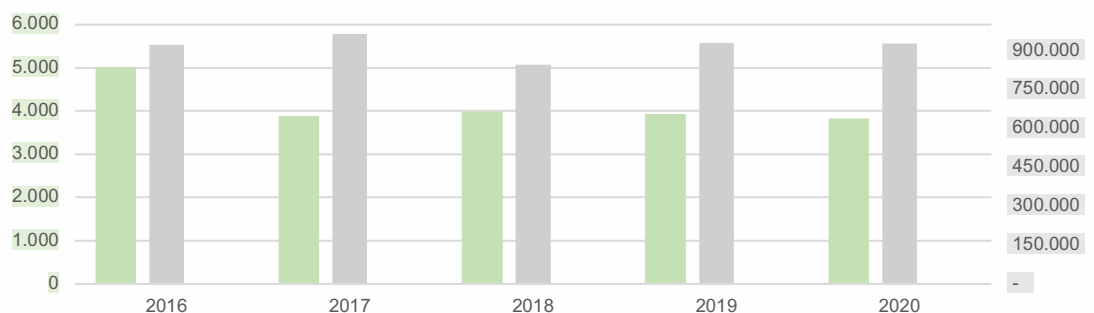
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
31.591,09	31.591,09	-	-	3.422,37	3.422,37

**Work Force**

x

**Personnel Expenses**

R\$ thousand


**3.822**  
Employees

**55,8**  
Average Age

**19,22**  
Average Working years

**Salary Readjustment - Collective Bargaining Agreement**

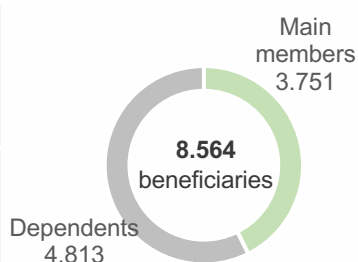
Year	2016	2017	2018	2019	2020
Readjustment (%)	<b>7,97</b>	<b>1,73</b>	<b>2,18</b>	<b>Under negotiation</b>	
Readjustment/Inflation (%)	88,85	70,33	52,03	-	

**Employees' Remuneration (R\$)**

CGPAR Resolution n° 3/2010		
Highest	Lowest	Average
39.293	2.203	6.034

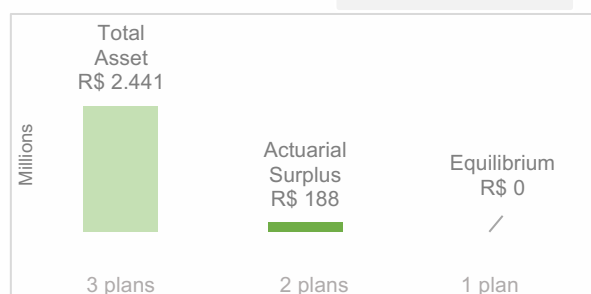
**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding **85%**

 Total expenditure with Health Assistance Benefit **R\$ 55.192.611,16**

**Pension Plan**

 Participants **4.921**

 Active **2.976**

 Retiree **1.945**




## GHC - CONCEIÇÃO HOSPITAL GROUP

[www.ghc.com.br](http://www.ghc.com.br)

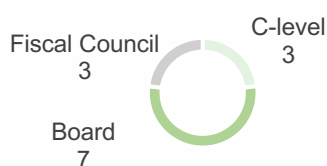
Head Office: Porto Alegre - RS  
 Line Ministry: Ministry of Health  
 Fiscal Status: Dependent  
 Size: Small  
 Stablishment act: Decree nº 75.403/1975

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	505.836	487.646	476.070	486.210	550.939
Liabilities	3.168.919	3.132.682	1.009.992	1.020.118	981.971
Shareholders Equity	(2.663.083)	(2.645.035)	(533.922)	(533.909)	(431.032)
Gross Revenue	218.129	197.638	2.661	4.195	3.602
Result before Govt Subsidy	(840.570)	(1.657.658)	641.087	(1.559.288)	(1.445.343)
Treasury Resources	1.187.862	1.260.815	1.464.153	1.559.301	1.548.220
Subsidy	1.140.575	1.229.899	1.433.932	1.520.584	1.487.077
Advances for future Capital Increases	47.287	30.650	30.221	38.717	61.143
Net Income	297.738	(427.759)	2.075.019	(38.703)	41.734
Dividends	-	-	-	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00



### Financial Indicators

Cost of Activity (%)	38,49
Financing Needs (%)	97,61

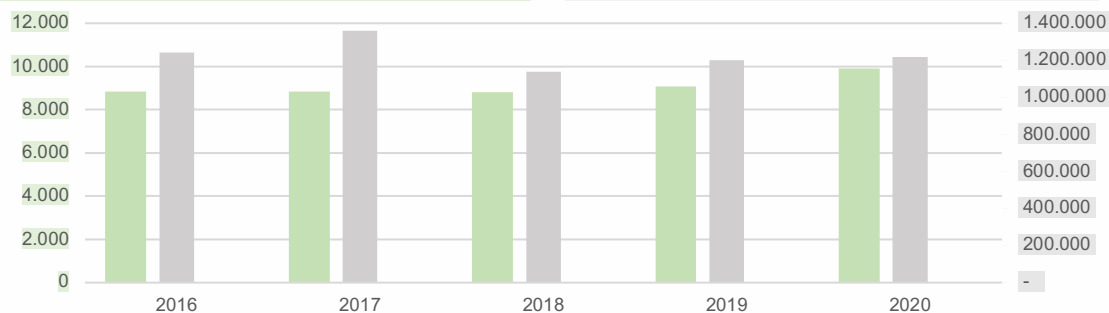
### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
-	25.394,45	-	-	2.751,07	2.751,07

### Work Force

### Personnel expenses

R\$ thousands



### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,91	4,57	2,00	4,67	Under negotiation
Readjustment/Inflation (%)	105,54	100,00	74,63	101,97	-



**9.913**  
Employees

**45,94**  
Average Age

**12,74**  
Average working years

### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
39.293	1.797	8.521

The company does not offer pension plan and Healthcare Assistance Benefit.

**CPRM - MINERAL RESOURCES RESEARCH COMPANY**
[www.cprm.gov.br](http://www.cprm.gov.br)

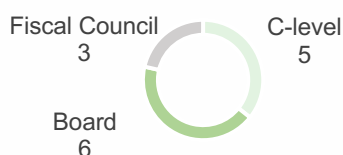
 Head office: Brasília - DF  
 Line Ministry: Ministry of Mines and Energy  
 Fiscal status: Dependent  
 Size: Small  
 Ato de criação: Decree Law nº 764/1969

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	179.805	190.222	196.256	278.837	240.781
Liabilities	189.599	206.065	205.577	223.747	207.110
Shareholders Equity	(9.794)	(15.843)	(9.321)	55.090	33.671
Gross Revenue	2.898	2.607	2.602	2.373	2.876
Result before Govt Subsidy	(538.865)	(538.997)	(528.595)	(423.443)	(506.043)
Treasury Resources	527.733	532.722	538.557	503.161	478.875
Subsidy	527.733	532.722	538.557	503.161	478.875
Advances for future Capital Increases	-	-	-	-	-
Net Income	(11.132)	(6.275)	9.962	70.159	(27.168)
Dividends	-	-	-	-	-

**Shareholder Structure**

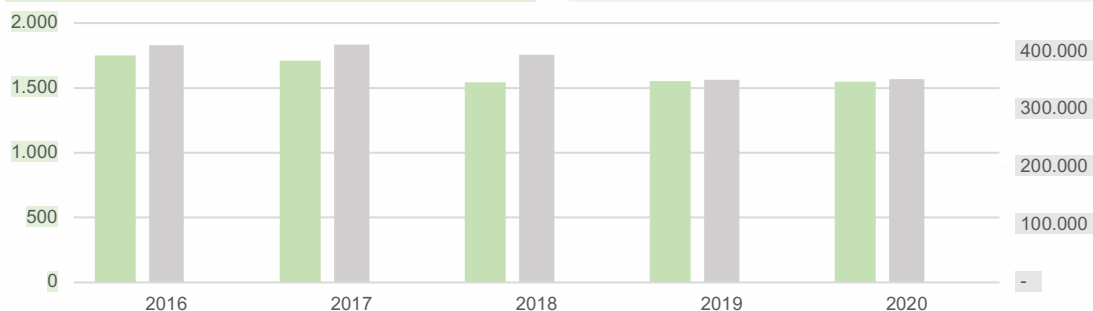
	% total
Brazilian Government	97,33
Eletrobras	0,41
Petrobras	0,41
Others	1,85


**Financial Indicators**

Cost of Activity (%)	60,59
Financing Needs (%)	99,14

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
27.745,96	27.745,96	-	-	3.005,81	3.005,81

**Work Force**

**1.550**  
Employees

**48,04**  
Average Age

**16,63**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

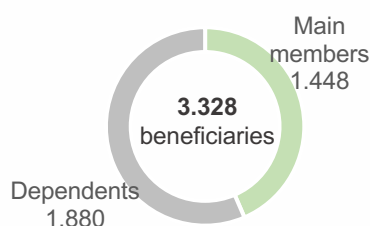
Year	2016	2017	2018	2019	2020
Readjustment (%)	<b>7,84</b>	<b>2,56</b>	<b>2,11</b>	<b>2,32</b>	<b>0,00</b>
Readjustment/Inflation (%)	88,69	85,33	48,06	68,84	0,00

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
27.400	1.889	10.481

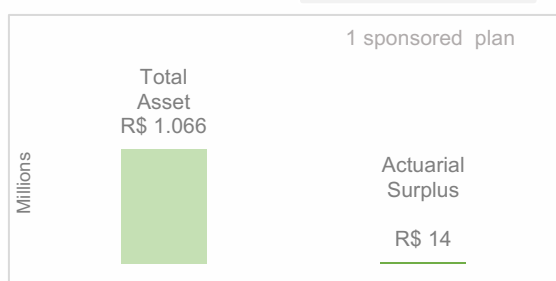
**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding  
**57%**

 Total expenditure with Health Assistance Benefit  
**R\$ 19.796.500,63**

**Pension Plans**

 Participants **2.040**

- Actives **1.158**
- Retiree **882**



## DATAPREV - SOCIAL SECURITY TECHNOLOGY AND INFORMATION COMPANY

[www.dataprev.gov.br](http://www.dataprev.gov.br)

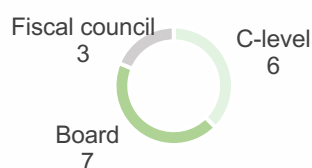
Head office: Brasília - DF  
Line Ministry: Ministry of Economy  
Fiscal status: Non dependent  
Size: Large  
Establishment act: Law nº 6.125/1974

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	1.718.930	1.672.155	1.779.597	1.892.008	2.133.999
Liabilities	698.280	547.256	539.860	539.577	705.352
Total Debt	20.269	20.275	13.861	7.208	554
Shareholders Equity	1.020.650	1.124.899	1.239.737	1.352.430	1.428.647
Gross Revenue	1.436.349	1.501.703	1.545.802	1.636.405	1.658.186
EBTIDA	317.158	230.220	259.843	249.578	403.787
Advances for Future Capital Increases	-	-	-	-	-
Net Income	184.016	136.720	150.607	147.795	265.101
Dividends	43.704	32.471	35.769	35.101	188.884

### Shareholder Structure

	% total
Brazilian Government	51,00
Others	49,00



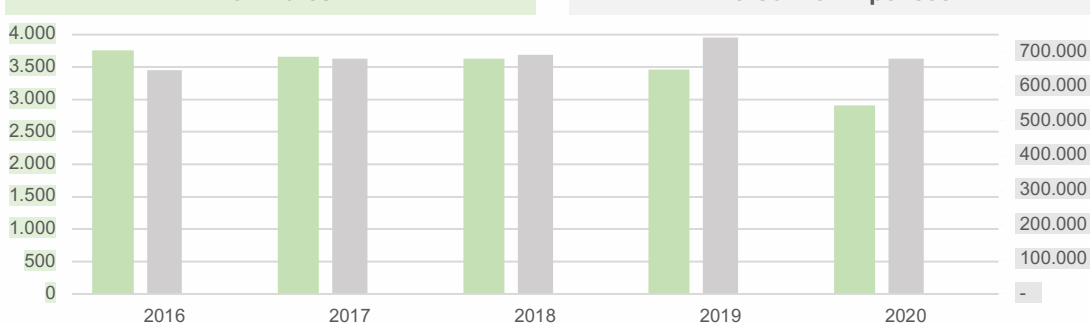
### Financial Indicators

Cost of Activity (%)	59,88
ROE (%)	19,06

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
36.939,86	35.180,82	110.819,58	105.542,46	3.843,02	3.843,02

### Work force



**2.907**  
Employees

**48,73**  
Average Age

**18,85**  
Average Working Years

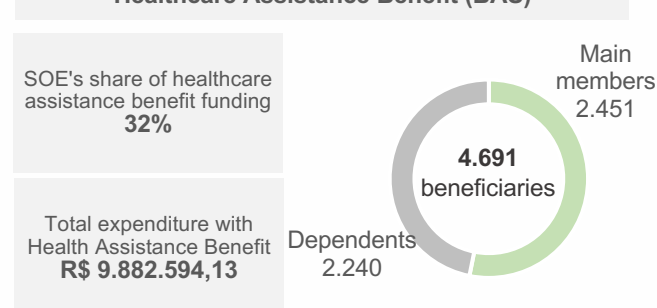
### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,28	3,99	1,01	3,55	1,23
Readjustment/Inflation (%)	100,00	97,79	36,59	71,86	51,25

### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
34.587	3.097	7.140

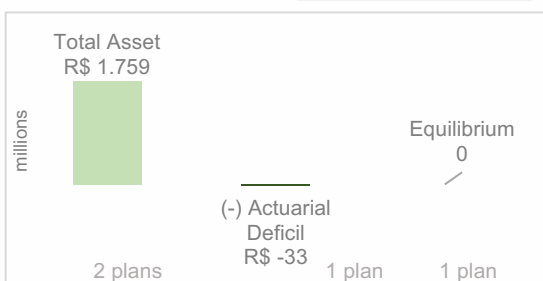
### Healthcare Assistance Benefit (BAS)



**PAID PLR**  
**R\$ 16,6 MILLIONS**

### Pension Plans

Participants **6.002**  
Active **3.827**  
Retiree **2.175**



## EBC – BRAZILIAN COMMUNICATION ENTERPRISE

[www.ebc.com.br](http://www.ebc.com.br)

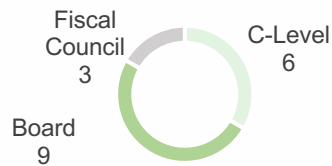
Head Office: Brasília - DF  
 Line Ministry: Presidency of Brazil  
 Fiscal Status: Dependent  
 Size: Small  
 Establishment Act: Decree nº 6.246/2007

