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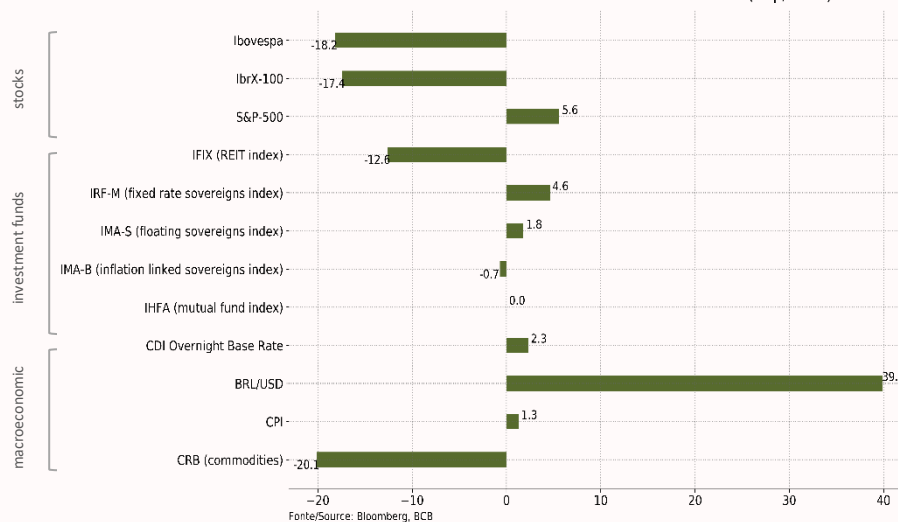
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1. Market Performance

1.1. USD MSCI Equity Indexes - trailing 12 months (Sep/2020)

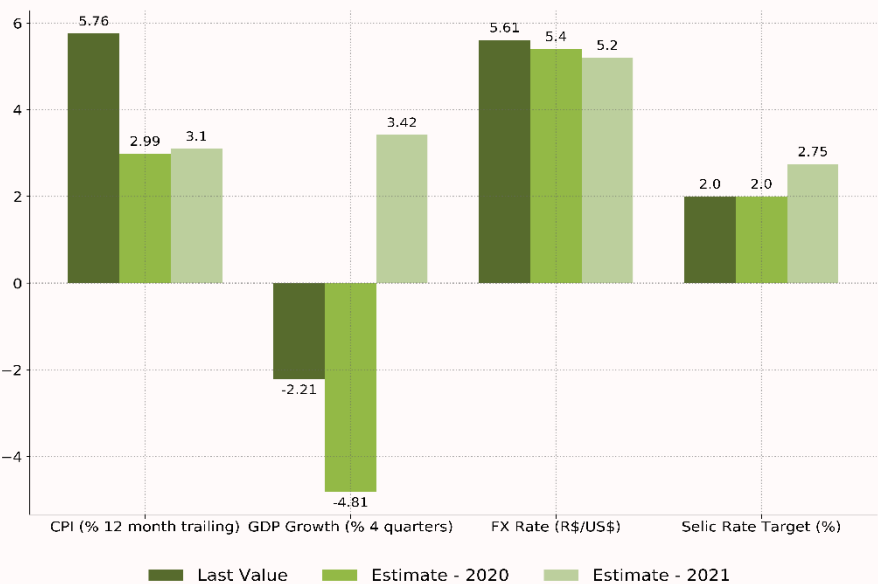


1.2 - Financial Indicators - YTD Accumulated Return (Sep/2020)



