

# **SECURITIES AND EXCHANGE COMMISSION OF BRAZIL**

Rua Sete de Setembro, 111/2-5º e 23-34º Andares, Centro, Rio de Janeiro/RJ – CEP: 20050-901 – Brasil - Tel.: (21) 3554-8686
Rua Cincinato Braga, 340/2º, 3º e 4º Andares, Bela Vista, São Paulo/ SP – CEP: 01333-010 – Brasil - Tel.: (11) 2146-2000
SCN Q.02 – Bl. A – Ed. Corporate Financial Center, S.404/4º Andar, Brasília/DF – CEP: 70712-900 – Brasil -Tel.: (61) 3327-2030/2031

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TRANSLATION DATE: March 31, 2025.



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# CVM RESOLUTION 166, SEPTEMBER 1, 2022

It provides about the way of making publications ordered by Law 6.404, of December 15, 1976, by the smaller public-traded companies.

THE **CHAIRMAN OF SECURITIES AND EXCHANGE COMMISSION OF BRAZIL – CVM** makes it public that the Board of Commissioners, at a meeting held on August 31, 2022, on the basis of the provisions of the article 8th, I, of Law 6.385, of December 7,1976, and in the articles 289, 294-A, IV and 294-B, **caput** and §2nd, II of Law 6.404, of December 15, 1976, **APPROVED** the following Resolution:

## CHAPTER I – SCOPE AND PURPOSE

Article 1st - This resolution provides about the way of making publications ordered by Law 6.404, of December 15, 1976, by the smaller public-traded companies.

Sole Paragraph. For the purposes of this Resolution, smaller public-traded companies are considered to have earned gross annual revenue lower than R\$ 500,000,000.00 (five hundred million reais), verified on the basis of the financial statements for the closure of the last fiscal year.

# CHAPTER II – PUBLICATION VIA SYSTEM EMPRESAS.NET

Article 2<sup>nd</sup> It Is made available to smaller public-traded companies to carry out the publications ordered in Law 6.404, of 1976, or provided for in the regulation edited by CVM through the Empresas.NET or Fundos.Net systems, as the case may be.

Sole Paragraph. In the case referred to in the **caput**, the publications are considered to be made on the date when the documents are released in the systems referred to in the **caput**.

Article 3<sup>rd</sup> In the hypotheses referred to in the articles 151 and 258 of Law 6.404, of 1976, as well as in other situations in which the publication is carried out by third parties other than the smaller public traded company itself, publication is made available by sending the documents to the public traded company, that should disclose them through the systems referred to in article 2nd immediately.

Sole Paragraph. If the company does not disclose the documents in the form and within the time limit set out in the **caput**, without prejudice to the company's liability for such omission, it is up to the



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interested party to directly promote the publication of documents in a newspaper of great circulation published in the location where the company's headquarters is located.

Article 4<sup>th</sup> The publication of documents carried out through the systems referred to in article 2<sup>nd</sup> does not imply an analysis of merit or agreement with the content of such documents by the CVM or the managing entities of the organized market in which the securities of the company are allowed for negotiation.

# CHAPTER III - FINAL PROVISIONS

Article 5<sup>th</sup> The provisions of this Resolution do not alter the obligations of the public traded companies mentioned in this Resolution to comply with the obligations provided for:

- I in the specific regulation that provides for the registration and provision of periodic and eventual information of issuers of securities admitted to trading in regulated securities markets; and
- II in the specific regulation that provides about the disclosure of information on a relevant act or fact.

Article 6<sup>th</sup> This Resolution shall become effective on October 3, 2022.

Electronically signed by
JOÃO PEDRO BARROSO DO NASCIMENTO
Chairman