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	1.102.709	1.153.370	559.972	558.948	559.093
Liabilities	743.453	795.021	158.467	159.631	144.082
Shareholders Equity	359.256	358.349	401.505	399.317	415.012
Gross Revenue	85.639	48.662	59.112	41.654	43.667
Result before the Govt Subsidy	(381.791)	(433.020)	(455.690)	(331.780)	(393.026)
Treasury Resources	450.140	430.424	478.314	365.176	409.217
Subsidy	363.117	430.424	478.314	357.905	396.269
Advances for Future Capital Increases	87.023	-	-	7.271	12.948
Net Income	(18.674)	(5.361)	20.445	17.711	2.747
Dividends	-	-	-	28.779	-

### Shareholders Structure

	% total
Brazilian Government	100,00



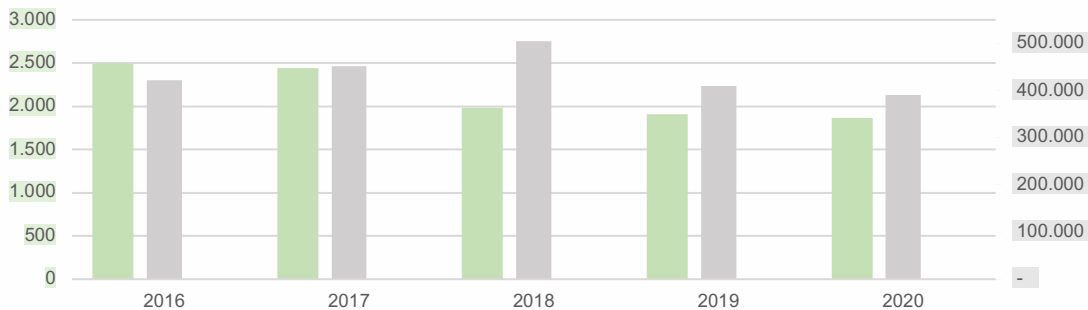
### Financial Indicators

Cost of Activity (%)	964,91
Financing Needs (%)	74,26

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
29.572,70	25.136,79	-	-	2.836,15	2.836,15

### Work Force



**1.861**  
Employees

**46,42**  
Average Age

**14,14**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	6,87	0,00	3,60	1,79	Under Negotiation
Readjustment/Inflation (%)	87,29	0,00	78,95	70,47	-

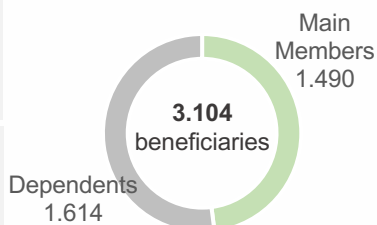
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
47.532	2.595	10.572

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit fundi  
**N.A.**

Total expenditure with Health Assistance Benefit  
**R\$ 20.629.750,12**



### Pension Plans

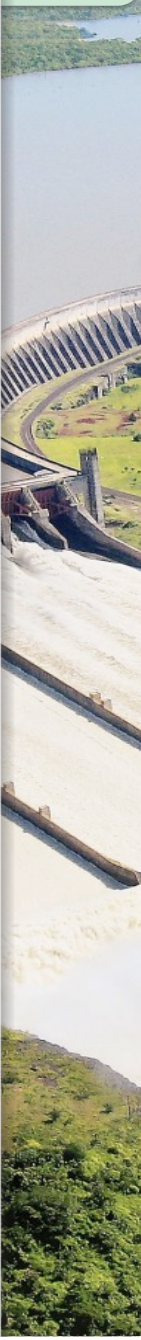
Participants **955**  
 Active **804**  
 Retiree **151**

Total Assets  
**R\$ 333**

1 sponsored plan

R\$ Million

Actuarial Surplus  
**R\$ 7**



**EBSERH - BRAZILIAN COMPANY FOR HOSPITAL SERVICES**

[www.ebserh.gov.br](http://www.ebserh.gov.br)

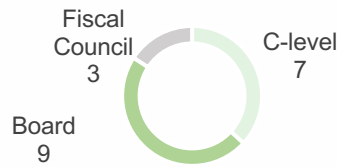
Head office: Brasília - DF  
Line Ministry: Ministry of Education  
Fiscal Status: Dependent  
Size: Small  
Stabishment act: Law nº 12.550/2011

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	241.380	374.130	675.271	1.084.176	1.695.115
Liabilities	182.195	267.074	738.393	1.210.691	1.640.616
Shareholders Equity	59.184	107.056	(63.122)	(126.515)	54.499
Gross Revenue	249.244	344.578	514.945	68.952	88.714
Result before Govt Subsidy	(2.948.981)	(3.655.051)	(4.767.914)	(5.925.923)	(7.335.334)
Treasury Resources	2.930.430	3.655.204	4.551.133	5.793.001	7.321.614
Subsidy	2.884.463	3.640.152	4.540.457	5.808.013	7.321.614
Advances for future Capital Increases	45.967	15.052	10.675	(15.012)	-
Net Income	(64.517)	(14.899)	(227.457)	(117.910)	(13.720)
Dividends	-	-	-	-	-

**Shareholder Structure**

	% total
Brazilian Government	100,00



**Financial Indicators**

Cost of Activity (%)	N.A
Financing Needs (%)	98,78

N.A. – Not Applicable

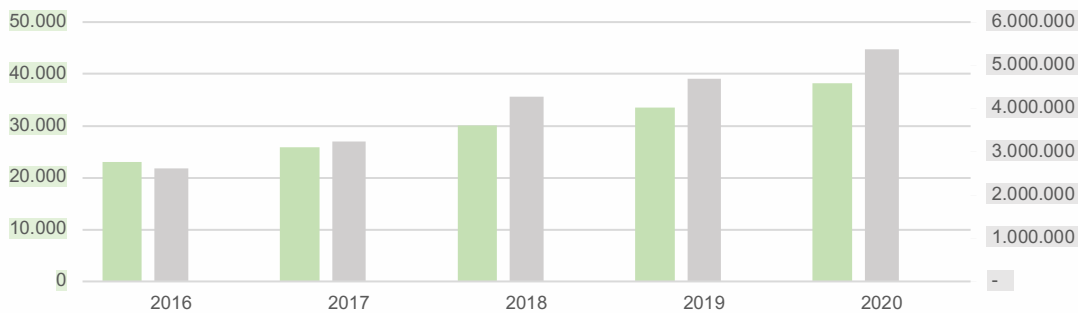
**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
28.674,57	26.954,08	-	-	2.946,65	2.946,65

**Work force**

**Personnel expenses**

R\$ thousand



**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,00	4,76	1,99	3,90	<b>Under Negotiation</b>
Readjustment/ Inflation (%)	86,87	100,00	70,07	100,26	-



**38.154**  
Employees

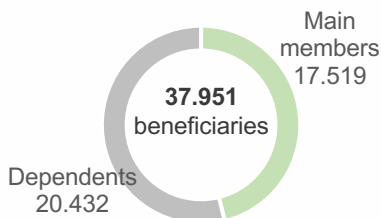
**39,95**  
Average Age

**3,49**  
Average Working year

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding  
**Not Applicable - N.A**

Total expenditure with Health Assistance Benefit  
**R\$ 49.009.802,78**



**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
18.200	2.255	5.919

The company does not offer a pension plan.

## ECT - BRAZILIAN POST OFFICE COMPANY

[www.correios.com.br](http://www.correios.com.br)

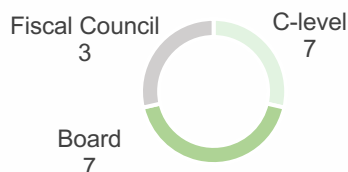
Head Office: Brasília - DF  
Line Ministry: Ministry of Communications  
Fiscal status: Non dependent  
Size: Large  
Stablishment Act: Decree-Law nº 509/1969

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	14.526.717	13.460.218	13.523.940	14.335.485	14.090.717
Liabilities	14.627.587	13.300.729	13.269.226	14.188.642	13.140.977
Total Debt	721.923	727.729	545.082	1.703.968	2.013.835
Shareholders Equity	(100.870)	159.490	254.714	146.844	949.740
Gross Revenue	18.886.460	18.309.924	18.907.982	19.104.605	17.963.561
EBITDA	(587.316)	1.074.099	335.584	675.150	1.454.969
Advances for future Capital Increases	-	-	224.000	-	-
Net Income	(1.489.505)	667.308	161.049	102.121	1.530.376
Dividends	-	-	-	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00



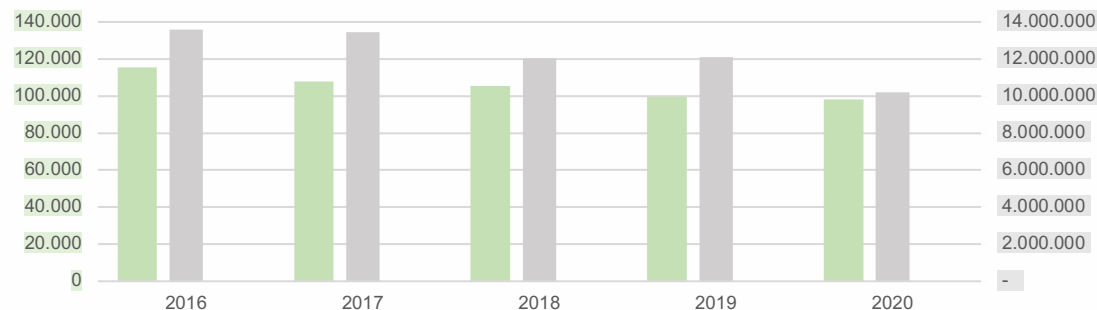
### Financial Indicators

Cost of Activity (%)	80,98
ROE (%)	279,12

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
46.727,77	40.632,85	-	-	4.496,22	4.496,22

### Work Force



**98.101**  
Employees

**45,9**  
Average Age

**18,52**  
Average Working years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustments (%)	9,00	2,07	3,61	3,00	2,60
Readjustment/Inflation (%)	102,97	76,38	80,58	93,17	112,55

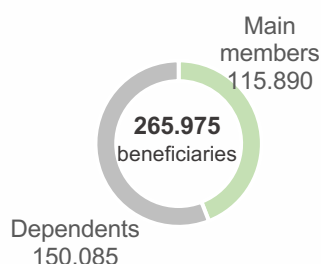
### Employees' remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
50.080	1.327	4.266

### Healthcare Assistance Benefit (BAS)

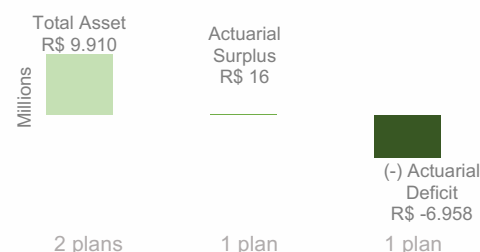
SOE's share of healthcare assistance benefit funding  
**68%**

Total expenditure with Health Assistance Benefit  
**R\$ 1.479.961.177,89**



### Pension Plans

Participants **165.153**  
Active **132.989**  
Retiree **32.164**



**ELETROBRAS - BRAZILIAN ELECTRIC COMPANY**

[www.eletrobras.com/](http://www.eletrobras.com/)

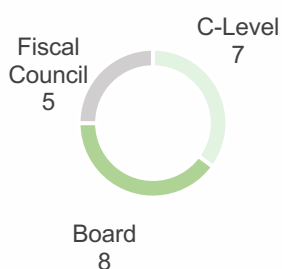
Head Office: Brasília - DF  
 Line Ministry: Ministry of Mines and Energy  
 Fiscal Status: Non dependent  
 Size: Large  
 Stablishment Act: Law nº 3.890-A/1961

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	170.499.427	172.975.359	181.710.095	178.622.483	178.966.449
Liabilities	123.124.093	126.583.386	122.156.782	107.412.972	105.141.095
Total Debt	46.991.308	46.670.390	55.817.142	49.106.830	48.055.228
Shareholders Equity	47.375.334	46.391.973	59.553.313	71.209.511	73.825.354
Gross Revenue	70.987.912	33.711.459	30.079.534	35.675.436	35.228.231
EBTIDA	17.798.604	3.563.359	18.111.357	14.758.976	10.487.161
Advances for Future Capital Increases	2.906.180	0	0	0	0
Net Income	3.513.276	(1.725.691)	13.347.577	11.133.420	6.387.313
Dividends	5.790	381.436	64.499	1.183.146	2.584.472

**Shareholders Structure**

	% total
Brazilian Government	42,57
BNDES	5,92
BNDESPAR	10,23
Others	41,29



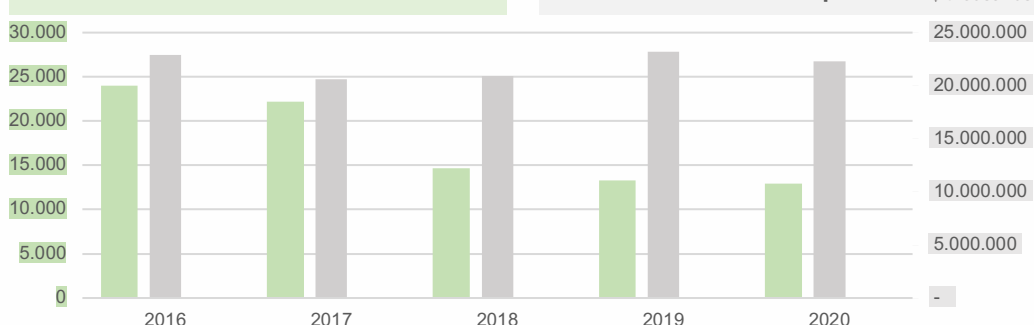
**Board, Fiscal Council and C-level Remuneration (R\$ - individual/year)**

	Board	Fiscal Council	C-Level
Highest	470.047,44	78.341,16	1.029.791,51
Lowest	65.284,32	78.341,16	984.125,04
Average	176.482,98	75.454,91	1.019.776,82

**Financial Indicators**

Cost of Activity (%)	46,17
ROE (%)	8,81
Net Debt/ EBITDA	4,55

**Work Force**



**12.952**  
Employees

**48,81**  
Average Age

**17,8**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,28	4,08	1,69	3,55	2,46
Readjustment/ Inflation (%)	100,0 0	100,0 0	61,23	71,86	102,5 0

**Employees' Remuneration (R\$)**

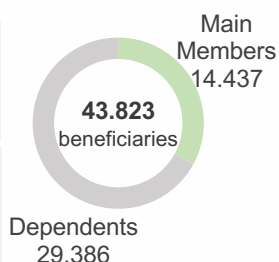
CGPAR Resolution nº 3/2010

	Highest	Lowest	Average
	93.068	1.993	13.911

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding **92%**

Total expenditure with Health Assistance Benefit **R\$ 399.191.336,91**



**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 449.369,84**  
**89 DISMISSED EMPLOYEES**

**Pension Plans**

Participants **44.361**  
 Active **16.340**  
 Retiree **28.021**



## EMBRAPA - BRAZILIAN AGRICULTURAL RESEARCH CORPORATION

[www.embrapa.br](http://www.embrapa.br)

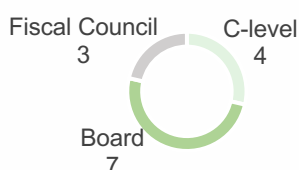
Head Office: Brasília - DF  
 Line Ministry: Ministry of Agriculture, Livestock and Food Supply  
 Fiscal status: Dependent  
 Size: Small  
 Establishment Act: Law nº 5.851/1972