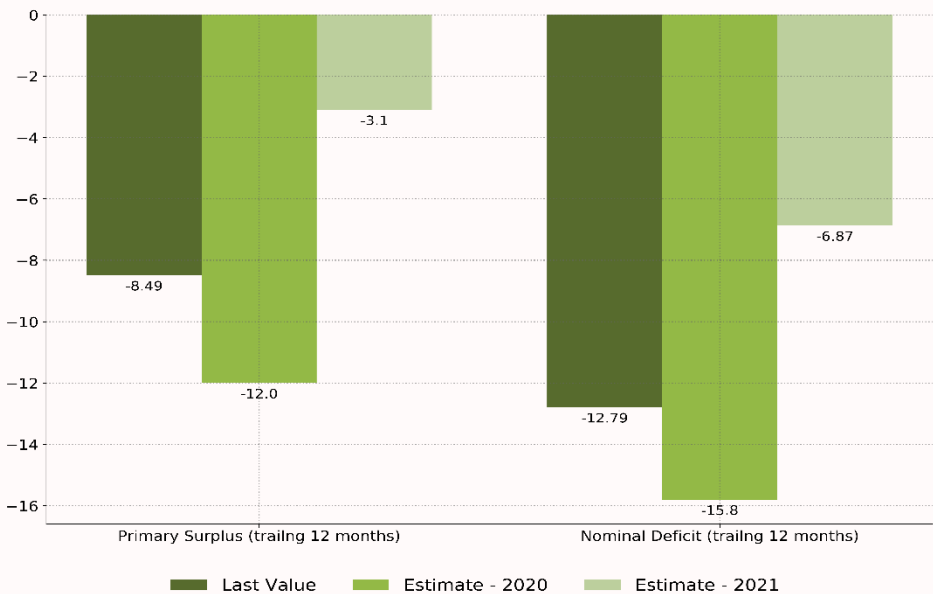
2. Macroeconomic Indicators

2.1 - Macroeconomic Variables



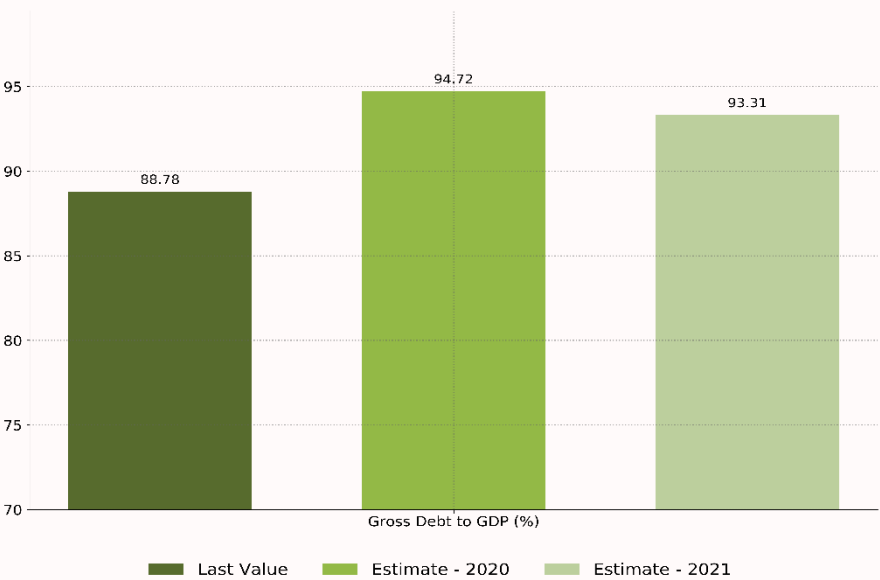
CPI: 2020-09-30; GDP (rolling 4 quarters): 2020-06-30; FX: BCB PTAX ask-price as of 2020-10-23; SELIC rate target: 2020-10-27. Estimates: BCB Focus Report as of 2020-10-23.

2.3 - Public Finances



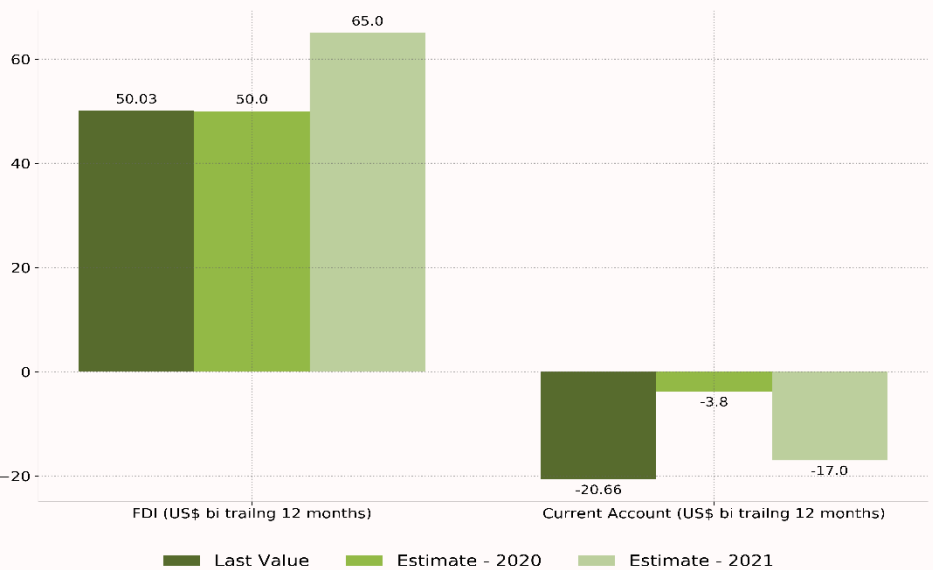
Primary Surplus and Nominal as GDP %: 2020-09. Estimates: BCB Focus Report as of 2020-10-23.

2.2 - Public Debt



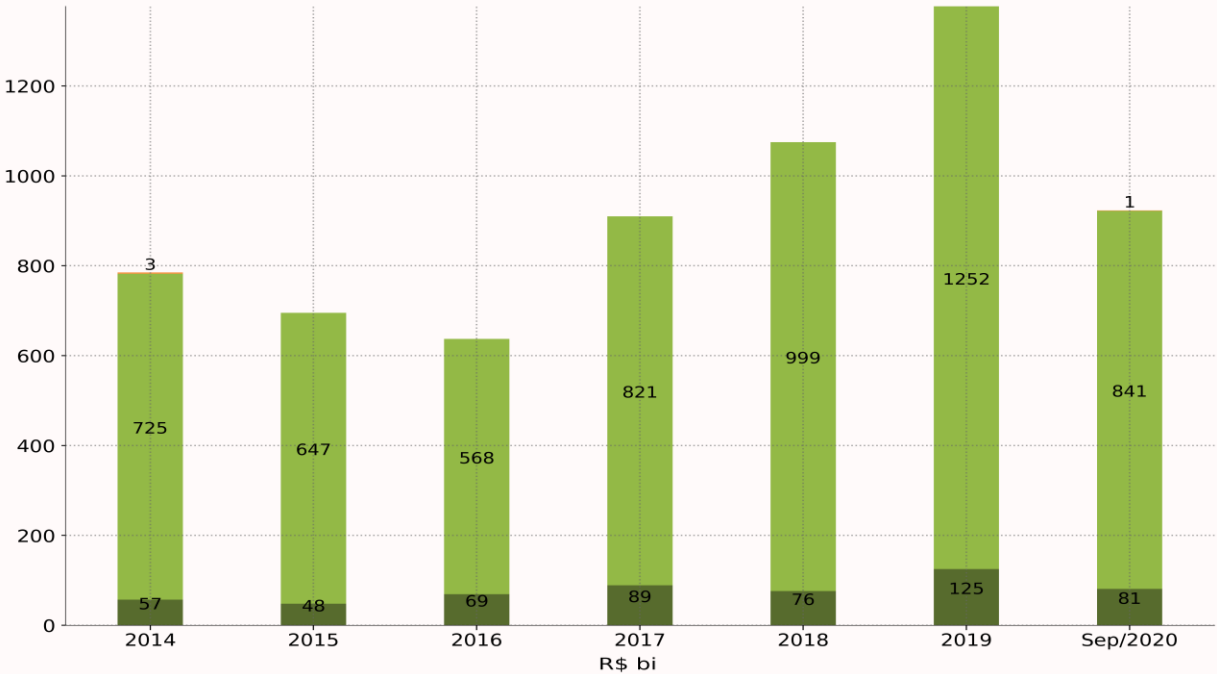
Gross Debt: 2020-08. Gross Debt Estimates correspond to the simple average of Itau Unibanco e Banco Bradesco most recent ones.

2.4 - Balance of Payments



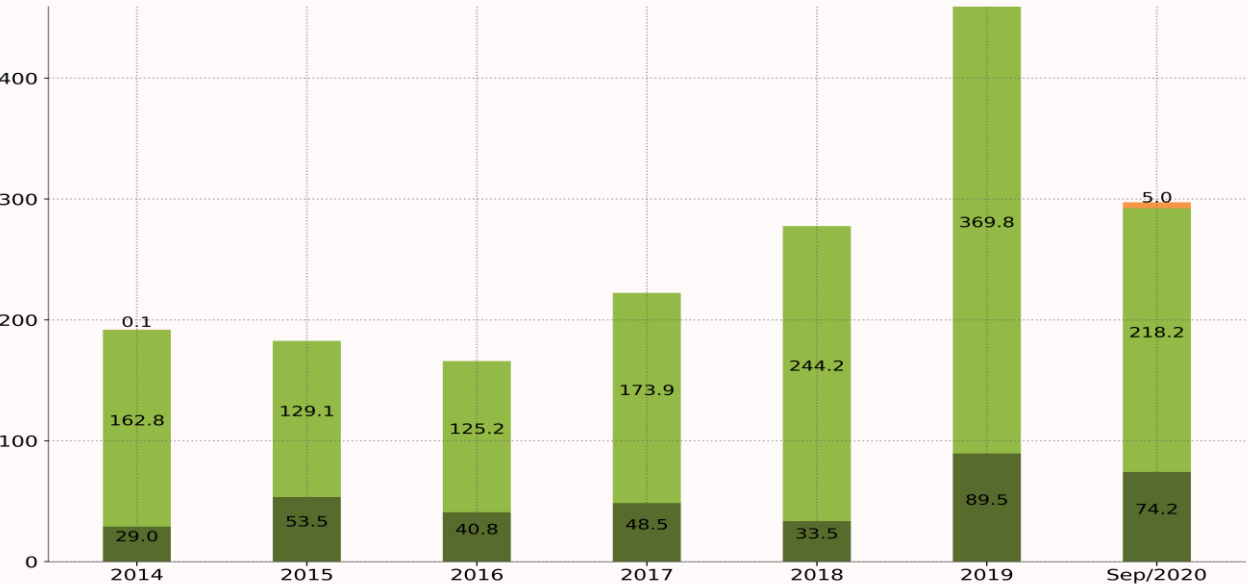
FDI and Current Account: 2020-09. Estimates: BCB Focus Report as of 2020-10-23.

3.1. Number of issues



(1) Values and quantities include: equities+DR, corporate bonds, commercial paper, Real Estate ABS, Agrobusiness ABS, CDOs, Real Estate Funds and Private Equity Funds (and corresponding FoFs)

(2) Corporate bonds – excludes *lease* offerings.



Fonte/Source: CVM

Registered Offerings (ICVM400)

Restricted Efforts Offerings (ICVM476)

Exemptions Of Register (ICVM400)