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	1.680.113	1.344.490	1.510.120	1.539.351	1.545.981
Liabilities	433.158	483.086	761.142	977.333	952.476
Shareholders Equity	1.246.955	861.404	748.978	562.017	593.505
Gross Revenue	25.782	23.970	25.067	27.631	26.222
Result before Govt Subsidy	(3.611.687)	(3.708.899)	(3.816.653)	(3.996.725)	(3.505.318)
Treasury Resources	3.188.669	3.323.126	3.545.491	3.750.494	3.445.628
Subsidy	3.123.724	3.323.126	3.545.491	3.750.494	3.445.628
Advances for future Capital Increases	64.946	-	-	-	-
Net Income	(487.963)	(385.773)	(271.161)	(246.232)	(59.691)
Dividends	-	-	-	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00



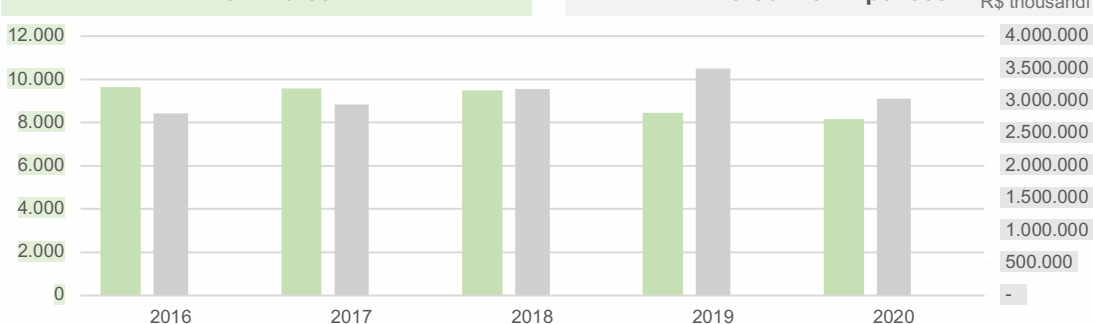
### Financial Indicators

Cost of Activity (%)	9,20
Financing Needs (%)	98,78

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
31.230,78	31.230,78	-	-	3.383,33	3.383,33

### Work Force



**8,151**  
Employees

**51,71**  
Average Age

**21,39**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,28	3,97	1,69	3,55	0,00
Readjustment/Inflation (%)	89,22	97,30	61,23	71,86	0,00

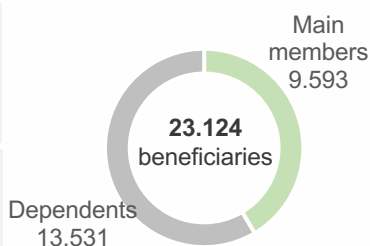
### Employees' remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
39.293	2.362	20.194

### Healthcare Assistance Benefit (BAS)

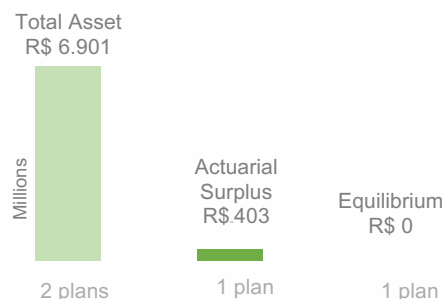
SOE's share of healthcare assistance benefit funding **62%**

Total expenditure with Health Assistance Benefit **R\$ 109.979.096,35**



### Pension Plans

Participants **11.839**  
 Active **6753**  
 Retiree **5.086**



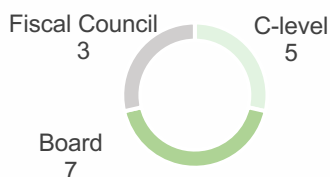
**EMGEA - ASSET MANAGER COMPANY**
[www.emgea.gov.br](http://www.emgea.gov.br)

 Head Office: **Brasília - DF**  
 Line Ministry: **Ministry of Economy**  
 Fiscal status: **Non dependent**  
 Size: **Large**  
 Stablishment Act: **Provisional Measure nº 2.155/2001**
**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	14.419.158	14.521.309	14.737.228	14.785.886	15.081.070
Liabilities	4.456.473	4.364.153	4.460.743	4.275.127	4.201.017
Total Debt	3.831.628	3.876.558	3.864.177	3.850.649	3.765.713
Shareholders Equity	9.962.685	10.157.156	10.276.485	10.510.758	10.880.053
Gross Revenue	1.599.446	1.272.635	1.369.137	994.796	856.004
EBITDA	228.440	168.363	379.149	295.452	387.619
Advances for future Capital Increases	-	-	-	-	-
Net Income	208.130	190.216	396.216	307.244	388.623
Dividends	-	-	-	317.634	-

**Shareholder Structure**

	% total
Brazilian Government	100,00

**Financial Indicators**

Cost of Activity (%)	246,33
ROE (%)	3,63

**Board, Fiscal Council and C-level Remuneration (R\$)**

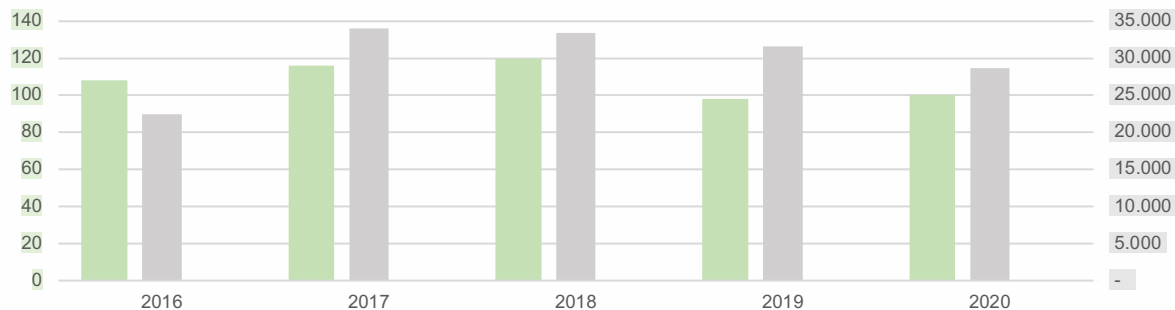
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
42.827,16	40.787,77	96.361,11	91.772,48	4.462,86	4.462,86

**Work Force**

x

**Personnel Expenses**

R\$ thousands

**Salary Readjustment - Collective Bargaining Agreement**

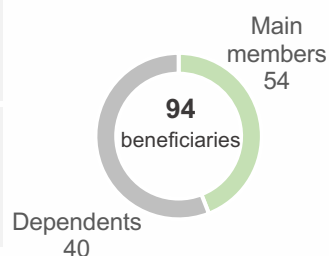
Not Applicable - N.A

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
22.837	5.519	10.620

**Healthcare Assistance Benefit (BAS)**
 SOE's share of healthcare assistance benefit funding  
**N.A**

 Total expenditure with Health Assistance Benefit  
**R\$ 402.984,47**

**100**  
 Employees

**43,4**  
 Average Age

**5,0**  
 Average Working years

The company does not offer pension plans.

## EMGEPRON - BRAZILIAN COMPANY FOR NAVY PROJECTS

[www.marinha.mil.br/emgepron/pt-br](http://www.marinha.mil.br/emgepron/pt-br)

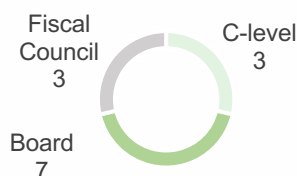
Head Office: Brasília - DF  
Line Ministry: Ministry of Defense  
Fiscal status: Non dependent  
Size: Large  
Stablishment Act: Law nº 7.000/1982

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	191.187	188.001	2.867.068	10.573.718	10.800.940
Liabilities	77.023	73.256	71.836	74.699	133.940
Total Debt	-	-	-	-	-
Shareholders Equity	114.164	114.745	2.795.232	10.499.019	10.667.000
Gross Revenue	167.168	184.349	174.994	156.438	123.764
EBITDA	1.936	(165)	(3.743)	(2.275)	(47.237)
Advances for future Capital Increases	-	-	2.645.821	7.604.179	-
Net Income	1.049	762	45.466	130.634	220.304
Dividends	1.483	997	181	10.801	31.026

### Shareholder Structure

	% total
Brazilian Government	100,00



### Financial Indicators

Cost of Activity (%)	89,04
ROE (%)	2,08

### Board, Fiscal Council and C-level Remuneration (R\$)

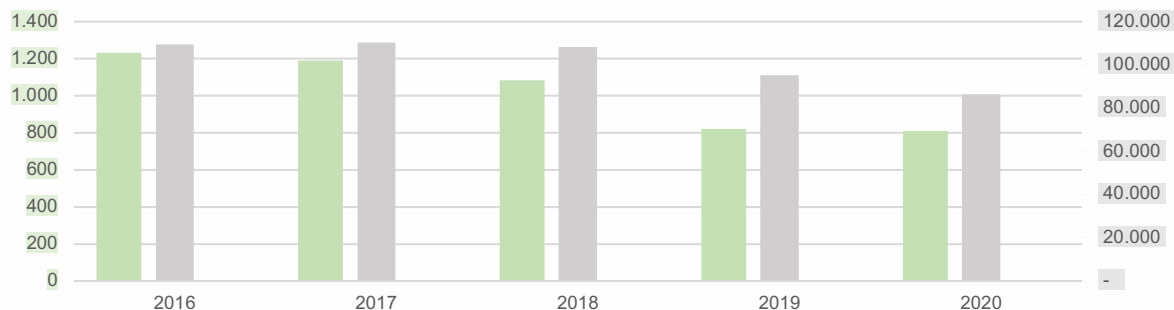
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
24.511,66	24.511,66	-	-	2.655,43	2.655,43

### Work Force

x

### Personnel Expenses

R\$ thousands



### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustments(%)	0,00		8,00		Under negotiation
Readjustment/Inflation (%)	0,00		127,19		-

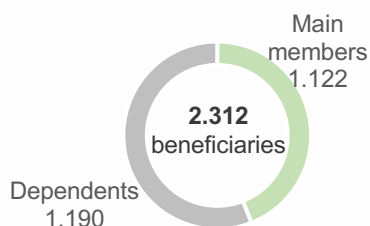
### Employees` Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
29.664	1.164	6.344

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**57%**

Total expenditure with Health Assistance Benefit  
**R\$ 2.318.390,40**



**810**  
Employees

**49,13**  
Average Age

**13,27**  
Average Working years

The company does not offer pension plans.



## EPE – ENERGY RESEARCH OFFICE

[www.epe.gov.br](http://www.epe.gov.br)

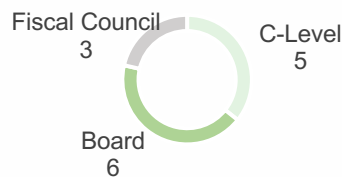
Head Office: Rio de Janeiro - RJ  
Line Ministry: Ministry of Mines and Energy  
Fiscal Status: Dependent  
Size: Small  
Stablishment Act: Decree nº 5.184/2004

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	41.625	40.570	42.225	44.212	49.266
Liabilities	11.708	15.287	20.262	22.278	22.892
Shareholders Equity	29.916	25.283	21.963	21.934	26.374
Gross Revenue	-	-	-	-	-
Result before the Govt Subsidy	(98.374)	(98.120)	(105.682)	(105.594)	(100.905)
Treasury Resources	102.262	98.652	111.915	106.905	105.544
Subsidy	102.262	98.652	111.915	106.905	99.877
Advances for Future Capital Increases	-	-	-	-	5.667
Net Income	2.861	186	4.065	785	(1.227)
Dividends	2.297	2.864	4.922	151	-

### Shareholders Structure

	% total
Brazilian Government	100,00



### Financial Indicators

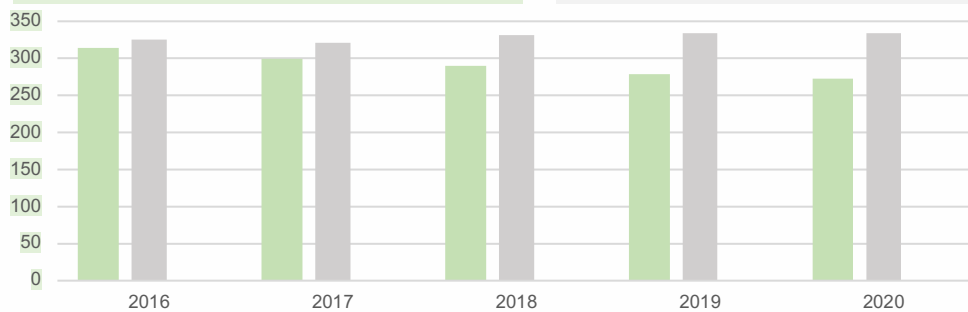
Cost of Activity (%)	N.A
Financing Needs (%)	99,03

N.A. – Not Applicable

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
32.482,13	32.482,13	-	-	3.518,90	3.518,90

### Work Force



### Personnel Expenses R\$ thousands



**273**  
Employees

**42,65**  
Average Age

**9,21**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,00	3,92	1,69	3,55	Under negotiation
Readjustment/Inflation (%)	86,21	96,08	61,23	71,86	-

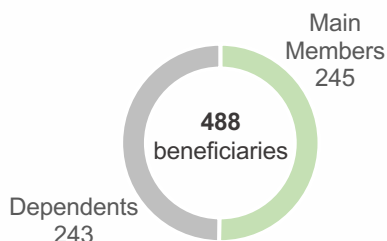
### Employees' Remuneration (R\$)

CGPAR	Resolution	CGPAR nº 3/2010
Highest	Lowest	Average
28.786	3.716	13.759

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**N. A.**

Total expenditure with Health Assistance Benefit  
**R\$ 1.570.068,29**



### Pension Plans

Participants  
**322**

Active **297**

Retiree **25**

Total Assets  
R\$ 118

1 sponsored plan

Millions

Equilibrium = 0

## EPL - PLANNING AND LOGISTICS COMPANY

www.epl.gov.br

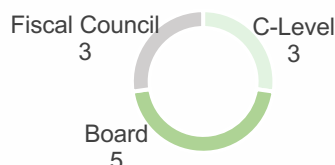
Head Office: Brasília - DF  
 Line Ministry: Ministry of Infrastructure  
 Fiscal Status: Dependent  
 Size: Small  
 Stablishment Act: Law nº 12.404/2011

## Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	73.710	55.900	74.111	90.245	123.714
Liabilities	4.376	2.375	5.993	6.010	20.280
Shareholders Equity	69.334	53.525	68.118	84.236	103.433
Gross Revenue	-	-	1.031	2.496	1.631
Result before the Govt Subsidy	(63.695)	(55.934)	(63.846)	(44.023)	(46.004)
Treasury Resources	55.547	58.568	78.648	60.618	65.202
Subsidy	43.308	43.632	66.288	45.922	38.674
Advances for Future Capital Increases	12.239	14.936	12.360	14.696	26.528
Net Income	(20.388)	(12.302)	1.736	1.422	(7.330)
Dividends	-	-	-	-	-