3. Securities Offerings - Selected Assets



4. Secondary Market - Trade Information

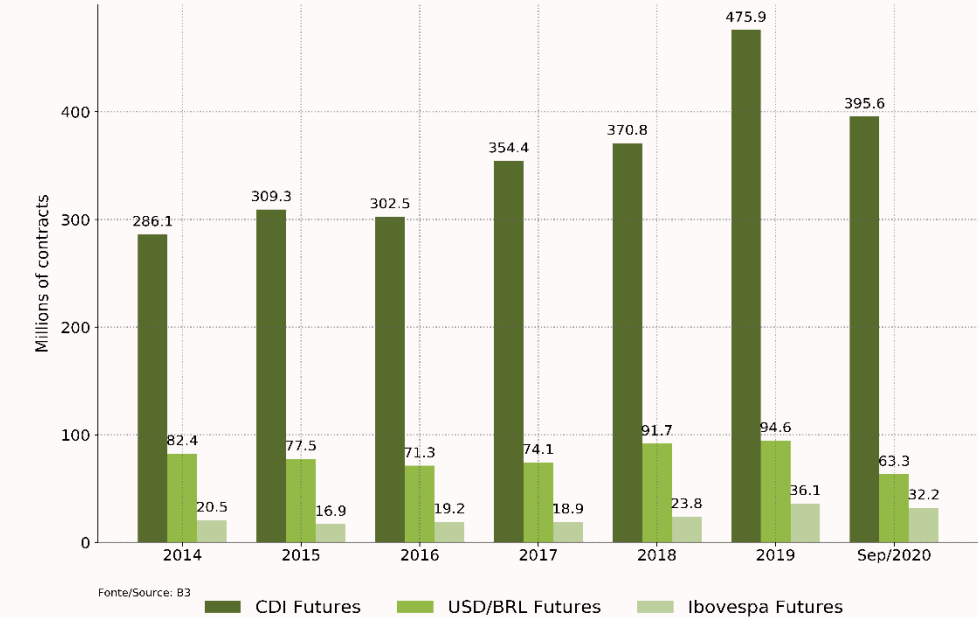
4.1 - Equity - Odd Lot



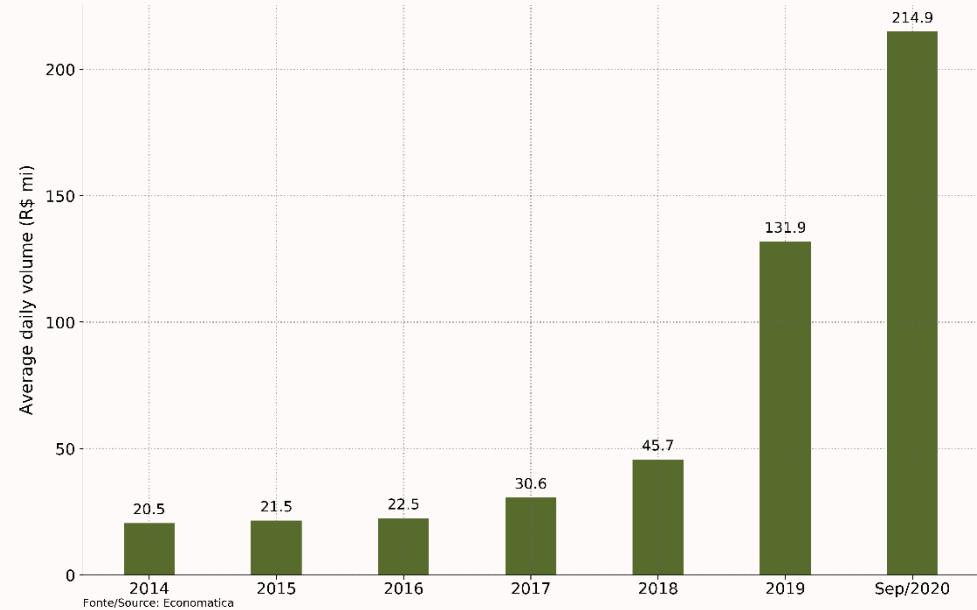
4.2 - Debentures



4.3 - Derivatives



4.4 - REITs



5. Securities Offerings – International Comparison

5.1. IPOs – Stocks*	2012	2013	2014	2015	2016	2017	2018	2019	2020 (sep)
Total – Global (US\$ bi)	136.4	187.0	241.9	213.3	136.9	212.7	211.3	226.3	203.0
Total – Global (number of offerings)	1.351	1.275	1.619	1.680	1.400	1.958	1.623	1.335	1.066
Brazil (US\$ bi)	0.4	7.9	0.16	0.25	0.23	6.3	2.0	2.3	4.4
Brazil (number of offerings)	2	9	1	1	1	10	3	5	16
Mexico (US\$ bi)	1.2	2.9	1.0	1.9	0.34	3.2	0.47	0.07	0.6
Mexico (number of offerings)	3	5	4	7	3	5	3	1	3
China (US\$ bi)	26.4	19.2	34.9	56.7	46.3	48.2	55.1	67.9	78.0
China (number of offerings)	204	68	201	306	279	491	190	300	369
Indonesia (US\$ bi)	1.2	1.7	0.8	0.9	1	0.7	1.3	1.05	0.4
Indonesia (number of offerings)	24	30	24	16	15	36	55	56	46
Australia (US\$ bi)	0.4	5.7	15.5	6.5	4.2	4.3	5.8	4.3	0.24
Australia (number of offerings)	46	54	76	90	81	100	87	57	20

5.2. Foreign Currency-Denominated Bonds**	2012	2013	2014	2015	2016	2017	2018	2019	2020 (sep)
Brazil (US\$ bi)	26.4	21.7	28.0	6.1	19.5	40.8	22.5	24.1	11.2
Latin America and Caribbean (ex-Brazil) (US\$ bi)	48.7	65.1	58.9	32.5	51.9	56.0	46.8	48.0	50.9
Mexico	31.2	33.1	25.5	20.7	36.7	33.8	31.4	25.0	17.4
Chile	5.2	6.5	11.1	4.7	2.2	8.3	2.8	8.6	21.5
Argentina	1.1	3.4	2.6	2.6	4.9	4.9	3.8	2.4	2.5

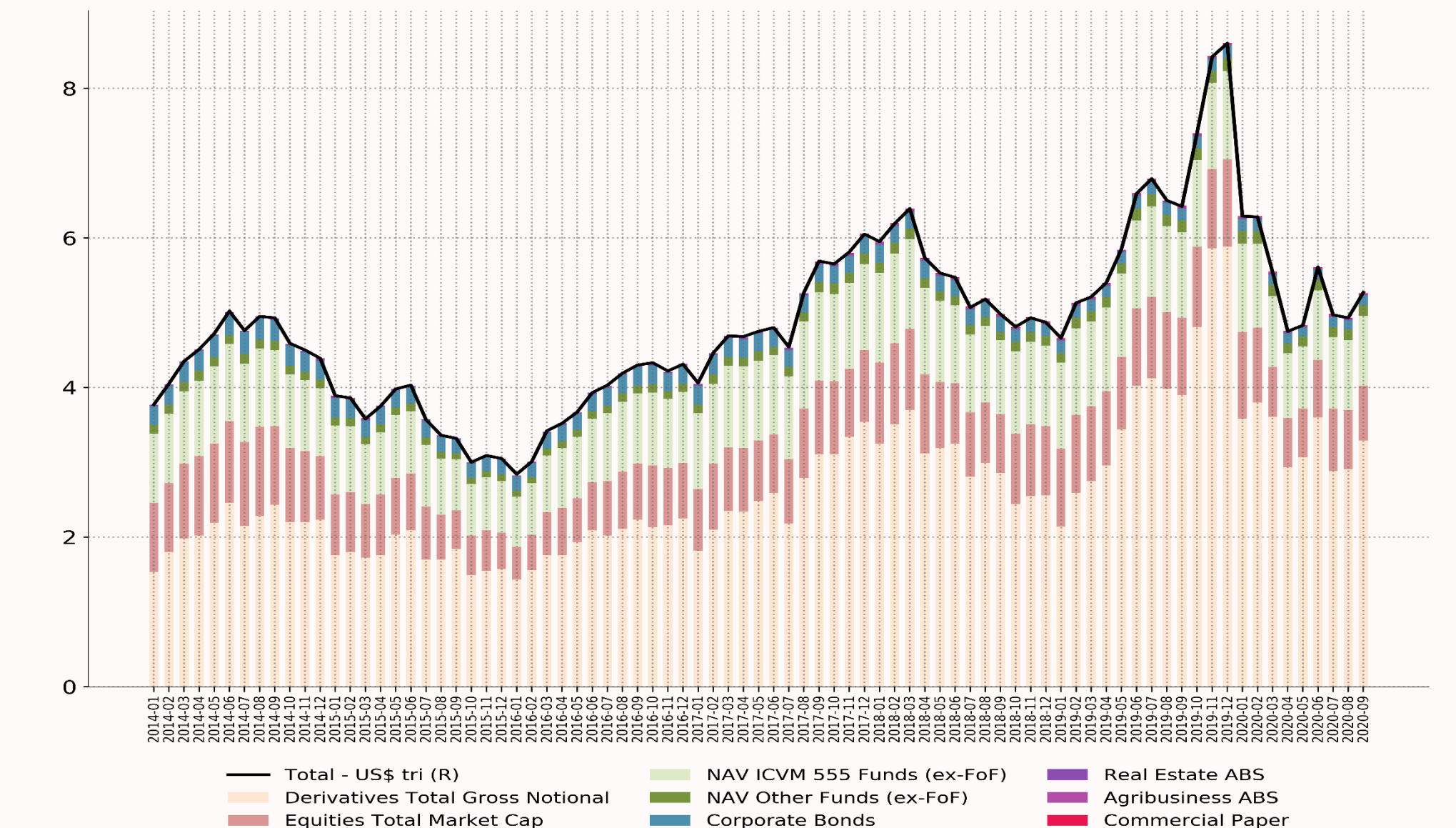
* Values converted to USD considering the offering date. Excludes ADR/BDR/GDR offered abroad by Brazilian companies. Country data is aggregated by location of the exchange which hosted the offering. Only includes deals classified as IPO by Bloomberg.

** Only non-financial corporate offerings. country data aggregated by “ultimate county of risk”, that is, the country in which the majority of the economic risk of the issuer is supported. Values converted to USD considering the offering date.

Source: Bloomberg

(US\$ tri)

6.1 - Regulated Markets - Sep/2020



Fonte/Source: B3, CVM

Note: There is some double counting due to investment funds assets.