## Shareholders Structure

	% total
Brazilian Government	100,00



## Financial Indicators

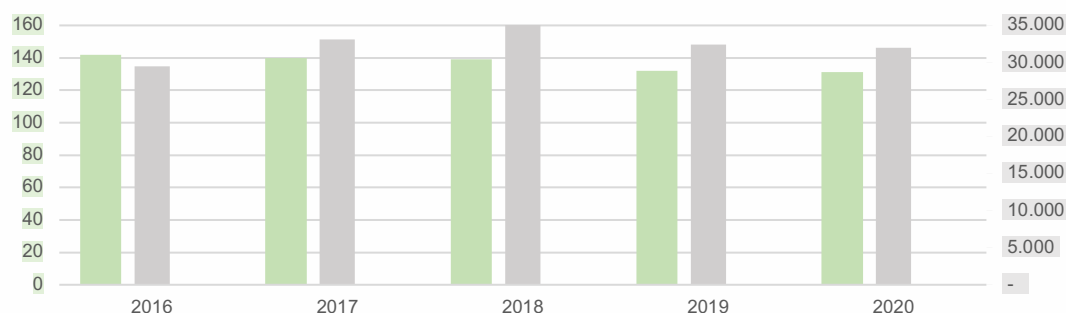
Cost of Activity (%)	48,29
Financing Needs (%)	92,25

## Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
29.274,26	29.274,26	-	-	3.171,38	3.171,38

## Work Force

## Personnel Expenses R\$ thousands



## Salary Readjustment - Collective Bargaining Agreement

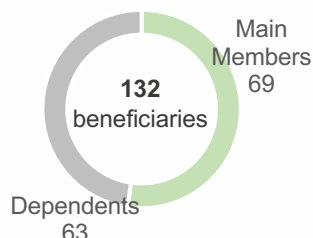
Year	2016	2017	2018	2019	2020
Readjustment (%)	4,50	1,00	1,40	0,00	0,00
Readjustment/ Inflation (%)	64,38	35,71	34,57	0,00	0,00

131  
Employees39,89  
Average Age2,27  
Average Working  
Years

## Healthcare Assistance Benefit (BAS)

SOE's share of  
healthcare assistance  
benefit fundi  
**N.A.**

Total expenditure with  
Health Assistance  
Benefit  
**R\$ 295.088,00**



## Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
23.545	5.886	14.716

## FINEP - STUDIES AND PROJECTS FINANCING COMPANY

[www.finep.gov.br](http://www.finep.gov.br)

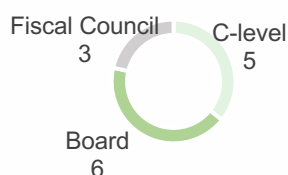
Head Office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Science, Technology, Innovations and Communications  
 Fiscal Status: Non dependent  
 Size: **Large**  
 Stablishment act: Decree nº 61.056/1967

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	18.296.416	18.892.640	19.012.939	17.184.961	16.103.656
Liabilities	16.299.451	16.891.127	16.897.831	14.850.266	13.663.228
Shareholders Equity	1.996.966	2.001.513	2.115.107	2.334.695	2.440.428
Income from Financial Intermediation	1.423.302	1.414.389	1.208.921	1.525.166	1.187.649
Service Fee Income	62.296	77.063	89.637	82.711	60.896
Net Income	162.287	(24.485)	180.171	32.636	206.045
Dividends	75.076	47.144	-	43.893	7.975

### Shareholder Structure

	% total
Brazilian Government	100,0



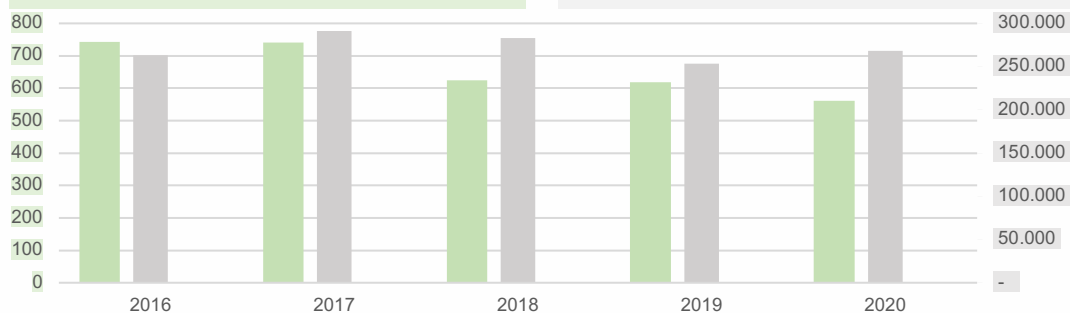
### Financial Indicators

Personnel coverage index (%)	22,72
ROE (%)	8,63

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
41.503,57	39.527,20	124.510,71	118.581,60	4.324,93	4.324,93

### Work Force



**562**  
Employees

**46,71**  
Average Age

**14,49**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	8,00	2,73	2,55	2,30	1,50
Readjustment/ Inflation (%)	89,19	110,98	60,86	67,06	61,48

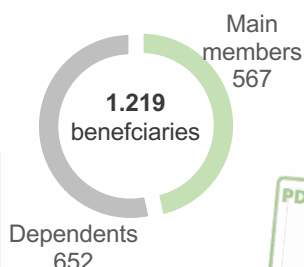
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
45.271	4.488	20.201

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**Not applicable**

Total expenditure with Health Assistance Benefit  
**R\$ 9.265.861,78**

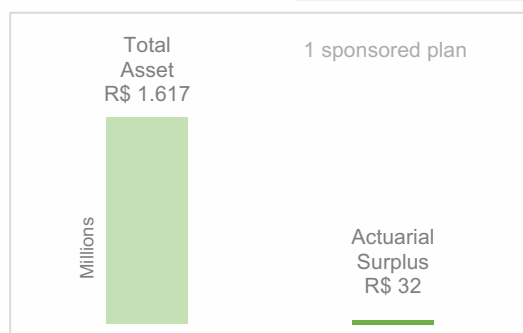


**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 190.173,50**  
**53 DISMISSED EMPLOYEES**

**PAID PLR**  
**R\$ 3,4 MILLIONS**

### Pension Plans

Participants **996**  
 Active **546**  
 Retiree **450**





# HEMOBRÁS - BRAZILIAN COMPANY OF BLOOD DERIVATIVES AND BIOTECHNOLOGY

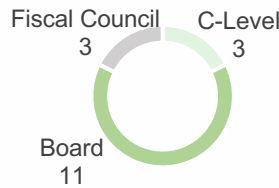
[www.hemobras.gov.br](http://www.hemobras.gov.br)

Head office: Brasília - DF  
 Line ministry: Ministry of Health  
 Fiscal Status: Non dependent  
 Size: Large  
 Stablishment act: Law nº 10.972/2004

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	1.154.791	1.450.199	1.741.262	1.868.977	2.066.122
Liabilities	609.307	667.376	660.915	644.712	848.186
Total Debt	-	-	-	-	-
Shareholders Equity	545.484	782.823	1.080.348	1.224.265	1.217.937
Gross Revenue	644.962	738.932	729.508	815.421	781.920
EBTIDA	66.654	263.420	93.883	164.606	140.312
Advances for Future Capital Increases	221.292	65.426	302.262	-	-
Net Income	117.173	171.914	(21.030)	143.917	(6.328)
Dividends	-	-	-	-	-

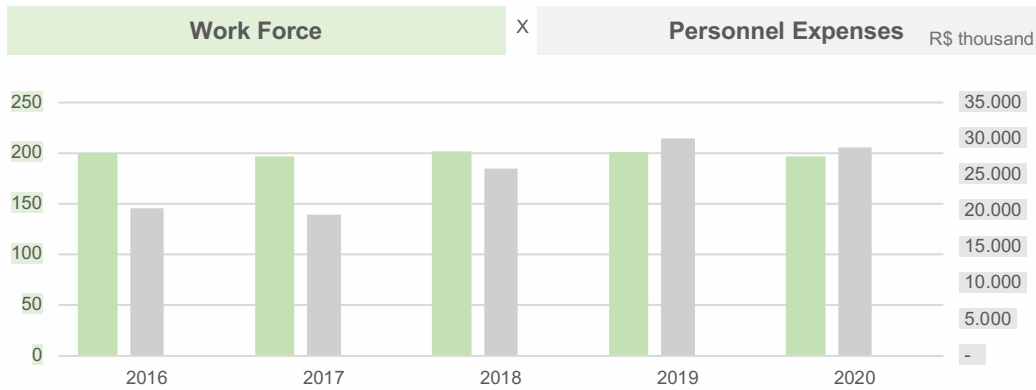
Shareholder Structure	
	% total
Brazilian Government	100,00



Financial Indicators	
Cost of Activity (%)	74,93
ROE (%)	(0,52)

### Board, Fiscal Council and C-level remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
29.398,71	27.998,77	-	-	3.083,75	3.083,75



### Salary Readjustment - Collective Bargaining Agreement

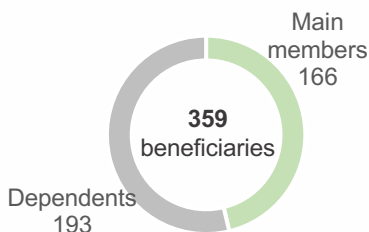
Year	2016	2017	2018	2019	2020
Readjustment (%)	5,50	4,69	1,80	2,16	2,74
Readjustment/Inflation (%)	53,09	98,53	63,38	55,53	68,33



### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**N.A**

Total expenditure with Health Assistance Benefit  
**R\$ 859.677,78**

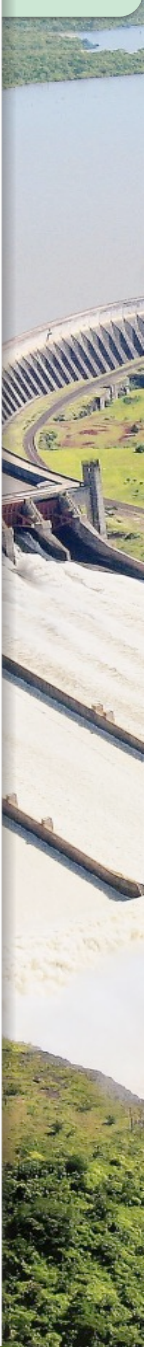


### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
27.503	4.391	11.671

The company does not offer pension plan.





## IMBEL - BRAZILIAN MILITARY EQUIPMENT INDUSTRY

www.imbel.gov.br

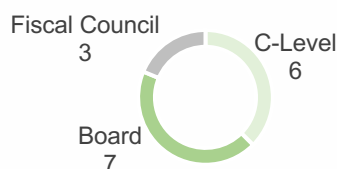
Head Office: Brasília - DF  
Line Ministry: Ministry of Defense  
Fiscal Status: Dependent  
Size: Large  
Stabishment act: Law nº 6.227/1975

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	405.019	475.101	539.002	573.622	566.819
Liabilities	90.558	85.815	99.771	104.835	93.544
Shareholders Equity	314.462	389.285	439.231	468.787	473.275
Gross Revenue	129.142	95.147	144.270	114.046	103.690
Result before Govt Subsidy	(84.720)	(61.869)	(69.442)	(71.539)	(112.108)
Treasury Resources	175.975	168.142	152.157	120.419	85.403
Subsidy	175.975	168.142	152.157	120.419	85.403
Advances for future Capital Increases	-	-	-	-	-
Net Income	70.976	83.197	64.842	38.761	(26.705)
Dividends	-	-	3.372	-	-

### Shareholder Structure

	% total
Brazilian Government	100,00

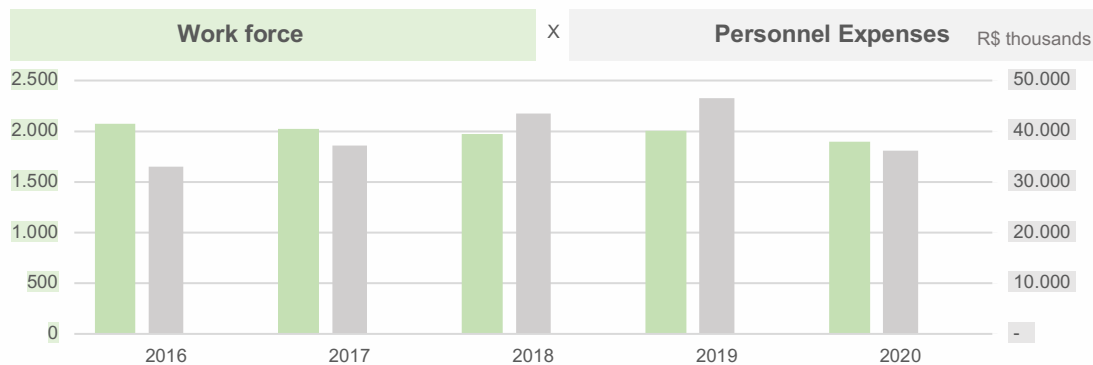


### Financial Indicators

Cost of Activity (%)	72,60
Financing Needs (%)	54,74

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
20.136,72	18.123,04	-	-	2.017,87	2.017,87



### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,80	2,90	1,56	2,34	1,32
Readjustment/Inflation (%)	104,37	63,46	58,21	51,09	40,00



**1.899**  
Employees

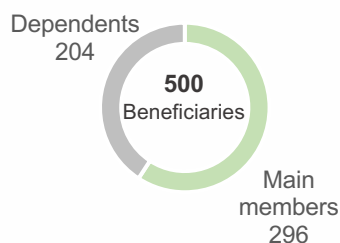
**48,54**  
Average Age

**16,25**  
Average Working years

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**Not Applicable - N.A**

Total expenditure with Health Assistance Benefit  
**R\$ 789.812,00**



### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010

Highest	Lowest	Average
15.167	1.275	2.124

The company does not have a pension plan

## INB - NUCLEAR INDUSTRIES OF BRAZIL

[www.inb.gov.br](http://www.inb.gov.br)

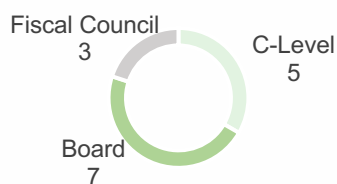
Head Office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Mines and Energy  
 Fiscal Status: Dependent  
 Size: Large  
 Stablishment Act: Law nº 5.740/1971

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Assets	1.136.254	1.316.049	1.550.322	1.886.617	2.254.480
Liabilities	750.735	808.817	874.609	1.048.666	1.112.983
Shareholders Equity	385.519	507.231	675.712	837.951	1.141.497
Gross Revenue	591.863	583.473	518.990	407.229	738.798
Result before the Govt Subsidy	(262.155)	(206.231)	(155.489)	(155.093)	(62.041)
Treasury Resources	261.663	373.126	374.748	365.654	515.285
Subsidy	254.753	373.126	374.748	365.654	515.285
Advances for Future Capital Increases	6.910	-	-	-	-
Net Income	(5.315)	121.027	167.814	161.466	335.080
Dividends	-	-	-	-	-