6. Regulated Markets - Participants

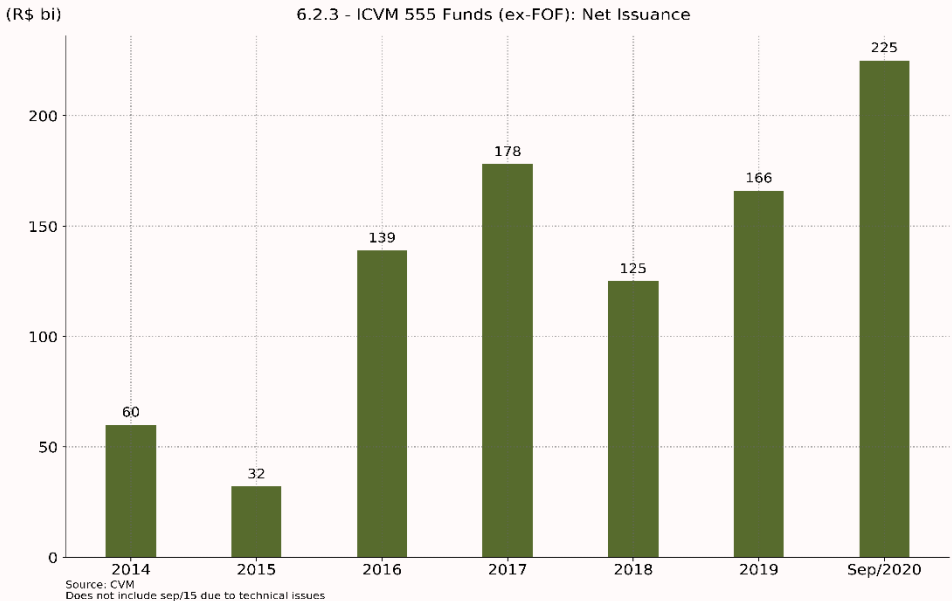
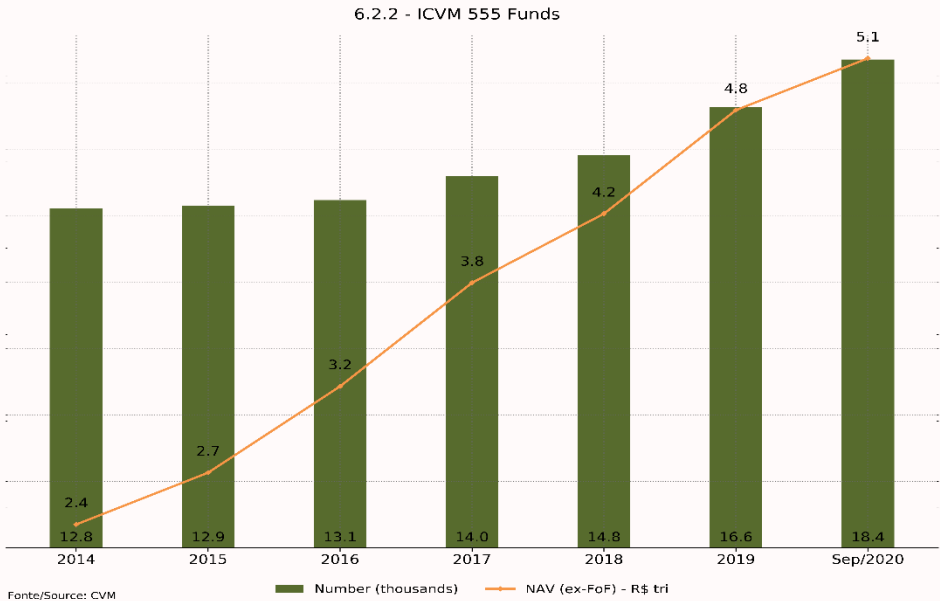
6.2. Participants (number)	2012	2013	2014	2015	2016	2017	2018	2019 (dec)
Registered companies. including foreign and subsidized, with active status	756	767	750	725	690	672	666	656
Registered Investment Funds	13.165	14.278	14.875	15.094	14.936	16.182	17.719	19.427
Registered Asset Managers* (sum)	3.086	3.347	3.535	3.662	3.353	3.411	3.475	3.561
Fiduciary Administrators (exclusive)					29	36	42	42
Asset Managers (exclusive)					3.227	3.281	3.349	3.438
Both					97	94	84	81
Registered Custodians	87	88	189	153	144	145	139	146
Registered Bookkeepers			36	33	35	53	59	61
Registered Brokerage Firms (trading)	106	104	99	93	84	74	74	74
Registered Brokerage Firms (distribution)	133	129	115	109	107	94	101	100
Registered Brokers (sum)	7.757	7.239	6.983	6.650	6.053	6.652	7.778	10.798
Legal persons	5.801	5.642	5.445	5.201	4.828	5.492	6.619	9.608
Companies	1.956	1.597	1.538	1.349	1.225	1.160	1.159	1.190
Registered Independent Auditors	427	419	417	406	408	391	375	528
Registered Rating Agencies	5	7	7	7	7	7	7	7
Registered Research Analysts	713	723	726	723	624	606	615	630
Registered Investment Consultants	534	582	632	649	648	686	669	697
Registered Non-Resident Investors	19.023	19.140	20.900	20.899	18.429	19.416	19.293	18.244
Plataformas Eletrônicas Crowdfunding							14	26
Total	45.792	46.823	49.264	49.203	45.518	48.389	50.970	54.955

* Distinction after ICVM 558/15.
Source: CVM, ANCORD. Does not include B3 stock exchange.