### Shareholders Structure

	% total
Brazilian Government	100,00



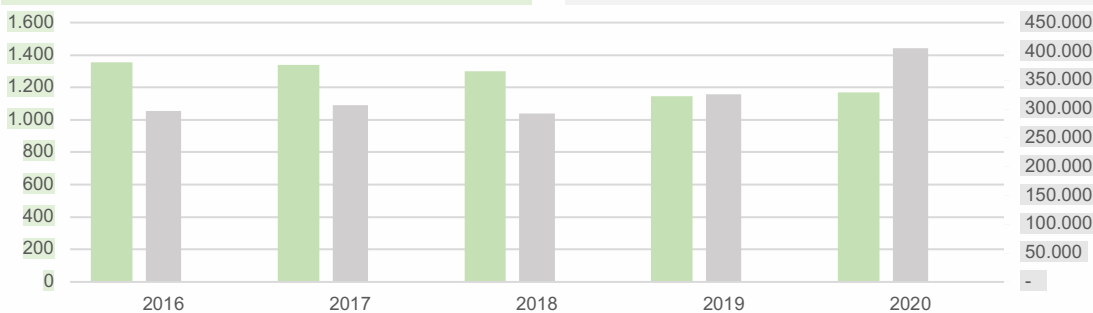
### Financial Indicators

Cost of Activity (%)	60,90
Financing Needs (%)	18,36

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
32.501,87	32.501,87	-	-	3.521,04	3.521,04

### Work Force



**1.171**  
Employees

**45,12**  
Average Age

**13,46**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	7,00	1,83	2,40	0,00	0,00
Readjustment/ Inflation (%)	88,95	67,78	52,63	0,00	0,00

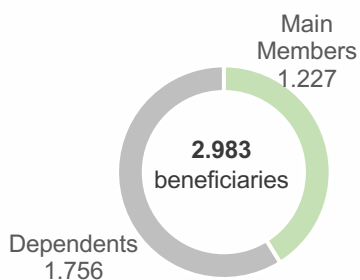
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
39.293	1.993	10.999

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**84%**

Total expenditure with Health Assistance Benefit  
**R\$ 17.165.776,91**



### Pension Plans

Participants **4.747**  
Active **2.845**  
Retiree **1.902**

Total Assets  
R\$ 4.168

1 sponsored plan

Millions

(-) Actuarial Deficit  
R\$ -64

## INFRAERO - Brazilian Company for Airport Infrastructure

[www.infraero.gov.br](http://www.infraero.gov.br)

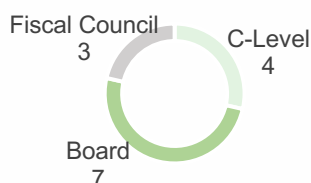
Head Office: Brasília - DF  
Line Ministry: Ministry of Infrastructure  
Fiscal Status: Non dependent  
Size: Large  
Stablishment Act: Law nº 5.862/1972

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	3.910.829	5.724.615	4.996.209	5.202.996	2.875.285
Liabilities	3.485.195	3.820.621	3.190.083	2.610.909	2.360.163
Total Debt	-	-	-	-	54.400
Shareholders Equity	425.634	1.903.994	1.806.126	2.592.087	515.122
Gross Revenue	2.946.797	3.394.235	2.856.897	2.930.171	1.650.647
EBTIDA	(365.484)	(1.703.860)	(668.562)	(179.835)	(2.266.335)
Advances for Future Capital Increases	2.740.222	3.002.696	1.107.304	1.477.158	10.000
Net Income	(751.654)	(1.830.563)	(687.609)	(149.575)	(2.199.390)
Dividends	-	-	-	-	-

### Shareholders Structure

	% total
Brazilian Government	100,00



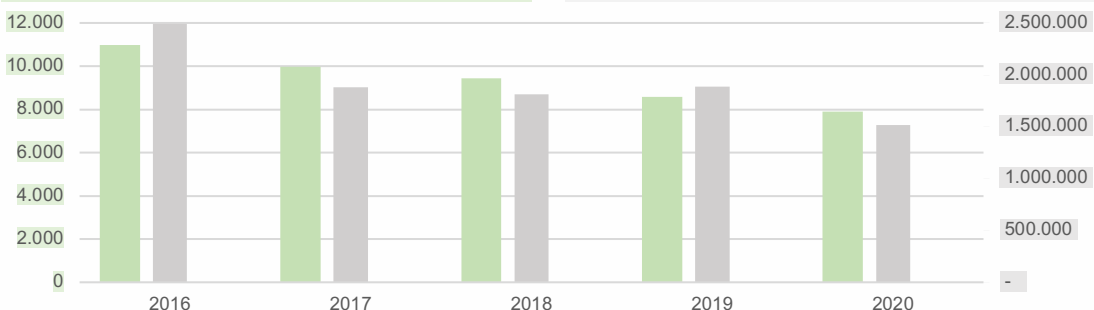
### Financial Indicators

Cost of Activity (%)	91,92
ROE (%)	(141,57)

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
38.173,81	36.356,01	-	-	3.987,80	3.987,80

### Work Force



**7.888**  
Employees

**46,61**  
Average Age

**16,55**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,28	3,99	1,26	3,55	1,85
Readjustment/ Inflation (%)	100,00	97,79	45,65	71,86	77,08

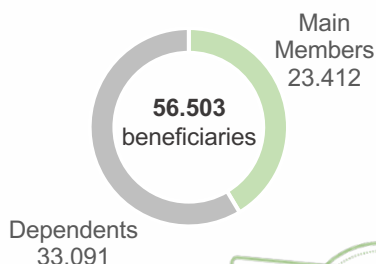
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
43.696	2.227	7.902

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding **92%**

Total expenditure with Health Assistance Benefit **R\$ 203.098.793,58**



**PDV - EXPENSES PER EMPLOYEE**  
**R\$ 340.306,17**  
**592 DISMISSED EMPLOYEES**

### Pension Plans

Participants 11.973  
Active **6.880**  
Retiree **5.093**

Total Assets  
R\$ 3.800

Millions

Actuarial Surplus  
R\$ 9

R\$ -97  
Actuarial Deficit

3 plans

2 plans

1 plan

**NUCLEP - BRAZILIAN HEAVY NUCLEAR EQUIPMENT COMPANY**
[www.nuclep.gov.br](http://www.nuclep.gov.br)

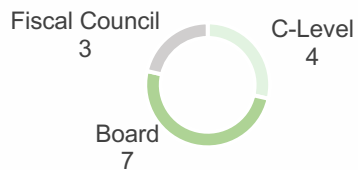
Head Office: Itaguaí - RJ  
 Line Ministry: Ministry of Mines and Energy  
 Fiscal Status: Dependent  
 Size: Small  
 Stablishment act: Decree nº 76.805/1975

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Assets	635.347	607.496	684.168	746.484	798.940
Liabilities	431.175	411.449	486.041	553.589	619.872
Shareholders Equity	204.172	196.047	198.128	192.895	179.067
Gross Revenue	61.490	39.805	13.036	20.319	5.312
Result before the Govt Subsidy	(381.060)	(392.635)	(358.385)	(284.594)	(226.093)
Treasury Resources	365.358	382.535	356.258	283.659	223.429
Subsidy	365.358	382.535	356.258	283.659	223.429
Advances for Future Capital Increases	-	-	-	-	-
Net Income	(15.702)	(10.099)	(2.127)	(935)	(2.664)
Dividends	-	-	-	-	-

**Shareholders Structure**

	% total
Brazilian Government	100,00


**Financial Indicators**

Cost of Activity (%)	4,94
Financing Needs (%)	98,89

**Board, Fiscal Council and C-level Remuneration (R\$)**

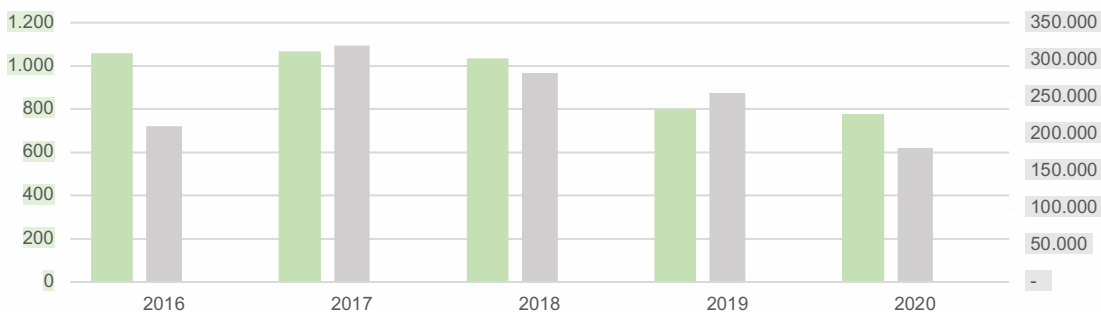
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	C-level's Potential Annual RVA	C-level's Potential Annual RVA
32.501,87	32.501,87	-	-	3.521,04	3.521,04

**Work Force**

x

**Personnel Expenses**

R\$ thousands



**778**  
Employees

**41,03**  
Average Age

**11,52**  
Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustment (%)	9,15	2,00	4,00	2,92	Under negotiation
Readjustment/Inflation (%)	107,90	78,74	88,30	101,04	0,00

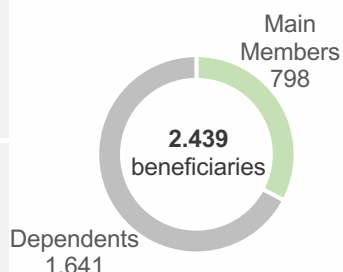
**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
39.293	2.148	12.692

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding  
**70%**

Total expenditure with Health Assistance Benefit  
**R\$ 9.118.852,42**


**Pension Plans**

Participants **4.747**  
Active **2.845**  
Retiree **1.902**

Total Assets R\$ 4.168  
1 sponsored plan

Millions

(-) Actuarial Deficit  
R\$ -64

**PETROBRAS – BRAZILIAN OIL COMPANY**

www.petrobras.com.br

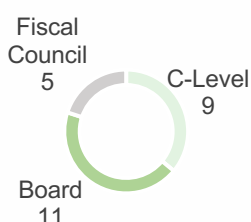
Head Office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Mines and Energy  
 Fiscal Status: Non dependent  
 Size: Large  
 Stablishment Act: Law nº 2.004/1952

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	804.945.000	831.515.000	860.473.000	926.011.000	987.419.000
Liabilities	552.202.000	561.906.000	576.930.000	626.874.000	676.269.000
Total Debt	385.784.000	361.483.000	326.876.000	351.161.000	392.548.000
Shareholders Equity	252.743.000	269.609.000	283.543.000	299.137.000	311.150.000
Gross Revenue	357.366.000	362.577.000	405.858.000	392.015.000	352.660.000
EBTIDA	65.025.000	80.251.000	108.887.000	150.331.000	107.926.000
Advances for Future Capital Increases	-	-	-	-	-
Net Income	(13.045.000)	377.000	26.698.000	40.970.000	6.246.000
Dividends	239.000	538.000	2.744.000	8.038.000	6.657.000

**Shareholders Structure**

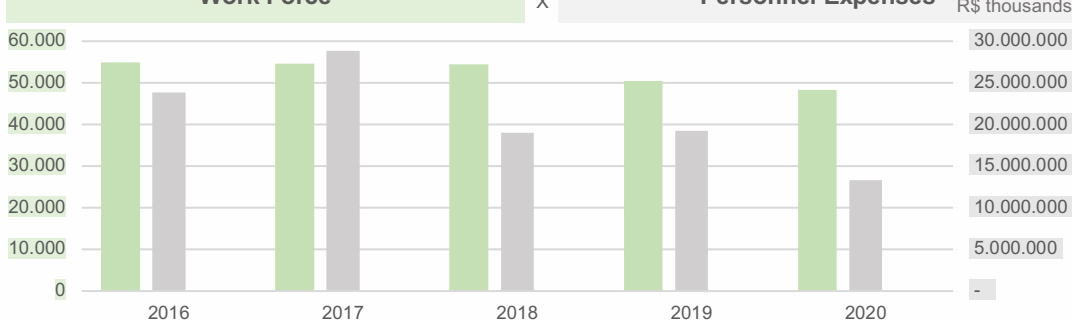
	% total
Brazilian Government	28,67
BNDES	1,04
BNDESPAR	7,04
Others	63,25


**Board, Fiscal Council and C-level Remuneration (R\$ - individual/year)**

	Board	Fiscal Council	C-Level
Highest	174.437,57	174.437,57	2.937.733,28
Lowest	174.437,57	174.437,57	2.166.799,72
Average	177.728,84	172.983,92	2.738.492,19

**Financial Indicators**

Cost of Activity (%)	54,44
ROE (%)	2,05
Net Debt/ EBITDA	3,07

**Work Force**

**48.274**  
 Employees

**43,67**  
 Average Age

**14,42**  
 Average Working Years

**Salary Readjustment - Collective Bargaining Agreement**

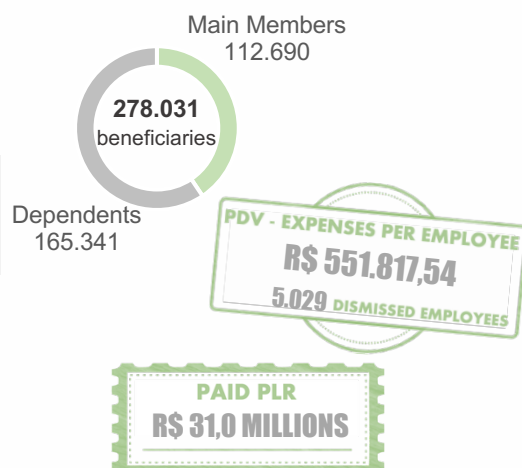
Year	2016	2017	2018	2019	2020
Readjustment (%)	8,57	1,73	4,19	2,30	0,00
Readjustment/ Inflation (%)	95,54	70,33	100,00	67,06	0,00

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
145.184	1.541	25.164

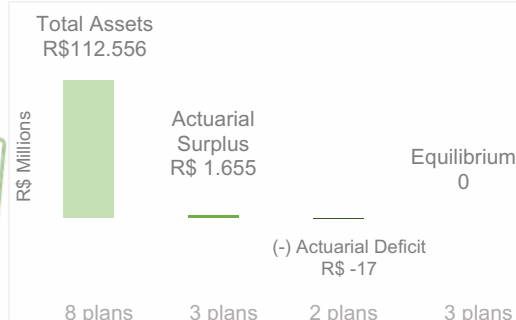
**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding  
**73%**

 Total expenditure with Health Assistance Benefit  
**R\$ 2.071.406.876,75**

**Pension Plans**

 Participants **124.595**

- Active **51.362**
- Retiree **73.233**



**PPSA - PRE-SALT OIL COMPANY**
[www.presalpetroleo.gov.br](http://www.presalpetroleo.gov.br)

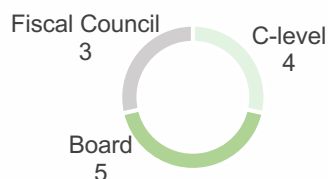
 Head Office: Rio de Janeiro - RJ  
 Line Ministry: Ministry of Mines and Energy  
 Fiscal status: Non dependent  
 Size: Large  
 Stablishment Act: Decree nº 8.063/2013

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	39.193	38.286	80.096	123.391	189.143
Liabilities	4.850	3.646	15.055	28.167	48.917
Total Debt	-	-	-	6.782	4.796
Shareholders Equity	34.343	34.640	65.040	95.224	140.225
Gross Revenue	45.062	36.990	98.949	113.167	171.840
EBITDA	6.797	(844)	45.095	53.968	89.738
Advances for future Capital Increases	-	-	-	-	-
Net Income	5.664	297	30.954	34.863	55.871
Dividends	-	-	-	6.554	8.280

**Shareholder Structure**

	% total
Brazilian Government	100,00


**Financial Indicators**

Cost of Activity (%)	28,71
ROE (%)	47,46

**Board, Fiscal Council and C-level Remuneration (R\$)**

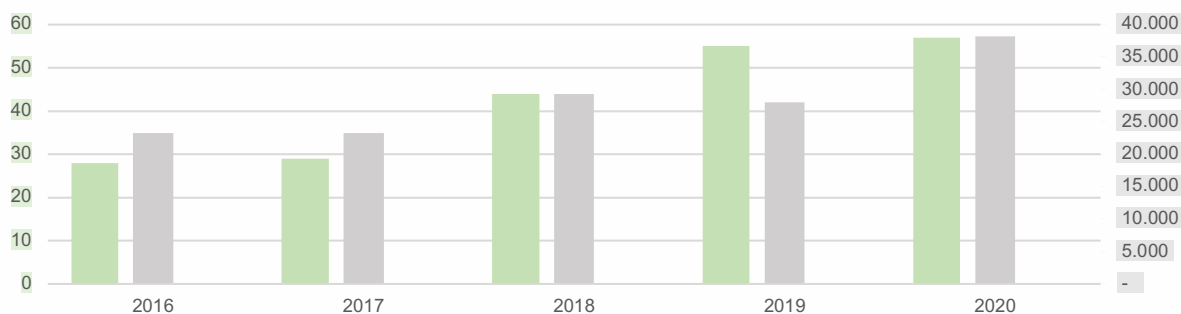
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
73.032,62	69.661,89	-	-	7.638,00	7.638,00

**Work Force**

x

**Personnel Expenses**

R\$ thousands


**Salary Readjustment - Collective Bargaining Agreement**

N.A

**Employees' Remuneration (R\$)**

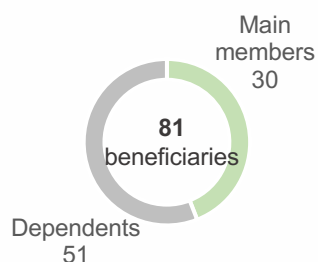
CGPAR Resolution nº 3/2010

Highest	Lowest	Average
44.905	13.474	34.124

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding

N.A

 Total expenditure with Health Assistance Benefit  
**R\$ 275.524,96**

**57**  
Employees

**53,49**  
Average age

**1,91**  
Average working years

The company does not offer pension plan.

## SERPRO - FEDERAL DATA PROCESSING SERVICE

[www.serpro.gov.br](http://www.serpro.gov.br)

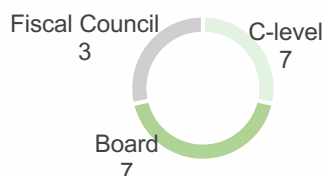
Head Office: Brasília - DF  
Line Ministry: Ministry of Economy  
Fiscal status: Non dependent  
Size: Large  
Stablishment Act: Law nº 5.615/1970

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	3.646.040	3.588.518	2.970.988	3.685.986	4.210.534
Liabilities	3.235.565	2.951.946	1.992.536	2.698.653	2.471.163
Total Debt	-	-	-	-	-
Shareholders Equity	410.475	636.572	978.452	987.333	1.739.371
Gross Revenue	2.605.219	2.931.813	3.310.698	3.435.748	3.278.314
EBITDA	(44.567)	316.716	760.489	597.196	516.646
Advances for future Capital Increases	-	-	-	-	-
Net Income	(162.311)	123.114	459.702	486.849	462.103
Dividends	-	-	-	52.019	117.613

### Shareholder Structure

	% total
Brazilian Government	100,00



### Financial Indicators

Cost of Activity (%)	53,89
ROE (%)	33,89

### Board, Fiscal Council and C-level Remuneration (R\$)

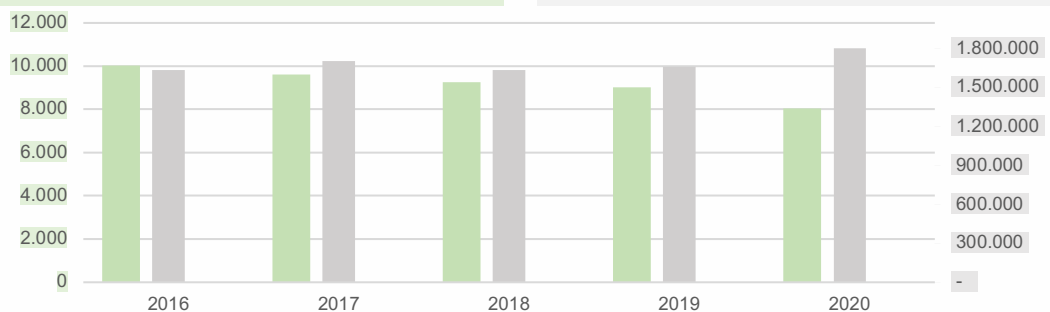
President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
39.217,59	34.511,49	117.652,77	103.534,47	3.811,58	3.811,58

### Work Force

x

### Personnel Expenses

R\$ thousands



**8.043**  
Employees

**52,48**  
Average Age

**24,07**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustments(%)	<b>9,28</b>	<b>4,05</b>	<b>1,18</b>	<b>3,55</b>	Under negotiation
Readjustment/ Inflation (%)	100,00	99,26	42,75	71,86	-

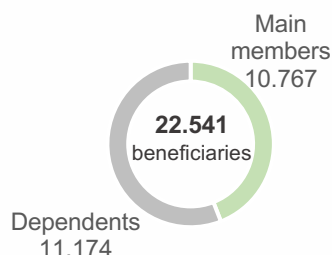
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
42.635	1.715	9.919

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding  
**73%**

Total expenditure with Health Assistance Benefit  
**R\$ 218.974.310,31**



### Pension Plans

Participants **13.528**

Active **8.641**

Retiree **4.887**

Total Asset  
R\$ 7.018

millions

Actuarial Surplus  
R\$ 244

(-) Actuarial Deficit  
R\$-119

2 plans

1 plan

1 plan

## SANTOS PORT AUTHORITY – SPA

[www.portodesantos.com.br](http://www.portodesantos.com.br)

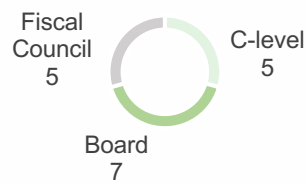
Head Office: Santos - SP  
Line Ministry: Ministry of Infrastructure  
Fiscal Status: Non dependent  
Size: Large  
Stablishment Act: General Assembly  
- Portobras/1977

### Consolidated Financial Data - R\$ thousands

	2016	2017	2018	2019	2020
Asset	3.142.591	3.177.724	2.778.115	3.010.195	3.633.856
Liabilities	1.179.834	1.039.268	1.036.726	2.115.821	2.531.263
Total Debt	-	-	-	-	-
Shareholders Equity	1.962.757	2.138.456	1.741.389	894.374	1.102.593
Gross Revenue	837.057	960.239	1.022.891	1.110.621	1.278.256
EBTIDA	50.284	118.404	(606.243)	246.190	432.282
Advances for Future Capital Increases	131.927	78.281	27.402	3.440	612
Net Income	(22.465)	44.432	(468.725)	87.287	202.483
Dividends	-	-	1.500	-	-

### Shareholders Structure

	% total
Brazilian Government	100,00



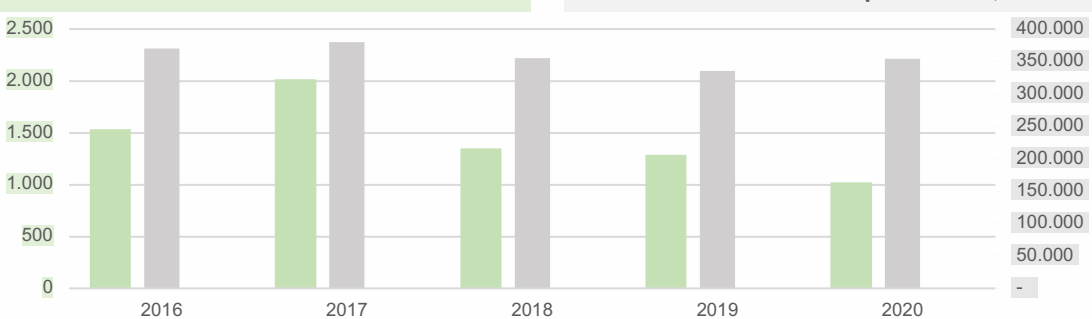
### Financial Indicators

Cost of Activity (%)	33,11
ROE (%)	20,28

### Board, Fiscal Council and C-level Remuneration (R\$)

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
29.776,60	26.207,86	89.329,80	78.623,58	3.923,96	3.923,96

### Work Force



**1.022**  
Employees

**46,63**  
Average Age

**17,4**  
Average Working Years

### Salary Readjustment - Collective Bargaining Agreement

Year	2016	2017	2018	2019	2020
Readjustmen (%)	<b>9,32</b>	<b>3,35</b>	<b>0,88</b>	<b>4,70</b>	<b>0,00</b>
Readjustment/ Inflation (%)	100,00	93,06	30,77	100,86	0,00

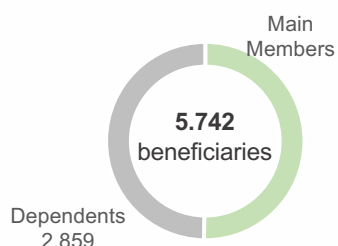
### Employees' Remuneration (R\$)

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
44.619	1.372	11.831

### Healthcare Assistance Benefit (BAS)

SOE's share of healthcare assistance benefit funding **35%**

Total expenditure with Health Assistance Benefit **R\$ 14.360.725,26**



### Pension Plans

Participants **8.893**  
Active **842**  
Retiree **8.051**

Total Assets R\$ 1.459  
1 sponsored plan  
Actuarial Surplus R\$ 7

**TELEBRAS - BRAZILIAN TELECOMMUNICATIONS COMPANY**
[www.telebras.com.br](http://www.telebras.com.br)

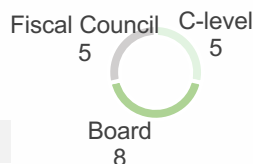
Head Office: Brasília - DF  
 Line Ministry: Ministry of Science,  
 Technology and Innovations  
 Fiscal status: dependent  
 Size: Large  
 Establishment Act: Law nº 5.792/1972

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	3.059.928	3.425.226	3.585.269	4.297.986	4.244.013
Liabilities	1.326.379	1.505.804	1.340.384	1.178.398	1.177.248
Shareholders Equity	1.733.549	1.919.422	2.244.884	3.119.589	3.066.765
Gross Revenue	81.490	105.850	260.002	264.543	328.961
Result before Govt Subsidy	(270.883)	(243.817)	(224.851)	(237.526)	(322.883)
Treasury Resources	685.698	218.980	450.000	1.000.580	216.657
Subsidy	-	-	-	-	216.657
Advances for future Capital Increases	685.698	218.980	450.000	1.000.580	-
Net Income	(270.883)	(243.817)	(224.851)	(237.526)	(106.226)
Dividends	-	-	-	-	-

**Shareholder Structure**

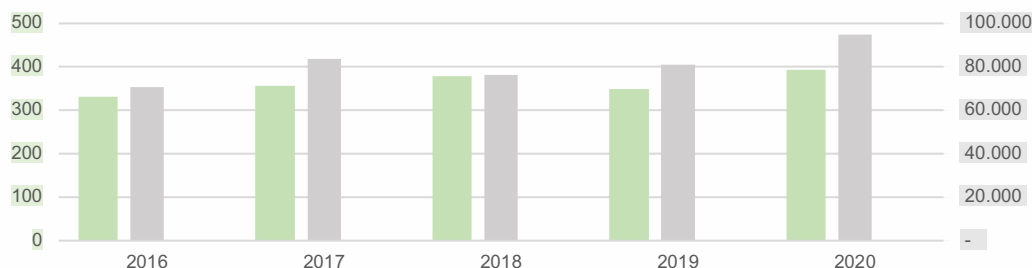
	% total
Brazilian Government	90,65
Finep	4,76
Other	4,59


**Board, Fiscal Council and C-level Remuneration (R\$/year)**

	Board	Fiscal Council	C-level
Highest	58.192,70	56.051,88	675.546,04
Lowest	46.709,88	28.025,94	215.574,44
Average	54.191,49	38.924,92	390.631,82

**Financial Indicators**

Personnel coverage index (%)	130,87
ROE (%)	17,57

**Work Force**
**Personnel Expenses R\$ thousands**


**393**  
Employees

**44,48**  
Average Age

**11,1**  
Average Working years

**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
Readjustments(%)	<b>8,10</b>	<b>1,82</b>	<b>3,55</b>	<b>1,02</b>	<b>0,00</b>
Readjustment/ Inflation (%)	102,92	67,41	77,85	40,16	0,00

**Employees` Remuneration (R\$)**

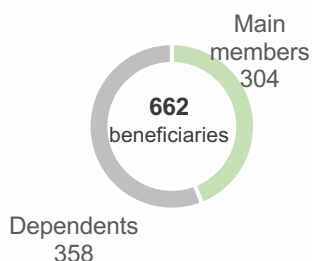
CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
26.657	3.404	11.908

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding

**N.A**

Total expenditure with Health Assistance Benefit  
**R\$ 4.463.468,95**


**Pension Plans**

Participants  
**22.286**

Active **318**

Retiree **21.968**

Total Asset  
R\$ 14.616

3 plans

Millions

Actuarial Surplus  
R\$ 1.985

**TRENSURB - TRAIN COMPANY OF PORTO ALEGRE**
[www.trensurb.gov.br/](http://www.trensurb.gov.br/)

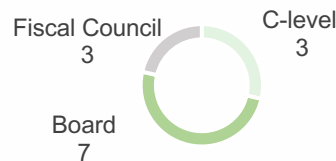
 Head Office: Porto Alegre - RS  
 Line Ministry: Regional Development Ministry  
 Fiscal status: Dependent  
 Size: Large  
 Stablishment Act: Decree nº 84.640/1980