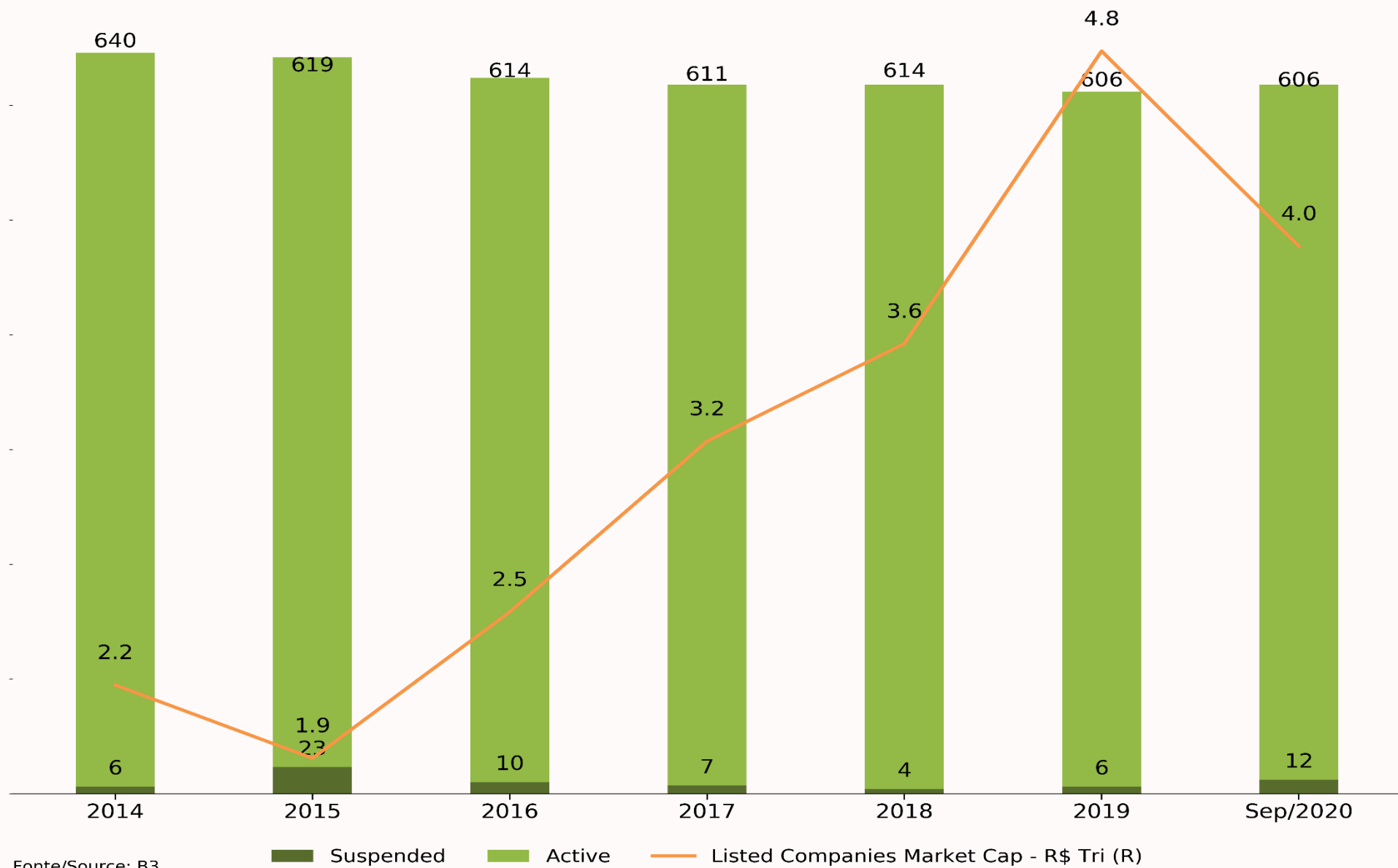
6. Regulated Markets - Investment Funds

6.2.1. Investment Funds - International Comparison*	2015 (dec)	2016 (jun)	2016 (dec)	2017 (jun)	2017 (dec)	2018 (jun)	2018 (dec)	2019 (jun)	2019 (dec)	2020 (jun)
Total – World (US\$ bi)	38.253	39.409	40.643	44.992	49.300	49.447	46.696	51.437	54.884	53.872
Total – World (number)	106.064	107.910	110.125	112.278	112.948	115.154	118.278	120.976	122.560	123.728
Brazil (US\$ bi)	744	984	1.061	1.137	1.238	1.109	1.211	1.297	1.334	0.993
Brazil (number)	8.783	9.057	9.224	9.479	9.774	9.957	10.257	10.549	11.099	11.879
Americas (ex-Brazil) (US\$ bi)	18.885	19.373	20.127	21.784	23.660	23.889	22.431	25.343	27.307	27.288
Americas ex-Brazil (number)	16.216	16.296	16.472	16.695	16.794	17.717	17.457	17.541	17.636	17.514
Europe (US\$ bi)	13.733	13.969	14.112	16.081	17.722	17.675	16.476	17.762	18.812	18.090
Europe (number)	53.212	53.251	53.513	54.350	54.558	55.217	56.001	56.383	56.955	57.130
Asia/Pacific (US\$ bi)	4.770	4.950	5.198	5.830	6.498	6.609	6.421	6.863	7.254	7.354
Asia/Pacific (number)	26.526	27.903	29.396	30.198	30.196	31.312	32.996	34.896	35.260	35.576

* NAV of open ended regulated mutual funds in each jurisdiction. Excludes FoF.
Source: ICI



6.3.1 - Registered Companies



Fonte/Source: B3

■ Suspended ■ Active — Listed Companies Market Cap - R\$ Tri (R)

6. Regulated Markets - Registered Companies

6.3.2. Registered Companies – Control and Shareholder Agreements*	jun/2017	dec/2017	jun/2018	dec/2018	dec/2019
Active registered companies with traded equities**	330	338	337	332	327
Companies with controlling shareholder	271	275	286	280	269
Private national controller***	218	221	231	225	219
State-owned controller	27	27	27	27	26
Foreign controller	26	27	28	28	24
Companies without controlling shareholder	59	63	51	52	58
Active registered companies with traded equities**	330	338	337	332	327
Companies with shareholder agreements	126	126	127	125	124
Companies without controlling shareholder	7	7	5	6	7
Companies with controlling shareholder	119	119	122	117	117
Companies without shareholder agreements	204	212	210	209	203
Companies without controlling shareholder	52	56	46	46	51
Companies with controlling shareholder	152	156	164	163	152