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	1.681.607	1.646.961	1.600.100	1.671.527	1.546.345
Liabilities	430.513	371.652	284.689	210.824	184.337
Shareholders Equity	1.251.095	1.275.309	1.315.411	1.460.704	1.362.008
Gross Revenue	92.056	89.623	147.073	176.540	95.750
Result before Govt Subsidy	(382.109)	(182.505)	(289.135)	(141.784)	(212.679)
Treasury Resources	186.105	175.093	306.044	276.025	112.645
Subsidy	181.068	174.669	286.449	268.138	107.402
Advances for future Capital Increases	5.037	424	19.595	7.887	5.243
Net Income	(201.042)	(7.836)	(2.686)	116.537	(106.006)
Dividends	-	-	-	-	-

**Shareholder Structure**

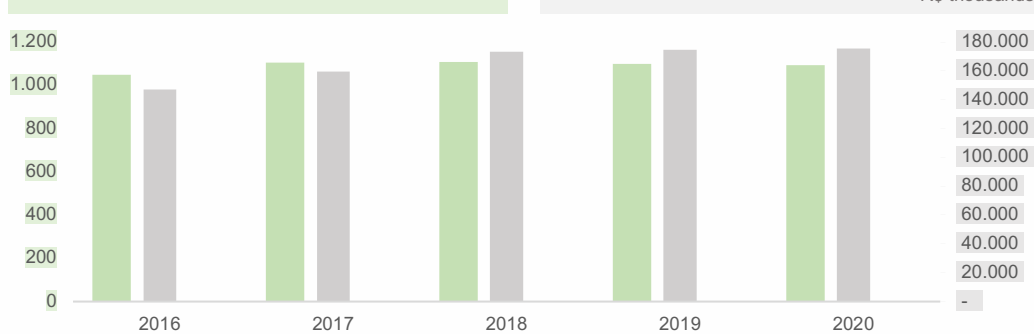
	% total
Brazilian Government	99,91
Other	0,09


**Financial Indicators**

Cost of Activity (%)	256,14
Financing Needs (%)	61,32

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
26.892,03	23.384,57	-	-	2.659,99	2.659,99

**Work Force**

**1.094**  
Employees

**48,15**  
Average Age

**18,84**  
Average Working years

**Salary Readjustment - Collective Bargaining Agreement**

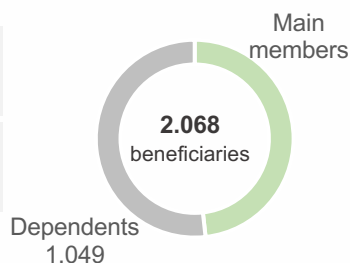
Year	2016	2017	2018	2019	2020
Readjustment (%)	<b>9,28</b>	<b>4,05</b>	<b>1,18</b>	<b>2,53</b>	<b>1,23</b>
Readjustment/Inflation (%)	100,0	99,26	42,75	51,21	51,25

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
36.768	1.750	8.235

**Healthcare Assistance Benefit (BAS)**

 SOE's share of healthcare assistance benefit funding  
**45%**

 Total expenditure with Health Assistance Benefit  
**R\$ 6.858.134,62**

**Pension Plans**

 Participants **355**

 Active **267**

 Retiree **88**

Total Asset R\$ 81

1 sponsored plan

Millions

 Actuarial Deficit  
 R\$ -0,47

**VALEC - ENGINEER, CONSTRUCTION AND RAILWAYS COMPANY**[www.valec.gov.br](http://www.valec.gov.br)

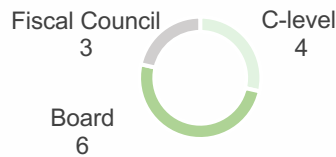
Head Office: Brasília - DF  
 Line Ministry: Ministry of Infrastructure  
 Fiscal status: Dependent  
 Size: Small  
 Establishment Act: 358873 – Registration  
 in Board of Trade (Law 11.772/2008)

**Consolidated Financial Data - R\$ thousands**

	2016	2017	2018	2019	2020
Asset	16.176.285	16.643.901	17.168.749	N.D.	N.D.
Liabilities	13.693.471	6.371.595	2.081.428	N.D.	N.D.
Shareholders Equity	2.482.814	10.272.306	15.087.321	N.D.	N.D.
Gross Revenue	51.417	51.353	51.446	N.D.	N.D.
Result before Govt Subsidy	(1.970.978)	(1.318.919)	(508.937)	N.D.	N.D.
Treasury Resources	248.417	258.649	256.480	N.D.	N.D.
Subsidy	248.417	258.649	256.480	N.D.	N.D.
Advances for future Capital Increases	-	-	-	N.D.	N.D.
Net Income	(1.722.561)	(1.060.270)	(252.456)	N.D.	N.D.
Dividends	-	-	-	-	-

**Shareholder Structure**

	% total
Brazilian Government	100,0

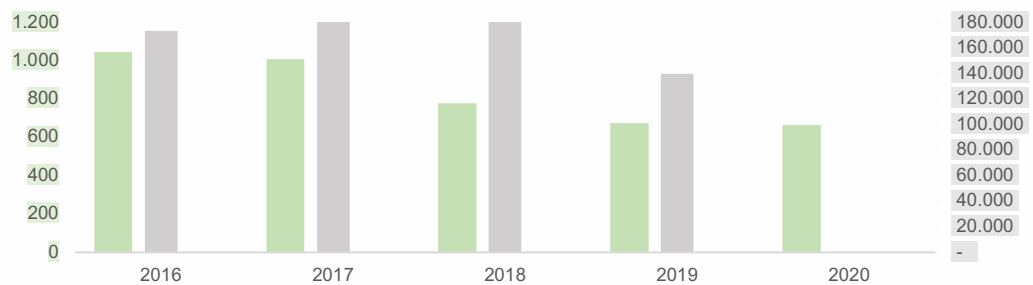
**Financial Indicators**

Cost of Activity (%)	N.A
Financing Needs (%)	N.A

Not Applicable – N.A

**Board, Fiscal Council and C-level Remuneration (R\$)**

President's Fixed Salary/month	C-Level's fixed salary/month	President's Potential Annual RVA	C-level's Potential Annual RVA	Board Salary/month	Fiscal Council Salary/month
26.242,77	26.008,44	-	-	2.823,93	2.823,93

**Work Force****665**  
Employees**47,24**  
Average Age**16,75**  
Average Working years**Salary Readjustment - Collective Bargaining Agreement**

Year	2016	2017	2018	2019	2020
<b>Valec/Rede</b>					
Readjustment (%)	5,00	3,98	1,35	2,02	under negotiation
Readjustment/Inflation (%)	53,88	97,55	48,91	40,89	51,25
<b>Valec/Geipot</b>					
Readjustment (%)	6,40	5,00	1,35	2,02	under negotiation
Readjustment/Inflation (%)	59,98	122,55	48,91	40,89	51,25
<b>Valec/Valec</b>					
Readjustment (%)	6,40	1,83	2,40	1,02	under negotiation
Readjustment/Inflation (%)	75,47	72,05	52,98	35,29	51,25

**Employees' Remuneration (R\$)**

CGPAR Resolution nº 3/2010		
Highest	Lowest	Average
N.A	N.A	N.A

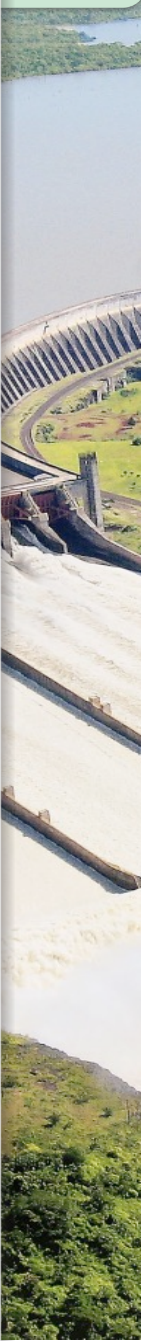
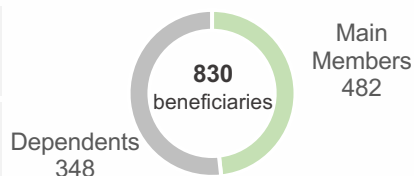
**Pension Plans**

Participants **19.275**      Active **168**  
 Retiree **19.107**

Total Asset R\$ 4.623  
 2 sponsored plans  
 Actuarial Surplus R\$ 550

**Healthcare Assistance Benefit (BAS)**

SOE's share of healthcare assistance benefit funding

**N.A**Total expenditure with Health Assistance Benefit  
**R\$ 2.524.837,70**



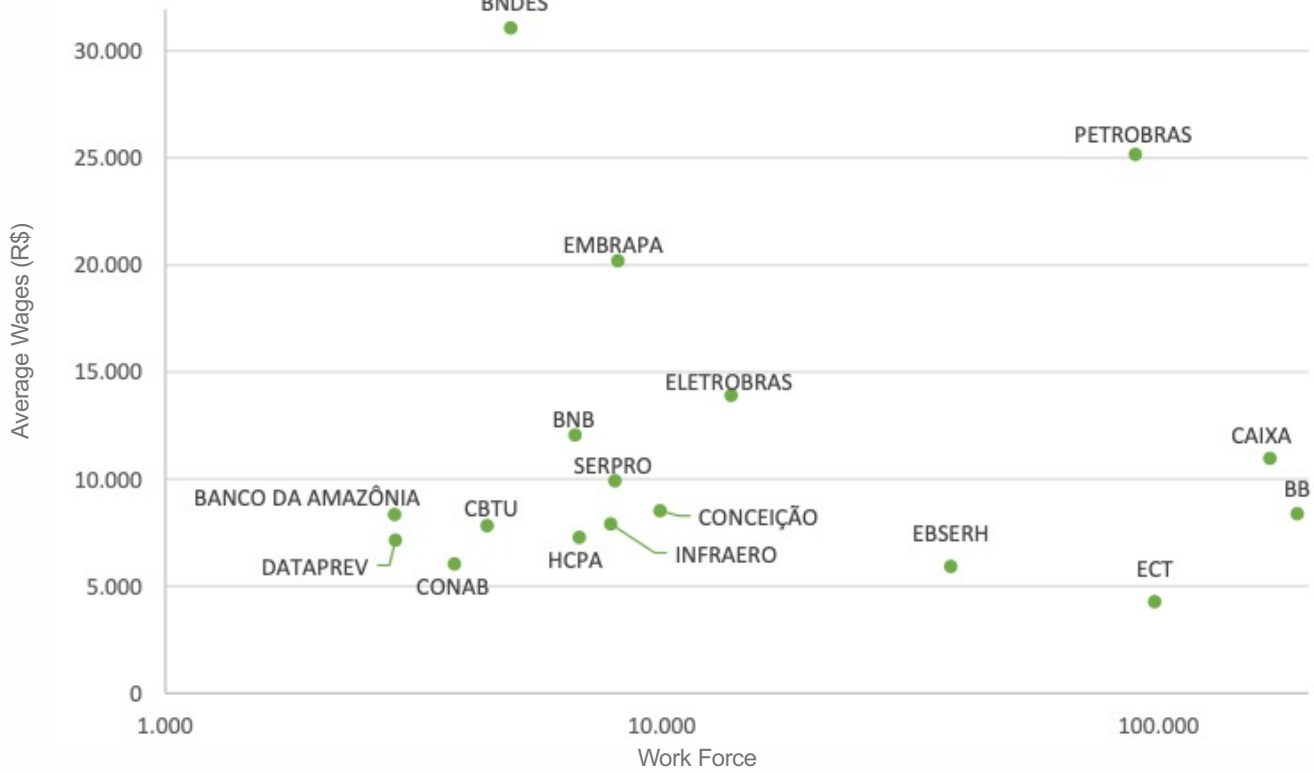
# Appendices

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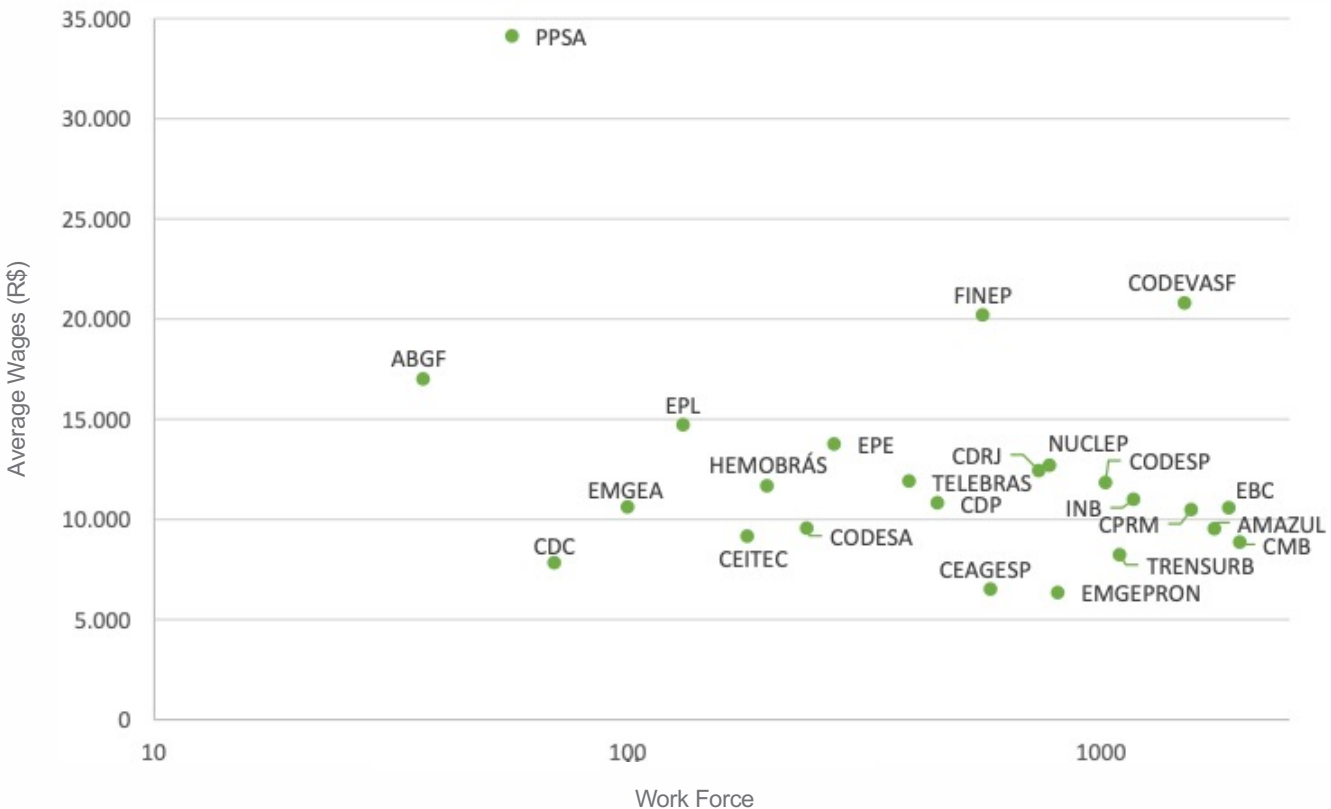
# Appendix A

## Average Wages by Work Force

SOEs with more than 2000 employees



SOEs with less than 2000 employees



# Appendix B

## Key Terms

**Activity Cost** - Indicates the proportion of production costs in relation to the net revenue earned from sales.

**Actuarial Deficit** - The situation when the actuarial value of a pension fund's assets is less than its actuarial liabilities.

**Actuarial Surplus** - The situation when the actuarial value of a pension plan's assets is more than its liabilities.

**Advance for Future Capital Increase** – Resources received by the companies from shareholders for future capital increase.

**Assets** - All assets that a company owns or controls with the expectation that it will provide a future benefit.

**Audit Committee**

**Board of Directors**

**Brazilian National Treasury Resources** – Resources that the state-owned enterprises receive from the Brazilian Treasury to investments or general expenses.

**Collective Bargaining Agreement** - Normative agreement signed between the Workers Union and the Economic Category Union (employers)

**Collective Labor Agreements** - Normative agreement signed between the Workers Union and one or more individual companies, without the intervention of any employer entity (Economic Category Union).

**Consolidated Data** – Data extracted from the Consolidated Financial Statements of a Conglomerate.

**Consumer Price Index - IPCA** - A price index that reflects the Brazilian inflation and calculated and disclosed by the "Instituto Brasileiro de Geografia e Estatística", the IBGE.

**Debenture** – a type of debt instrument that assures to the holder, the credit rights of the issuer company.

**DEBT** - Includes loans, leases, financing and debentures.

**Dependent State Owned Enterprise** - State-owned company that receives financial resources from the company's controlling shareholder to pay for expenses with personnel or current expenditures, or even that receives financial resources from the controlling shareholder to pay for capital expenditures, excluding, in the latter case, those arising from an increase in shareholding. Source: Fiscal Responsibility Law (Complementary Law No. 101, of May 4, 2000. Article 2, item III).

**Directly Controlled SOE** - refers to a situation where the Federal Government holds a majority of the voting capital directly.

**Divestment** - Sale of the controlling stake of an SOE indirectly owned by the state or, in other words, companies controlled by other SOEs (typically their subsidiaries). A divestment could also be the sale of an asset owned by an SOE, such as a power plant and the concession to explore an oil field.

**Dividends Paid to the Government** – It is the share of the profit that a company pays out to the Government.

**EBITDA** - Earnings before interest, taxes, depreciation, and amortization. It is a measure of a company's overall financial performance, is used as proxy for cash flow generation, considering the operational activities of the company.

**Financing Need** – It's the percentage of the company's total expenses that cannot be paid with its own revenues. Measures the companies degree of dependence on the National Treasury's resources.

**Fiscal Council**

**Fiscal Status** – Refers to a situation of financial dependence or non-dependence on the company's controlling shareholder (see definition of dependent and non-dependent company).

**Global Expenditure Program** - A set of systematized economic and financial information on state-owned companies, which aims to assess the volume of resources and expenditures of state-owned companies, making it compatible with the goals of government economic policies. It involves incomes from Financial Intermediation, services provided and fees - Typical financial institution incomes.

**Gross Revenue** – total amount of sales recognized for a reporting period, prior to any deductions.

**Healthcare Assistance Benefit:** benefit offered by the SOE in order to provide healthcare assistance services to its workers and based on a self-funded program, or by expenses reimbursement, or under a private healthcare insurance, or by any other medical and dental assistance modality.

**Healthcare Assistance Programs Funding:** financial resources invested by the SOE and by its employees to provide medical and dental assistance to SOE's workers and to their dependents.

**Interest on Equity** - Besides dividends, the companies also pay interest on equity, which means another way of sharing profit with the companies' shareholders. The difference of such payment is treated as expense in the company's results, while dividend is not.

**Investment Budget (Orçamento de Investimento - OI)** – Comprises of all expenditures recorded in fixed assets, improvements to Federal Government assets carried out by non-dependent state-owned companies, and the necessary improvements to the infrastructure of public services granted by the Federal Government, detailed in projects and activities.

**Investments** – Capital Expenditures made in the year 2020, included in the Investment Budget of non-dependent state-owned enterprises, with: acquisition of goods classified as fixed assets; improvements made to Union assets by state-owned companies; and necessary improvements to the infrastructure of public services granted by the Union.

**Lease** - Contracts that convey the right to use an asset for a period in exchange for consideration.

**Liabilities** – Obligations that are payable to another person or entity.



# Appendix B

**Net Debt** – Short-term debt and Long-term debt minus Cash and equivalents.

**Net Debt/EBITDA** – The ratio shows how many years the company would take to pay back its debt if net debt and EBITDA are held constant.

**Net Income** – The profit or loss of a certain period, after taxes. It's calculated as sales minus cost of goods sold, selling, general and administrative expenses, operating expenses, depreciation, interest, taxes, and other expenses.

**Non-Dependent State Owned Enterprise** - State-owned company that does not receive financial resources from the controlling shareholder, except those arising from an increase in shareholding for investments

**Pension Plans** – Voluntary occupational pension plans arrangement are established by employers (SOEs) to ensure that their employees receive additional income to that paid by the mandatory social security system, thus being a mechanism that allows the employee, optionally, to accumulate reserves so that, in the future, one can enjoy a supplement in retirement.

**Personnel Coverage Index** – It is the percentage of personnel expenses that are paid by the revenues from financial intermediation and service fee income. It is an index applied to the financial sector.

**Personnel Expenses** – all direct and indirect expenses that the company has with its employees.

**Privatization** - Sale of the controlling stake of an SOE directly owned by the state, turning it into a privately owned company.

**Profit Sharing Program** - PLR

**ROE - Return on Equity** - Measure of financial performance calculated by dividing net income by shareholders' equity, is considered a measure of a corporation's profitability in relation to the stockholders' equity. For the calculation it was used the mean of the shareholders' equity of the two last years.

**RVA** - Variable remuneration program – C-level variable remuneration programme.

**Shareholders' Equity** - Shareholders' equity is the net amount of a company's total assets and total liabilities, which are listed on the company's balance sheet.

**SOE Size** – Classification of the company relative to its size measured by their annual gross revenue: larger than R\$ 90 million/year and smaller than R\$ 90 million/year

**SOEs' GDP Share** – Calculated from the Gross Value Added (GVA) extracted from the Added Value Statement.

**Subsidiaries** - Companies controlled by state-owned and state-controlled enterprises.

**Subsidy** – Resources transferred from the Brazilian Treasury to dependent state-owned enterprises.

**Sustainable Development Goals** – Adopted by all United Nations Member States, provides a shared blueprint for peace and prosperity in a global partnership.

**The Interministerial Committee on Corporate Governance and Corporate Equity Management**

**Total Assets in Supplementary Pension Plans** – Sum of all assets and rights of the pension plans

**Total Remuneration of C-level and Board of Directors** – It includes a fixed salary and, sometimes, a variable remuneration program. It is important to notice that remuneration of board of directors is composed only by a fixed salary.

**Voluntary Dismissal Plan - PDV** – Considers only employees who are part of the permanent staff of a state-owned enterprise. Does not include outsourced and temporary workers.



# Appendix C

## SOEs by Activity Sectors

### FOOD SUPPLY

- CEAGESP
- CEASAMINAS
- CONAB

### FINANCIAL

- NUCLEP
- ABGF
- BASA
- BNB
- EMGEA
- FINEP
- BANK OF BRAZIL GROUP
- BNDESGROUP
- CAIXA GROUP

### INDUSTRY

- CEITEC
- CMB
- HEMOBRÁS
- IMBEL
- INB
- NUCLEP

### INFRASTRUCTURE & TRANSPORT

- CBTU
- CDC
- CDP
- CDRJ
- CODEBA
- CODERN
- CODESA
- CODEVASF
- INFRAERO
- SPA
- TRENSURB
- VALEC

### RESEARCH AND CONTRACTS MANAGEMENT

- AMAZUL
- CPRM
- EMBRAPA
- EMGEPRON
- EPE
- EPL
- PPSA

### OIL, GAS AND ENERGY

- ELETROBRAS GROUP
- PETROBRAS GROUP

### HEALTH CARE

- EBSEH
- GHC
- CONCEIÇÃO
- HCPA

### INFORMATION TECHNOLOGY AND COMMUNICATION

- DATAPREV
- EBC
- ECT - CORREIOS
- SERPRO
- TELEBRAS

## Group's Composition

### BANK OF BRAZIL GROUP

- ATIVOS GESTÃO
- ATIVOS S.A.
- BB AG VIENA
- BANCO DO BRASIL AMERICAS
- BANCO DO BRASIL SECURITIES
- BANCO PATAGÔNIA
- BANCO PATAGÔNIA URUGUAY
- BB CARTÕES
- BB CONSÓRCIOS
- BB ASSET MANAGEMENT IRELAND
- BB INVESTIMENTOS
- BB CORRETORA
- BB DTVM
- BB ELO CARTÕES
- BB LEASING
- BB SECURITIES ASIA
- BB SECURITIES
- BB SEGURIDADE
- BB SEGUROS
- BB TECNOLOGIA E SERVIÇOS
- BB USA HOLDING COMPANY
- BRASILIAN CAYMAN ISLANDS
- GPAT COMPAÑIA FINANCIERA
- PATAGONIA INVERSORA
- PATAGONIA VALORES

### BNDES GROUP

- FINAME
- BNDESPAR

### CAIXA GROUP

- CAIXA CARTÕES
- CAIXA CORRETORA
- CAIXA DTVM
- CAIXA HOLDING SECURITÁRIA
- CAIXA LOTERIAS
- CAIXA PARTICIPAÇÕES
- CAIXA SEGURIDADE
- XC ADQUIRÊNCIA
- XC CONTAS DE PAGAMENTO
- XC FIDELIDADE
- XC PAT
- XC PRÉ-PAGOS



## Group's Composition

## ELETROBRAS GROUP

- AMGT
- BRASIL VENTOS
- ELETRONORTE
- COMPANHIA DE GERAÇÃO E TRANSMISSÃO DE ENERGIA ELÉTRICA DO SUL DO BRASIL
- CHESF
- ELETROPAR
- ELETRONUCLEAR
- EDV IX
- EDV V
- EDV VI
- EDV VII
- EDV VIII
- EÓLICA CERRO CHATO IV
- EÓLICA CERRO CHATO V
- EÓLICA CERRO CHATO VI
- EÓLICA CERRO DOS TRINDADES
- EÓLICA IRABUTÃ
- FOTE
- FURNAS
- VENTOS DE ANGELIM
- IBER
- LIVRAMENTO HOLDING
- PARAÍSO S.A.
- TGO - TRANSERNEGIA GOIÁS
- TSLE - TRANSMISSORA SUL LITORÂNEA DE ENERGIA

## PETROBRAS GROUP

- 5283 PARTICIPAÇÕES
- ANSA - ARAUCÁRIA NITROGENADOS
- BEAR INSURANCE COMPANY LIMITED
- BRASOIL - BRASPETRO OIL SERVICE COMPANY
- BREITENER ENERGÉTICA
- BREITENER JARAQUI
- BREITENER TAMBAQUI
- BSE - BAIXADA SANTISTA ENERGIA
- DRILL SHIP INTERNATIONAL B.V.
- GASPETRO - PETROBRAS GÁS
- GBD - GÁS BRASILIANO DISTRIBUIDORA
- MANGUE SECO 2
- MISUROL
- PAI - PETROBRAS AMERICA INCORPORATION
- PARANÁ XISTO
- PBEN - PETROBRAS COMERCIALIZADORA DE ENERGIA
- PBIO - PETROBRAS BIOCOMBUSTÍVEL
- PB-LOG - PETROBRAS LOGÍSTICA DE EXPLORAÇÃO E PRODUÇÃO
- PB-MEX - PETROBRAS MÉXICO
- PEB - PETROBRAS BOLIVIA
- PEBD - PETROBRAS BOLIVIA DISTRIBUIDORA
- PEL - PETROBRAS EUROPE LIMITED
- PEMID - PETROLEUM MIDDLE EAST
- PETROBRAS COLÔMBIA COMBUSTIBLE
- PETROBRAS VALORES INTER ESPAÑA
- PFISA - PETROBRAS FRADE INVERSIONES
- PGF - PETROBRAS GLOBAL FINANCE
- PGT B.V. - PETROBRAS GLOBAL TRADING
- PIB BV - PETROBRAS INTERNATIONAL BRASPETRO
- PIB BV - SUCURSAL ANGOLA
- PIB COL - PETROBRAS INTERNATIONAL BRASPETRO SUCURSAL COLÔMBIA
- PMDI - P&M DRILLING INTERNATIONAL
- PNBV - PETROBRAS NETHERLANDS
- POSA - PETROBRAS OPERACIONES
- PSPL - ETROBRAS SINGAPORE PRIVATE LIMITED
- PTB URUGUAY
- PUDSA - PETROBRAS URUGUAY DISTRIBUIÇÃO
- PUSAI - PTB URUGUAY INVERSIÓN
- PVIE SUCURSAL COLÔMBIA
- PVIS - PETROBRAS VENEZUELA INVESTMENTS AND SERVICES
- PWR - PETROBRAS WELL RESPONSE
- REFINARIA DE MANAUS
- TBG - TRANSPORTADORA BRASILEIRA GASODUTO BOLÍVIA-BRASIL
- TERMOBAHIA
- TERMOMACAÉ
- TERMOMACAÉ COMERCIALIZADORA DE ENERGIA
- TIBV - TRANSPETRO INTERNATIONAL
- TRANSPETRO - PETROBRAS TRANSPORTE
- TRANSPETRO BEL 09



# Appendix D

## Data Sources

### SIEST - SOEs Information System

Information from Previc - Superintendência Nacional de Previdência Complementar.

Soes online website: Financial Statistics, Public Policy Annual Report, Sustainability Report, Management Annual Report, Management Annual Report, Company's Bylaw, other documents.

### ESG Actions Sources

#### BASA

- <https://www.bancoamazonia.com.br/index.php/component/edocman/demonstracoes-financeiras-ri/demonstracoes-financeiras-ri-2020/demonstracao-financeira-completa-exercicio-de-2020?Itemid=>
- <https://www.bancoamazonia.com.br/index.php/component/edocman/governanca-corporativa/carta-anual-politicas-publicas/carta-anual-de-politicas-publica-e-governanca-corporativa-2020?Itemid=>
- <https://www.bancoamazonia.com.br/index.php/sobre-o-banco/sustentabilidade>

#### BNB

- <https://www.bnb.gov.br/documents/8047884/0/RELAT%C3%93RIO+2020++CREDIAMIGO+E+AGROAMIGO.pdf/c7f201d6-a503-3152-505e-f5c0e1022b03>
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#### CEAGESP

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- <http://www.ceagesp.gov.br/aceso-a-informacao/sustentabilidade/responsabilidade-social/nossa-turma/>

#### CEASAMINAS

- <http://www.transparencia.ceasaminas.com.br/conteudos/carta-anual-de-politicas-publicas-e-governanca-corporativa>

#### CMB

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#### CONAB

- <https://www.conab.gov.br/auditorias/relatorio-de-gestao/item/15836-relatorio-de-gestao-2020>



# Appendix D

## GHC – CONCEIÇÃO

- <https://www.ghc.com.br/files/RelatorioIntegrado2020.pdf>
- <https://www.ghc.com.br/files/CartaAnual2020.pdf>

## CPRM

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# Appendix D

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