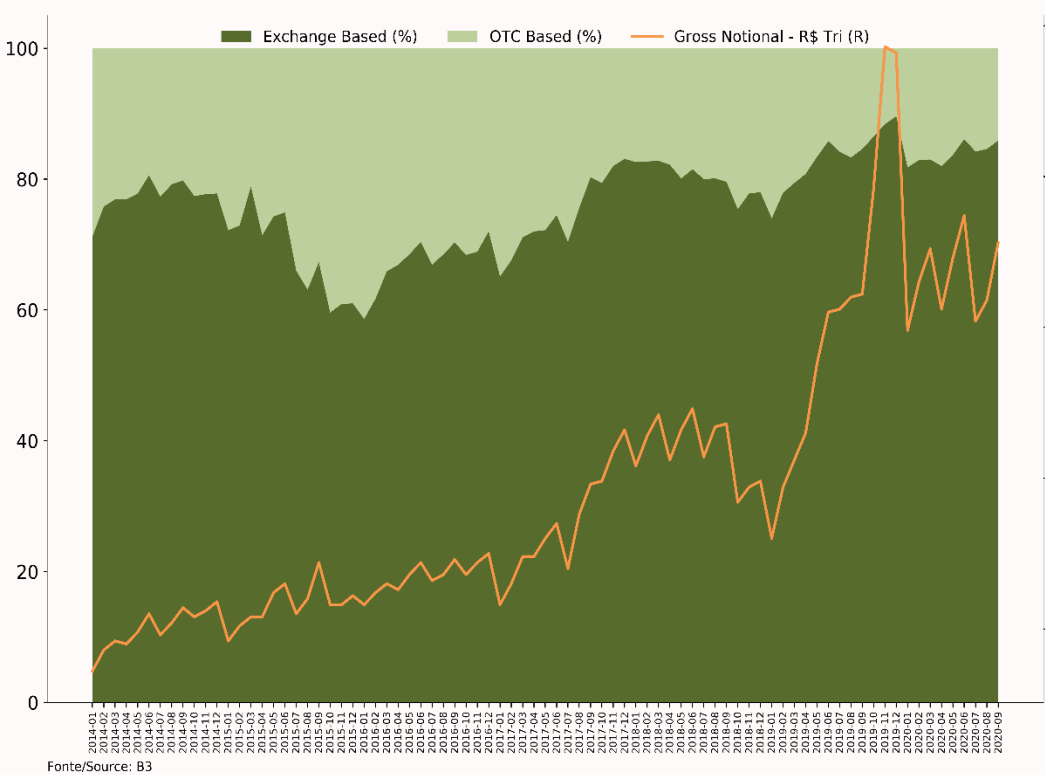
* CVM estimates based on latest Formulário de Referência up to this edition deadline. Information take into account first level shareholder data.

** Companies in the following status: operational, pre-operational, Chapter 11 equivalents, in suspension, Listed but never traded companies were excluded.

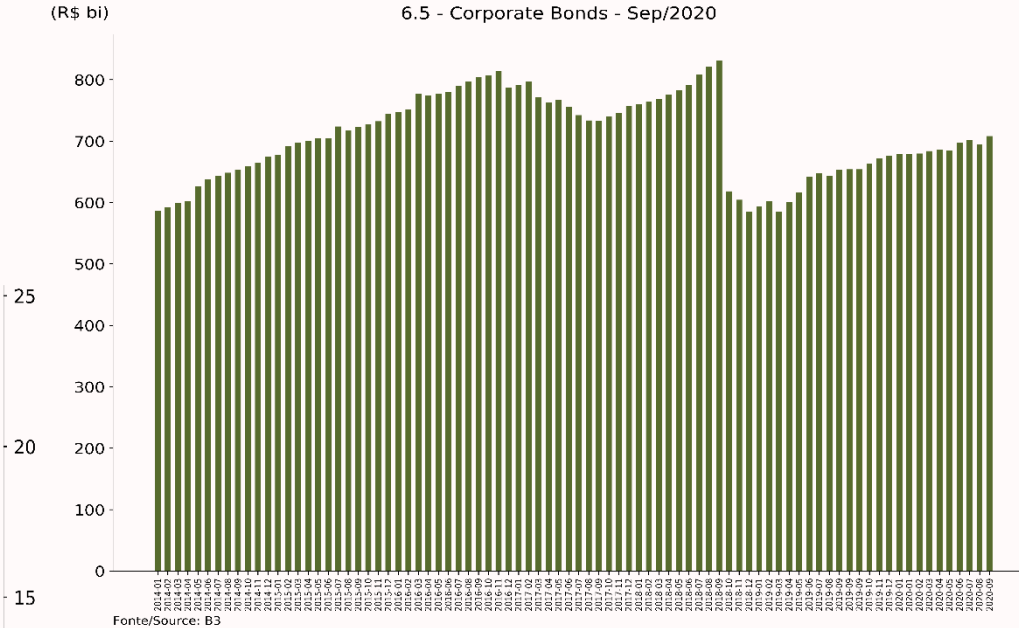
*** National control if the proportion of voting shares owned by first level controlling national shareholders is greater than the proportion held by controlling first level foreign shareholders.

Source: B3, CVM.

6.4 - Derivatives - Sep/2020



6.5 - Corporate Bonds - Sep/2020



6.6 - ABS + Commercial Paper - Sep/2020

